Parametrization of momentum transport by convectively generated gravity waves

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SUMMARY

A parametrization equation for momentum flux due to convectively generated gravity waves is derived and tested numerically. The wave momentum flux just above the convective layer is shown to depend directly on the shear and the intensity of convection, and inversely on the stability of the air above the convection. Simulations of ensembles of precipitating convective clouds are used to validate the parametrization and to estimate the efficiency of wave generation by convection in one particular convective regime: an idealized cold-air outbreak with unidirectional shear.

KEYWORDS: Convection  Gravity waves  Momentum flux  Parametrization

1. INTRODUCTION

The association between gravity waves and convection has been known and exploited by glider pilots for many years. Bradbury (1990) gives an interesting review. Recent observations of the phenomenon using powered aircraft have been reported by Hauf (1993) and Kuettner et al. (1987) above convective boundary layers in continental mid-latitudes, and by Pfister et al. (1993) above deep convection in the tropics. Convection waves have also been modelled numerically by Mason and Sykes (1982) (in two dimensions (2D) above a dry boundary layer), Clark et al. (1986) (in 2D above shallow cumulus), Hauf and Clark (1989) (in 3D above shallow cumulus), and Fovell et al. (1992) (in 2D above a deep squall line). An important result, supported by the observations and the modelling studies, is that the efficiency of wave generation depends on the strength of the vertical shear of the horizontal wind. (See, for example, the shear/no shear comparison by Clark et al. (1986).) In the presence of shear, waves which are quasi-stationary with respect to the convective eddies are generated, and these waves have phase lines which tilt upstream (in the frame of reference moving with the convection) with height (see especially Fovell et al. (1992)).

These vertically propagating waves can transport momentum to higher levels in the atmosphere, and they may exert a significant acceleration (or deceleration) on the mean flow at levels where dissipation occurs, especially in the stratosphere and mesosphere. Therefore, it may be necessary to parametrize the effects of these waves in general-circulation models (GCMs), just as it has been found necessary to parametrize the effects of orographically generated gravity waves (Palmer et al. 1986). A full treatment of the problem requires a representation of the generation, propagation and dissipation of the waves. This paper is concerned mainly with the generation. There have been few attempts to parametrize this. Rind et al. (1988) describe one such parametrization, but make no attempt to justify it. The purpose of this paper is to propose an alternative parametrization, and to justify it theoretically and numerically.

2. THEORY

Consider the linear theory of monochromatic, vertically propagating, plane, sinusoidal, internal gravity waves in the x–z plane in a constant zonal flow $U$ with constant
static stability $N^2$. For simplicity we exclude sound waves and the effects of the earth's rotation. Following Holton (1992, p. 202), we obtain the dispersion relation

$$(U k - \nu)^2 = \frac{k^2 N^2}{m^2 + k^2}$$  \hspace{1cm} (1)$$

and the perturbation equation

$$k \hat{u} + m \hat{w} = 0$$  \hspace{1cm} (2)$$

where $\nu$ is the frequency, $k$ and $m$ are the horizontal and vertical wave numbers, respectively, and $\hat{u}$ and $\hat{w}$ are velocity amplitudes of the waves. The vertical momentum flux, averaged over several horizontal wavelengths, is

$$\rho \overline{\hat{u} \hat{w}} = \frac{1}{2} \rho \hat{u} \hat{w}$$  \hspace{1cm} (3)$$

where $\rho$ is the density. Using Eq. (2) we obtain

$$\rho \overline{\hat{u} \hat{w}} = -\frac{1}{2} \rho m \hat{w}^2$$  \hspace{1cm} (4)$$

showing that the momentum flux depends on the slope of the waves $m/k$ and the amplitude squared. If $c_x = \nu/k$ is the horizontal phase speed

$$\hat{w} = (U - c_x)k \hat{h}$$  \hspace{1cm} (5)$$

where $\hat{h}$ is the displacement amplitude of the waves. Hence (4) becomes

$$\rho \overline{\hat{u} \hat{w}} = -\frac{1}{2} \rho m (U - c_x)^2 k \hat{h}^2.$$  \hspace{1cm} (6)$$

Then, using the dispersion relation Eq. (1) to eliminate $m$

$$\rho \overline{\hat{u} \hat{w}} = -\frac{1}{2} \rho \left( \frac{N^2}{(U - c_x)^2} - k^2 \right)^{1/2} (U - c_x)^2 k \hat{h}^2.$$  \hspace{1cm} (7)$$

Following Mason and Sykes (1982), we can write this in terms of the Froude number $F = (U - c_x)k/N$

$$\rho \overline{\hat{u} \hat{w}} = -\frac{1}{2} \rho \sqrt{(1 - F^2)} F N^2 \hat{h}^2.$$  \hspace{1cm} (8)$$

Equation 8 applies equally well to stationary waves generated by orography; in which case $c_x = 0$. It can be used to parametrize the near-surface momentum flux due to such waves. See, for example, Palmer et al. (1986), where it is applied with the long-wave approximation ($F \ll 1$). To apply Eq. (8) to convective waves, we need to estimate the displacement, $\hat{h}$, in terms of some measure of convective activity. We assume that some proportion $\alpha$ of the convective kinetic energy $\frac{1}{2} \rho \overline{w^2_{\text{max}}}^2$ can be converted to (potential plus kinetic) wave energy $\frac{1}{2} \rho N^2 \hat{h}^2$ in the stable air above the convection. (Here $\overline{w^2_{\text{max}}}$ is the vertical velocity variance over the horizontal domain and $\overline{w^2_{\text{max}}}$ the maximum value of this
quantity which occurs at mid-level in the convection. $\rho_c$ is the density at that same level.) Hence

$$\rho N^2 \hat{h}^2 = \alpha \rho_c \overline{w^2}_{\text{max}} \tag{9}$$

and

$$\rho \overline{u \hat{w}} = -\frac{\alpha}{2} \rho_c \sqrt{1 - F^2} \overline{w^2}_{\text{max}}. \tag{10}$$

Note that this analysis is only valid if $F < 1$. For small wavelengths ($k > N/(U - c_s)$) the waves are evanescent and $\rho \overline{u \hat{w}}$ is zero. If $F \ll 1$ we may approximate Eq. (10), to first order in $F$, by

$$\rho \overline{u \hat{w}} = -\frac{\alpha}{2} \rho_c F \overline{w^2}_{\text{max}}. \tag{11}$$

So, writing $U_{\text{rel}} = U - c_s$ and $L_x = 2\pi/k$, we obtain the following parametrization equation for the momentum flux due to convectively generated gravity waves

$$\rho \overline{u \hat{w}} = -\pi \alpha \rho_c \frac{U_{\text{rel}} \overline{w^2}_{\text{max}}}{L_x N}. \tag{12}$$

A similar formula will hold for waves propagating in the $y-z$ plane. The parameter $\alpha$ can be thought of as the efficiency of wave generation by convection. It is likely to depend on the wind shear. In order to minimize that dependence and reflect the observed importance of wind shear, we make the following assumption: the waves are stationary with respect to the convective cells. This means that the horizontal phase speed of the waves is equal to the velocity in the middle of the convective layer, and so $U_{\text{rel}}$ now represents the shear between that level and cloud top.

Equation (12) differs from the equation given by Rind et al. (1988, p. 335, Eq. (7)) in several ways. Most importantly: there is a direct linear dependence on the wind shear (Rind et al. have none) and an inverse linear dependence on $N$ (Rind et al. have a direct linear dependence on $N$). The observational and numerical evidence for the dependence on the wind shear has already been referred to. The damping effect of increased stability on wave activity above convection was reported by Mason and Sykes (1982). Equation (8) shows that, for small $F$ and a given wave amplitude, the momentum flux is proportional to $N$. However, wave energy is proportional to $N^2$, so for a fixed excitation energy we obtain an inverse dependence of momentum flux (and also of wave amplitude $\hat{h}$ or $\hat{w}$) on $N$.

The next sections present a set of experiments which test Eq. (12) numerically, in one particular convective regime: an idealized cold-air outbreak.

3. Description of Experiments

Whereas observational validation of Eq. (12) is difficult for a variety of reasons, requiring as it does accurate and simultaneous measurements in convective cloud and above it, numerical validation is perfectly feasible. A 3-D dynamical cloud model can be used to simulate ensembles of convective cloud for a range of controlled conditions, and the relevant quantities can be diagnosed. The simulations reported here tested the sensitivity of the momentum flux to variations in $U_{\text{rel}}$, $\overline{w^2}_{\text{max}}$, and $N$, and obtained a value for $\alpha$.

The Meteorological Office cloud-resolving model described by Shutts and Gray (1994) was used, which solves the anelastic equations for a 3-D rectangular Cartesian grid over a flat surface. The model predicts the time variation of the three velocity compo-
Figure 1. Initial profiles of (a) potential temperature, (b) relative humidity, and (c) $u$-component of the horizontal wind. Solid lines: control [180]; broken lines: other experiments.
parametrization of momentum transport

\begin{align}
T_i &= T + \frac{g z}{c_p} - \frac{L_v q_i}{c_p} \\
q_i &= q_v + q_l
\end{align}

where $T$ is the absolute temperature, $g$ the acceleration due to gravity, $z$ the height above the surface, $c_p$ the specific heat of dry air at constant pressure, $L_v$ the latent heat of condensation of water, $q_l$ the cloud-water mixing ratio, and $q_v$ the water-vapour mixing ratio. The domain is a box with cyclic lateral boundary conditions and no flux of air through the top or bottom. There is a no-slip condition at the surface, and the surface fluxes of heat and moisture are constant and specified. The model has a positivity-preserving advection scheme and subgrid parametrization schemes for turbulence (first order, stability dependent closure) and microphysics (Kessler-type warm rain). Although the model runs on an $f$-plane, $f=0$ in these runs: rotational effects were not thought likely to be important at the horizontal scales considered here, and non-zero $f$ complicates the model initialization. (Recent work (Shutts and Gray 1994) casts some doubt on this assumption, so future experiments should be run to evaluate the effect of rotation on convection waves.)

For the majority of the experiments, a domain 50 km square by 15 km deep was used, and grid lengths of 1000 m horizontally and 500 m vertically, though some experiments were re-run with a larger domain or smaller horizontal grid length to test the robustness of the results. The resolution is comparable with that used in previous 3-D simulations of convection waves (e.g. Hauf and Clark 1989). Horizontally uniform initial conditions were used, piecewise linear in height, and specified in terms of potential temperature ($\theta$), relative humidity, and the $v$-component of the wind (see Fig. 1). The other wind components, $u$ and $w$, were initially zero, as was $q_l$, the cloud water. The control-temperature profile was dry adiabatic to 1500 m, conditionally unstable to 4 km, and isothermal above the tropopause at 9 km, giving convective cloud tops around 6 km. The control value of $N$ in the upper troposphere was $0.9 \times 10^{-2}$, ranging up to twice that value in some runs. Convection was initiated by small ($<0.05$ K) random temperature perturbations at each grid point of the lowest model level (height 250 m), and maintained by the surface fluxes: 100 W m$^{-2}$ sensible heat and 400 W m$^{-2}$ latent heat. This surface moisture flux was doubled or tripled in some experiments to vary the intensity of the convection ($w^2_{max}$). These highly idealized initial conditions represent a mid-latitude cold-air outbreak, with deep, precipitating shower clouds developing in varying unidirectional shear, and with varying stability above the convection. Each experiment was run for at least two hours (some for six), and the statistical diagnostics, such as $w^2$ and the momentum fluxes, were averaged over each hour. Most experiments were run with a damping layer above 10 km but, as discussed later, this does not appear to have had any effect on the results below this level.

4. Results

(a) Control run

In the control run [180], precipitating convective clouds develop during the first hour, in the layer between 1 and 6 km above the surface. The clouds (Fig. 2) appear to be randomly distributed, with a horizontal size of $\sim 5$ km, elongated in the $y$ direction (along the shear). Below cloud base, the simulation actually has a cellular structure reminiscent of a convective boundary layer, which is organized by precipitation-driven downdraughts. The
size of the cells (∼10 km) determines the cloud spacing. Above the cloud layer, vertical motions with a horizontal wavelength of 8–10 km have been forced by the convection (Fig. 3). That these are vertically propagating gravity waves may not be immediately apparent, but inspection of vertical sections confirms that they are. Figure 4 shows patterns of alternating upward and downward motion tilting upstream (towards the left) with height. The corresponding anomalies in $v$ (Fig. 5) are out of phase with $w$, implying negative momentum flux, and the anomalies in $\Theta$ (Fig. 6) are in quadrature, as expected. The waves penetrate into the model stratosphere, where they are artificially damped. (In the absence of a damping layer, the waves would be reflected at the model’s rigid lid.) The waves are refracted upwards at the tropopause (9 km), by the change in $N^2$, so that the vertical wavelength is reduced above that height. This is as predicted by linear theory (Gill 1982,)

Figure 2. Horizontal section through control simulation [180] showing cloud water content at 4 km after 2 hours. Contour interval: 0.2 g kg$^{-1}$, first contour at 0.1 g kg$^{-1}$.

Figure 3. Horizontal section through control simulation [180] showing vertical velocity at 8 km after 2 hours. Contour interval: 0.2 m s$^{-1}$, dashed contours negative.
Figure 4. Vertical (y–z) section through control simulation [180] showing vertical velocity at $x = 25$ km after 2 hours. Contour interval: 0.2 m s$^{-1}$, dashed contours negative. The horizontal wind blows from left to right.

Figure 5. Vertical (y–z) section through control simulation [180] showing perturbation of $v$-component of velocity at $x = 25$ km after 2 hours. Contour interval: 0.3 m s$^{-1}$, dashed contours negative.

Figure 6. Vertical (y–z) section through control simulation [180] showing perturbation of potential temperature at $x = 25$ km after 2 hours. Contour interval: 0.2 K, dashed contours negative.

p. 146). Apart from the discontinuity in $N$ at the tropopause, the effects of which are discussed later, the lack of variation of $N$ and $V$ with height allows the waves to propagate into the stratosphere. The conditions for trapping or absorption at a critical layer are not satisfied (see, for example, Holton (1992), pp. 283–284).

The vertical profile of $w^2$ (Fig. 7), averaged over the horizontal domain, shows a peak in the convection layer at $\sim 3$ km ($w^2_{\text{max}} = 0.96$ m$^2$s$^{-2}$), and wave energy from 6 to 8 km, decaying above. The corresponding profile of the momentum flux $\rho \vec{v} \vec{w}$ (Fig. 8) is negative and again shows a peak in the convection layer, with smaller, but significant, values in the gravity-wave layer. The momentum flux in the direction perpendicular to the shear
(\(\rho \bar{u} \bar{w}\)) is not significantly different from zero. It is important to realize that most of the momentum transport is due to the convection itself. The transport due to the waves peaks near cloud top, and it is this quantity which we seek to diagnose and parametrize. The convective fluxes themselves must not be allowed to contaminate the results. Because of this, we choose 8 km, a level above cloud top but below the tropopause, at which to compare the momentum fluxes at the same time in other runs of the model to assess the effect of varying the shear, the intensity of convection, and the stability of the layer immediately above the convection. The results are presented in Table 1. Note that the magnitude of the wave momentum flux exceeds 0.2 N m\(^{-2}\) in the experiment with the largest shear and latent-heat flux [170]. This is not negligible in comparison with orographic wave fluxes. Palmer et al. (1986) reviewed the observational evidence (see their Table 1) and concluded that 0.1 N m\(^{-2}\) was a reasonable estimate of the average wave-momentum flux observed.
by aircraft over orography, though in extreme events, such as downslope windstorms (Lilly 1978), the momentum flux can exceed 1 N m$^{-2}$.

(b) Effect of shear

Increasing the shear does increase the gravity-wave momentum flux. This can be seen in Table 1 by comparing the results of sets of experiments in which only the shear is varied: $[180, 181, 143]$, $[183, 184, 185]$, and $[160, 164, 169, 170]$. That this increase depends approximately linearly on the shear can be seen in Fig. 9. In this figure, the momentum flux is normalized by the convective intensity because convection is inhibited by increasing shear.

![Figure 9](image-url)  
Figure 9. Scatter plot of magnitude of momentum flux at 8 km, normalized by the convective intensity, $\overline{w^2_{\max}}$, plotted against wind shear.
(c) Effect of intensity of convection

Increasing the intensity of convection also increases the gravity-wave momentum flux. This can be seen in Table 1 by comparing the results of sets of experiments in which only the surface flux of moisture is varied: [180,183,160], [181,184,164], [185,169], and [143,170]. Again, by normalizing the momentum flux by the wind shear, the approximately linear dependence of the wave momentum flux on convective intensity can be seen (Fig. 10).

![Figure 10. Scatter plot of magnitude of momentum flux at 8 km, normalized by wind shear, plotted against the convective intensity, $w^{2\text{max}}$.](image)

(d) Effect of stability

The results of one sequence of experiments [160,161,162,163] show that increasing the stability of the air immediately above cloud top does reduce the wave momentum flux. The inverse dependence on $N$ can be seen in Fig. 11.

![Figure 11. Scatter plot of magnitude of momentum flux at 8 km plotted against $N^{-1}$ (see text).](image)
(e) Estimate of the efficiency factor, \( \alpha \)

The results are summarized in Fig. 12, where \( \rho \tilde{v} \tilde{w} \) at 8 km is plotted against what we might call the convective forcing \( -\pi \rho_c V_{rel} w_{max}^2 / L_y N \) in order to test the validity of Eq. (12). In constructing this graph we have taken \( V_{rel} \) is \( v \) at 3 km, and \( L_y = 10 \) km. Thus we are assuming that the convective clouds move with the velocity in the middle of the convective layer, and that the waves are stationary in that frame of reference. Inspection of animations from some simulations confirms that this is approximately true. The horizontal wavelength takes a spectrum of values in the experiments, and tends to be longer (10–14 km) in the experiments with wind shear greater than the control. Nevertheless, constant \( L_y \) and zero (relative) phase speed are reasonable approximations and, more importantly, they are the sort of approximations that would have to be made to apply the parametrization in a GCM.

Clearly, Fig. 12 shows that there is a strong linear relationship between the gravity-wave momentum flux and the convective forcing. Within the range of these experiments, Eq. (12) is a reasonable approximation. The slope of the line of best fit in Fig. 12 gives \( \alpha = 0.18 \pm 0.05 \), which is a plausible estimate of the efficiency of wave generation.

![Figure 12](image)

**Figure 12:** Scatter plot of the wave momentum flux, \( \rho \tilde{v} \tilde{w} \), at 8 km plotted against the 'convective forcing', \( -\pi \rho_c V_{rel} w_{max}^2 / L_y N \), estimated from the second hour of the numerical experiments. The straight line is the least-squares fit to the data.

However, the process of gravity-wave generation by convection is, of course, a transient phenomenon. Although the convective activity has reached its maximum intensity in the second hour of each integration, the gravity-wave activity has not. Indeed, in the third and subsequent hours, the momentum fluxes at 8 km are approximately doubled. This lag between the peak in convection and the peak in wave activity can be seen in Fig. 13. In the runs with the higher surface fluxes, the convective layer has deepened by the third hour, so that some of the momentum flux at 8 km is due to the cloud transports themselves and not to the gravity waves. The efficiency factor \( \alpha \) can be calculated from Eq. (12) for each hour of the simulation and for all levels. Its magnitude at a particular level can be interpreted as the amount of wave energy which has reached that level, normalized by the convective energy. Figure 14 shows time series of \( \alpha \) for 8 km and 10 km from the control run [180] and Fig. 15 shows the vertical profile in the fourth hour. These figures suggest that 0.4
Figure 13. Time series of the maximum vertical-velocity variance (solid) and the vertical-velocity variance at 8 km (dashed), averaged over each hour of control run [180].

Figure 14. Time series of the efficiency factor, $\alpha$, at 8 km (solid) and 10 km (asterisks) from control run [180].

is a better estimate of $\alpha$. It is clear that the damping layer is having a marked effect on the waves above 10 km, but most of the wave energy does reach that level. The apparent discontinuity in $\alpha$ at 9 km is caused by multiplying by the initial value of $N$, which is discontinuous at the tropopause.

(f) Effect of the damping layer

With hindsight, the choice of a damping layer so close to the region of interest was unfortunate. However, the original purpose of the experiments was to study in-cloud fluxes. The gravity-wave results were serendipitous. In order to check whether the damping layer was corrupting the results, one of the experiments [164] was repeated with 10 extra levels, raising the top to 20 km and the base of the damping layer to 15 km [204]. Figure 16 shows
Figure 15. Vertical profile of the efficiency factor, $\alpha$, above 8 km from the fourth hour of control run [180].

Figure 16. Vertical profiles of momentum flux from the second hour of experiments [164] (solid), [204] (dashed) and [231] (dash-dotted).

The vertical profiles of momentum flux for these two experiments, averaged over the second hour. There was no significant impact on the momentum fluxes below 10 km of raising the damping layer. It is concluded that the artificial damping has not had a damaging effect on the results. Figure 17 shows the vertical profile of $\alpha$ estimated from [204] for each of the six hours of the experiment. Again peak values of $\alpha$ are about 0.4 at 10 km decreasing to 0.3 around 12 km.

(g) Effect of the tropopause

Because the vertical profile of momentum (Fig. 16) shows a marked decrease at the tropopause, there was initial concern that wave energy might be trapped below the tropopause, leading to an unrealistic build-up in a model with cyclic lateral boundary
conditions. However, when an experiment [231] was run with the same uniform gradient of $\theta$ above 9 km as below (i.e. without a stratosphere, see Fig. 1), but otherwise identical to [204], it became clear that the effect of the increase in stability at the tropopause is actually to reduce the wave momentum flux below the tropopause as well as above (Fig. 16). The wave energy above 10 km (measured by $\alpha$) is not much changed, on average, by the presence of the stratosphere (cf. Figs. 17 and 18).

5. DISCUSSION

(a) Accuracy of efficiency estimate

Whilst the experiments described above have confirmed that the momentum flux depends in the expected way on the intensity of convection, the shear and the stability, we cannot be as confident about the estimated value of $\alpha$. This is likely to depend on the organization of the convection: for example, a squall line oriented perpendicularly to the shear might be expected to be more efficient at producing waves than a random field of cumulonimbus. (Certainly, 2-D simulations made with the model described above tend to give larger wave-momentum fluxes than otherwise identical 3-D simulations.) Because convective organization depends on the directional shear of the wind with height, as well as on the speed shear, cases with directional shear might give a different estimate of efficiency. The efficiency is also likely to depend on the scale of the convection, which can vary enormously from fair-weather cumulus to tropical super-clusters. Further experiments are required to explore these areas.

Even within the narrow range of parameter space explored here, the estimates of $\alpha$ are moderately sensitive to model resolution. Although doubling the domain size in a repeat of experiment [169] had no impact on the simulated convective intensity or the wave momentum flux, doubling the horizontal resolution (halving the grid length to 500 m) did. At 1000 m resolution, the convective activity is not well resolved, much of it being subgrid-scale. At 500 m resolution, $w^2_{\text{max}}$ is about 50% greater whereas $\rho\overline{v w}$ is only 25% greater. Halving the grid-length again to 250 m has little impact on $w^2_{\text{max}}$. This suggests that the estimates of $\alpha$ given above are likely to be about 15% too high.
(b) Wavelength selection

What determines the wavelength of the generated waves? It is possible that the waves help to organize the flow, and so determine the scale of the convection, as suggested by Clark et al. (1986), Hauf and Clark (1989), and Sang (1993), all of whom studied waves over shallow, boundary-layer convection. However, inspection of animations of the model fields in the experiments presented here suggests that the vertically propagating waves are generated near cloud top. The wavelength is presumably determined by the size and frequency of the convective cells; it appears to be well-defined from the initial stages of generation, making it unlikely that resonance is involved in the scale selection. The cloud separation does not seem to be the determining factor either. In the experiments with stronger shear than the control, the clouds have a tendency to form streets, elongating in the direction of the shear. This explains the longer wavelengths in these experiments.

Fovell et al. (1992) studied stratospheric waves excited in 2-D simulations of a severe squall line and also found a sensitivity to the shear near cloud top. In these simulations, high-frequency waves propagate away from the squall, and their period (and hence their slope) is determined by the periodicity of the convective cells. Presumably, the horizontal wavelength is also determined by the length-scale of the convective cells. In the presence of shear, quasi-stationary waves (relative to the storm) develop, having longer horizontal wavelengths than the high-frequency waves. It is not clear what determines the horizontal wavelength, but in this case resonance seems unlikely, simply because the waves are confined to a uniform stratosphere.

(c) Drag and acceleration

The momentum budget for the cloud model reduces to

$$\frac{\partial(\rho \vec{v})}{\partial t} = -\frac{\partial(\rho \vec{w})}{\partial z} - \frac{\partial(\rho v_s \vec{w}_s)}{\partial z}.$$ (15)
There are no lateral flux terms because of the cyclic boundary conditions. The subscript \( s \) labels subgrid-scale quantities. Thus the vertical derivative of the momentum fluxes (resolved and subgrid) determines the acceleration.

Parametrization of the momentum flux near cloud top is only part of the problem. What really matters is where that momentum is transferred to, and where it is taken from. The propagation and dissipation of the waves are beyond the scope of this paper, but they can probably be dealt with in the same way as orographic gravity waves, save that their non-zero phase speed must be taken into account. However, convection waves will produce a momentum source near cloud top (orographic waves just exert a torque on the solid earth). It is necessary to account for this momentum source in any parametrization of convectively generated gravity waves in a GCM, otherwise a spurious sink of momentum will be introduced.

Figure 8 shows that the convection is decelerating the flow in the upper half of the cloud layer and accelerating the flow below. In the absence of waves, the momentum flux would be zero at cloud top. Therefore, the waves are accelerating the flow near cloud top and decelerating it above. In this convective regime (with downgradient transport by the convection) this means that the waves are opposing the tendency of the clouds to exert a drag near cloud top, and effectively spreading that drag over a deeper layer above. This is confirmed by comparing the experiments with differing stabilities above the convection. Calculation of fluxes partitioned between cloudy and clear air enables the flux due to the waves to be isolated from the convective fluxes. Figure 19 confirms that the momentum source due to the waves is confined to a relatively narrow (\( \sim 2 \) km) region near cloud top. There is little effect below that region. In particular, the value of the surface stress is unaffected by the waves. This stress will largely be determined by the low-level flow, which is dominated by precipitation-driven downdraughts.

\[(d) \text{ Effect of vertical variations of wind speed}\]

Another caveat needs to be added to this analysis. It has been assumed that vertical variations of \( v \) above the wave-generation region do not affect the momentum flux near cloud top. In some circumstances that may not be true. In particular, if trapping or resonance occurs, or if there is a critical line too close to cloud top, the wave momentum flux may

![Figure 19. Vertical profile of partitioned momentum fluxes from the second hour of a repeat of the control simulation with additional diagnostics (solid line: convective flux; asterisks: wave flux).](image-url)
be significantly different from that predicted by the simplified theory presented here. This should be tested in further numerical experiments.

6. Conclusions

A parametrization equation for momentum flux due to convectively generated gravity waves has been derived and tested numerically. Simulations of ensembles of precipitating convective clouds were used to validate the parametrization and to estimate the efficiency of wave generation by convection, in idealized conditions representing a mid-latitude cold-air outbreak, with deep, precipitating shower clouds developing in varying unidirectional shear and with varying stability above the convection. The simulations confirm that the momentum flux has a direct, linear dependence on the wind shear near cloud top and the intensity of convection, and an inverse linear dependence on the stability above cloud top. They also show that the momentum flux due to convectively generated waves is potentially significant when compared with that due to orographic waves. The parametrization, Eq. (12), should be validated in a wider range of convective regimes (including squall lines and more complex vertical variations of $\nu$) by further numerical experiments. Some of these should be at higher resolution to try to determine $\alpha$, the efficiency factor, more accurately, and others should be designed to clarify the process of wavelength selection (using single clouds and spectral-analysis techniques). It is planned to run such experiments.

It is also planned to test the parametrization in a GCM. In doing so there will be a need to relate the convective intensity $w^2_{\text{max}}$ to the output of the model’s convective parametrization scheme. Estimation of the wavelength of the waves is likely to prove one of the main uncertainties in applying the parametrization.

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