

1 **Comparison of a Spectral Bin and Two Multi-Moment Bulk Microphysics**
2 **Schemes for Supercell Simulation: Investigation into Key Processes**
3 **Responsible for Hydrometeor Distributions and Precipitation**
4
5
6

7 **Marcus Johnson^{1,2}, Ming Xue^{1,2,*}, and Youngsun Jung^{1,2,†}**

8 ¹Center for Analysis and Prediction of Storms and

9 ²School of Meteorology, University of Oklahoma, Norman, OK 73072, USA,
10

11 Submitted to Advances in Atmospheric Sciences

12 March 2023

13 Revised July 2023

14 Revised September 2023
15
16
17

18 *Corresponding author: Ming Xue (mxue@ou.edu)
19

20 †Current Affiliation: Office of Science and Technology Integration, National Weather Service,
21 Silver Spring, MD 20910, USA
22

23 **Abstract**

24 There are more uncertainties with ice hydrometeor representations and related processes
25 than liquid hydrometeors within microphysics parameterization (MP) schemes because of their
26 complicated geometries and physical properties. Idealized supercell simulations are produced
27 using the WRF model coupled with “full” Hebrew University spectral bin MP (HU-SBM), and
28 NSSL and Thompson bulk MP (BMP) schemes. HU-SBM downdrafts are typically weaker than
29 those of the NSSL and Thompson simulations, accompanied by less rain evaporation. HU-SBM
30 produces more cloud ice (plates), graupel, and hail than the BMPs yet precipitates less at the
31 surface. The limiting mass bins (and subsequently, particle size) of rimed ice in HU-SBM and
32 slower rimed ice fall speeds lead to smaller melting-level net rimed ice fluxes than those of the
33 BMPs. Aggregation from plates in HU-SBM, together with snow-graupel collisions, leads to a
34 greater snow contribution to rain than those of the BMPs. Replacing HU-SBM’s fall speeds using
35 the formulations of the BMPs after aggregating the discrete bin values to mass mixing ratios and
36 total number concentrations increases net rain and rimed ice fluxes. Still, they are smaller in
37 magnitude than bulk rain, NSSL hail, and Thompson graupel net fluxes near the surface.
38 Conversely, the melting-layer net rimed ice fluxes are reduced when the fall speeds for the NSSL
39 and Thompson simulations are calculated using HU-SBM fall speed formulations after discretizing
40 the bulk particle size distributions (PSDs) into spectral bins. The results highlight precipitation
41 sensitivity to storm dynamics, fall speed, hydrometeor evolution governed by process rates, and
42 MP PSD design.

43

44 **Key words:** Precipitation, spectral bin microphysics, bulk microphysics parameterization,
45 microphysics processes, WRF model, supercell storm

46 <https://doi.org/10.1007/s00376-023-3069-7>

47 **Article highlights:**

- 48 • HU-SBM “full” version simulates less precipitation than the bulk NSSL and Thompson
49 schemes
- 50 • Rain mass sourced from snow in HU-SBM is larger than those in the BMPs, partly due to
51 large plate production and subsequent aggregation
- 52 • Limiting maximum mass bins and generally slower rimed ice fall speeds than those of the
53 BMPs lower rimed ice flux in HU-SBM

54

in press

55 **1. Introduction**

56 Microphysics parameterization (MP) uncertainty remains a substantial source of numerical
57 weather prediction (NWP) model error. One to two hydrometeor categories, demarcated by smaller
58 cloud droplets and larger rain drops, can simulate spherical liquid particles with water density
59 without much error. In contrast, parameterizations of ice particles in MP schemes continue to lag
60 in sophistication relative to the complex geometrical spectra of observed ice habits. While ice
61 crystal diagrams have documented observed habits for a given ambient temperature and ice
62 supersaturation, the oscillatory nature of plates and columns with changes in temperature (as well
63 as often-observed asymmetrical crystals and complex polycrystal structure; Bailey and Hallett,
64 2009) preclude an exact, quantitative relationship linking ice crystal geometry to the ambient
65 thermodynamic state. Aggregates of crystals may also form additional habits, with even more
66 distinct habits if these ice particles rime (e.g., Magono and Lee, 1966; Heymsfield and Kajikawa,
67 1987; Pruppacher and Klett, 1997). Therefore, ice habits are inevitably oversimplified in NWP
68 models as it is impossible to parameterize every observed ice type with a few ice hydrometeor
69 categories, such as the typically used cloud ice, snow/aggregates, graupel and/or hail.

70 The evolution of the particle size distribution (PSD) of a hydrometeor category in NWP
71 models is typically modelled in either a bulk or spectral bin framework. Bulk MP parameterization
72 schemes (BMPs) assume certain forms of hydrometeor PSDs containing a few free parameters
73 (e.g., Lin et al., 1983; Ulbrich, 1983; Chen and Sun, 2002) while spectral bin MP schemes (SBMs)
74 discretize the PSDs into spectral bins, generally predicting the evolution of either the PSDs
75 themselves or moments in each bin (e.g., Hall, 1980; Reisin et al., 1996; Geresdi, 1998). SBMs'
76 discrete PSD bins allow the parameterization of particle attributes (e.g., axis ratio, density) with
77 greater flexibility and precision than BMPs across the hydrometeor spectra, albeit at potentially

78 higher computational costs. For more details, readers are referred to a comprehensive review of
79 bulk and spectral bin schemes by Khain et al. (2015).

80 Despite many complex observed geometric modes of ice particles, MP schemes attempt to
81 represent the ice spectra across a limited number of ice categories (e.g., cloud ice, snow, graupel,
82 hail; Geresdi, 1998; Milbrandt and Yau, 2005a, b). BMPs include spherical (e.g., Ferrier, 1994;
83 Morrison et al., 2009) and non-spherical crystal/snow (e.g., Cox, 1988; Hong et al., 2004) more
84 consistent with observations (e.g., Mitchell et al., 1990). BMPs may contain constant (e.g., Ferrier
85 1994) or predicted rimed ice density (e.g., Mansell et al., 2010). Further, the Predicted Particle
86 Properties (P3; Morrison and Milbrandt, 2015; Milbrandt et al., 2021) and Ice-Spheroid Habit
87 Model with Aspect-Ratio Evolution (ISHMAEL; Jensen et al., 2017) BMPs remove distinct ice
88 categories in favor of free-evolving ice particles in each category (although ISHMAEL contains a
89 separate aggregate category for ice property preservation), reducing ad-hoc simulated ice
90 conversions.

91 SBM PSD discretization allows for greater precision of ice particle and process
92 parameterization compared to BMPs. Young (1974) and Takahashi (1976) partitioned ice crystal
93 size bins into x - and z - dimensions, while separate ice crystal habits as hydrometeor categories
94 (e.g., columns, dendrites) were also added to some SBMs (Khain and Sednev, 1996; Khain et al.,
95 2004). The expansion from 33 to 43 bins within the Hebrew University Cloud Model (HUCM)
96 SBM “full” version and improved graupel to hail conversion facilitated large rimed ice particles
97 and reflectivities as simulated by a polarimetric radar simulator in deep convection (Ryzhkov et
98 al., 2011). Still, increasing ice complexity using SBM hydrometeor representation does not always
99 guarantee more accurate simulations relative to BMPs (e.g., Fan et al., 2017; Xue et al., 2017)
100 because of a large number of uncertainties with the MP processes involved. While these papers

101 and others (e.g., Kumjian et al., 2014; Shpund et al., 2019) demonstrate reasonable ability of SBMs
102 to simulate deep convection, SBMs (and BMPs) require detailed assessment and understanding of
103 hydrometeor evolution when applied to different types of convective storms.

104 Supercell microphysical processes are typically dominated by rain and rimed ice given the
105 storm's deep convective updraft (e.g., Kumjian and Ryzhkov, 2008). Simulated cloud water, cloud
106 ice, and snow provide pathways to rain and rimed ice creation and growth (see Figure 4 in Morrison
107 et al., 2020), highlighting their potential roles in particle evolution within supercell thunderstorms.
108 Further, the limited three-dimensional idealized supercell simulation studies that exist using the
109 Weather Research and Forecasting (WRF; Skamarock et al., 2008) model coupled with HUCM
110 “full” microphysics (HU-SBM; Khain and Lynn, 2009; Heikenfeld et al., 2019) have mainly
111 focused on model sensitivities (e.g., aerosol concentration) related to, rather than in-depth
112 evaluations of, microphysical processes controlling SBM hydrometeor evolutions. In this study,
113 we examine hydrometeor evolutions in idealized supercell simulations using the HU-SBM and
114 two-moment NSSL and Thompson BMP schemes within the community WRF model. We
115 investigate their underlying representations and MP processes primarily responsible for significant
116 differences found in the simulations. Such a study can help clarify how each MP scheme simulates
117 complex ice spectra and their impact on the liquid spectra, and provide guidance on improving the
118 schemes' representation and evolution of ice with a limited number of hydrometeor categories.
119 Insights gained from analyzing idealized simulations can help guide future SBM/BMP
120 improvement when applied to real cases by establishing a link between MP treatments and the
121 expected results of storm simulation.

122 The rest of this paper is organized as follows: section 2 details the simulation model setup,
123 section 3 compares simulated precipitation and hydrometeor profiles to analyze hydrometeor

124 behavior (e.g., potential relative biases) within the storm. Section 4 investigates hydrometeor
125 vertical flux profiles and their link to surface precipitation, and section 5 summarizes and further
126 discusses dynamic and microphysical effects on precipitation in the spectral and bulk frameworks
127 examined in this paper.

128 **2. Simulation experiment design**

130 *2.1 Numerical model*

131 Idealized simulations of supercell thunderstorms are performed using the compressible,
132 nonhydrostatic WRF model version 3.7.1 (Skamarock et al., 2008). Model configuration is detailed
133 in Table 1 and is similar to the idealized supercell simulations in Johnson et al. (2016) and Johnson
134 et al. (2019). Storms are simulated for 2 h on a 200 km x 200 km grid with a 1 km horizontal
135 spacing. The vertical grid extends to 20 km height with an approximate 500 m grid spacing. The
136 Weisman and Klemp (1982) thermodynamic sounding with a veering quarter-circle wind profile
137 (clockwise shear of $5.23 \times 10^{-3} \text{ s}^{-1}$ up to 2.3 km, unidirectional shear of $5.69 \times 10^{-3} \text{ s}^{-1}$ above
138 to 7 km) is employed for the atmospheric environment, resulting in a convective available potential
139 energy (CAPE) of approximately 2163 J kg^{-1} and storm-relative helicity (SRH) in the 0-3 km layer
140 of approximately $180 \text{ m}^2 \text{ s}^{-2}$. The storm is initiated using an ellipsoidal thermal bubble with a
141 maximum potential temperature perturbation of 3 K. Radiation, land surface, cumulus, and
142 planetary boundary layer parameterizations are turned off.

143 *2.2 Microphysics schemes*

144 As mentioned earlier, three MP schemes with varying degrees of complexity in
145 representing hydrometeors, as available in WRF v3.7.1, are used in the supercell simulations. They
146 are, respectively, the HUCM “full” SBM (Khain and Sednev, 1996; Khain et al., 2004), the fully

147 two-moment National Severe Storms Laboratory (NSSL; Mansell et al., 2010), and the partially
148 two-moment Thompson (Thompson et al., 2008) BMP schemes.

149 The HU-SBM “full” scheme prognoses the PSDs of liquid (one category spanning all drop
150 sizes), three ice crystals (plates, columns, dendrites), (snow) aggregates, graupel, and hail, which
151 are discretized into 33 mass-doubling bins ranging from 3.35×10^{-11} to 1.44×10^{-1} g. There
152 are no processes in the HU-SBM “full” scheme that convert ice crystal habit to other habits after
153 nucleation (in which ice crystal destination is determined by ambient temperature). An alternative
154 “fast” (in contrast to “full”) version of HU-SBM available in WRF prognoses the PSDs of one
155 liquid and fewer ice categories, including ice crystals/aggregates, and graupel/hail, that are
156 discretized into 33 or 43 bins. Studies (e.g., Khain et al., 2016; Shpund et al., 2019) have shown
157 that the HU-SBM “fast” version has skill simulating deep convection. In this study, we choose to
158 evaluate the HU-SBM “full” version because of its inclusion of more ice categories (6 vs. 2) which
159 we believe are important for supercell storms. The use of 33 bins with smaller maximum diameters
160 than the available 43 bins in the “fast” version does impose some limitation; therefore, results
161 related to the maximum bin sizes do not necessarily carry over to the “fast” version. Hereafter,
162 HU-SBM with no qualifier refers to the “full” version.

163 The NSSL BMP prognoses mass mixing ratio q_x and total number concentration N_{tx} (x
164 refers to species) of cloud water, rain, cloud ice, snow, graupel, and hail, and additionally particle
165 volume of graupel and hail which can be used to predict bulk density. The Thompson scheme
166 prognoses q_x of cloud water, rain, cloud ice, snow, and graupel, and N_{tx} of cloud ice and rain.
167 Among many available BMPs, the NSSL scheme is one of the most sophisticated two-moment
168 BMPs and has been shown to outperform other BMPs in supercell simulations (Johnson et al.
169 2016, 2019), while the Thompson scheme is employed in the U.S. operational High-Resolution

170 Rapid Refresh forecasting system (HRRR; Benjamin et al., 2016) and has generally good
171 performance for precipitation forecast.

172 We would like to point out that hydrometeors in each MP scheme may contain different
173 assumptions of PSDs and particle properties. Liquid, plates, graupel, and hail in the HU-SBM
174 contain constant bulk densities of 1000, 900, 400, and 900 kg m⁻³, respectively, across their
175 discretized mass bins, while column, dendrite, and snow bulk densities decrease at larger mass.
176 Rain, graupel and hail in the NSSL scheme assume gamma PSDs (e.g., Ulbrich, 1983) with shape
177 parameters $\alpha = 0, 0, \text{ and } 1$, respectively. Cloud water, cloud ice, and snow have mass-dependent
178 (rather than the commonly utilized diameter-dependent) gamma distributions with shape
179 parameters $\alpha = 0, 0, \text{ and } -0.8$ (Zrnic et al., 1993) respectively. Cloud water, cloud ice, rain, and
180 snow have bulk densities of 1000, 900, 1000, and 100 kg m⁻³ respectively. Graupel and hail bulk
181 densities are predicted via their bulk prognosed volumes. The Thompson scheme assumes an
182 exponential PSD (gamma PSD with shape parameter $\alpha = 0$) for cloud ice, rain, and graupel, and a
183 gamma PSD ($\alpha = 12$) for cloud water. Snow in the scheme follows a linear combination of
184 exponential and gamma PSDs (Field et al., 2005; Thompson et al., 2008). Cloud water, cloud ice,
185 rain and graupel have bulk densities of 1000, 890, 1000 and 500 kg m⁻³, respectively, while snow
186 density decreases with increasing diameter (similar to HU-SBM snow).

187

188 **3. Simulated hydrometeors and microphysical processes**

189 The supercells simulated using the three schemes are first examined in terms of the
190 simulated horizontal reflectivity Z_H through the updraft (Figure 1). Z_H is calculated using the
191 Center for Analysis and Prediction of Storms Polarimetric Radar data Simulator (CAPS-PRS; e.g.,
192 Jung et al., 2008; Dawson et al., 2014; Johnson et al., 2016), which utilizes the T-matrix method
193 (Waterman, 1969; Vivekanandan et al., 1991) to calculate scattering amplitudes as a function of

194 particle diameter and water fraction. Particle diameter is calculated assuming a spherical shape
195 using the mass bins of hydrometeors in the HU-SBM. q_x and N_{ix} in the 2M bulk schemes are
196 utilized to diagnose hydrometeor PSDs, while water fraction is diagnosed following Jung et al.
197 (2008). For the HU-SBM scheme, wet snow diameter is recalculated based on the mixed-phase
198 hydrometeor's new density taking into account the shrinking of the horizontal dimension of snow
199 with progressive melting as in Jung et al. (2008). Given the ice crystal complexity of the HU-SBM,
200 ice crystals are now included in the simulator for the scheme and are assumed to melt when
201 ambient temperature exceeds 0 °C. Columns, plates, and dendrites are assumed to have aspect
202 ratios of observed solid columns ($L/d \leq 2$), solid thick plates, and dendrites, respectively (Matrosov
203 et al., 1996). Oblate (i.e., plates and dendrites) crystal orientation is assumed to follow a two-
204 dimensional axisymmetric Gaussian distribution with a mean canting angle of 0° and standard
205 deviation of 10°, while prolates (i.e., columns) follow a blend of fully chaotic and horizontal
206 random orientation (Ryzhkov et al., 2011). For other hydrometeor orientation assumptions, we
207 refer the reader to Johnson et al. (2016).

208 The simulated storms with NSSL and Thompson BMPs have noticeably larger
209 reflectivities than those of the HU-SBM through their updraft cores at a mature supercell stage (t
210 = 100 min; Figures 1a,c,e), consistent with reflectivity calculated in the microphysics schemes
211 using the Rayleigh approximation. As reflectivity in these simulations is primarily dictated by rain,
212 graupel, and hail (as expected in a deep, convective storm), further hydrometeor analysis will help
213 clarify which parameterizations/processes (e.g., wet growth, fall speed) are primarily responsible for
214 the low reflectivity when HU-SBM is employed compared to the two bulk schemes. We also note
215 that HU-SBM's (snow) aggregate contribution to reflectivity is larger and more widespread near
216 and below the melting level compared to those in simulations using the two bulk schemes. Because

217 of their small sizes, the contribution of HU-SBM ice crystals to reflectivity is generally small.
218 Differences are also seen in the cold pool structures of the MP storm simulations (Figures 1b,d,f).
219 The cold pool intensity reflects downdraft intensity, water loading, and evaporative/melting
220 cooling. Later analyses on the microphysical process rates will reveal differences in evaporative
221 cooling among the simulations. The Thompson simulation, which has the strongest downdrafts,
222 produces the strongest cold pool (Figure 1f). HU-SBM downdrafts are weaker than those in the
223 NSSL simulation, leading to a much weaker cold pool (Figures 1b,d). Stronger downdrafts may
224 influence precipitation by transporting more mass to lower levels in addition to hydrometeor
225 sedimentation.

226 One striking difference between the three simulations differing in microphysics only is the
227 large discrepancy of domain-averaged accumulated precipitation, with the NSSL and Thompson
228 simulations producing similar precipitation amounts that are approximately 5 times that (~1.45-
229 1.7 mm) of the HU-SBM simulation (~0.3 mm) by the end of the two-hour runs (Figure 2). We
230 note here that precipitation in the HU-SBM simulation might be delayed, as its domain-averaged
231 accumulated precipitation near $t = 200$ min is similar to those of the bulk simulations near $t = 120$
232 min. HU-SBM precipitation is still smaller than those of the two bulk simulations when extended
233 to 5 h (not shown). We also note that the HU-SBM “fast” version with 43 bins simulates
234 precipitation amounts similar to those in the NSSL and Thompson simulations; however, it is
235 outside the scope of this paper to determine the causes of simulation differences between the “fast”
236 and “full” versions. The comparatively low amount of precipitation simulated using the HUCM
237 “full” MP has been previously noted in idealized supercell simulations. Khain and Lynn (2009)
238 speculated that the much stronger updrafts (and possibly larger autoconversion rate) in the
239 Thompson simulation compared to those in HU-SBM helped contribute to its larger amount of

240 precipitation. Our HU-SBM simulation contains updraft speed that is comparable to those of the
241 NSSL and Thompson simulations (Figure 1). Falk et al. (2019) noted the HUCM “full” MP
242 simulated more precipitation when using faster snow, graupel, and hail bulk fall speeds applied to
243 its bins, but still less than the amount produced by the bulk simulations. The influence of
244 microphysics was not investigated further. Therefore, an investigation into rain and ice
245 hydrometeor mass and related processes, and vertical hydrometeor fluxes, are needed to
246 understand the key causes of the precipitation differences between the HU-SBM and bulk
247 simulations.

248 *3.1 Temporal evolution of hydrometeors*

249 As MP-simulated hydrometeors directly contribute to the amount of available mass to
250 sediment to the surface, it is logical to investigate the temporal evolution of each simulation’s
251 domain-averaged mass (Figure 3). Figure 4 shows the time series of domain-averaged 5-min
252 accumulated microphysics rates for different species. While there is no traditional cloud water
253 category in HU-SBM because all liquid is contained in its liquid hydrometeor category, HU-SBM
254 does output “cloud water” mass corresponding to liquid bins with a maximum diameter of 0.16
255 mm, above which it is considered “rain”. We have included both liquid mass partitions to facilitate
256 comparisons with the two bulk cloud water and rain masses. However, these partitions are not
257 included when examining process rates as processes rarely utilize this delimiter.

258 The naming of the process rates in Figure 4 uses the following conventions: Q refers to
259 mixing ratio. The next two letters describe the microphysical process: (FZ: freezing, ML: melting,
260 CD: condensation, EV: evaporation, CL: collection [or collision in HU-SBM], VD: vapor
261 deposition, VS: vapor sublimation, CN: conversion, SH: shedding). The next two letters generally
262 denote the sink and source mass categories, respectively (V: vapor, L: liquid, W: cloud water, R:

263 rain, C: column, P: plate, D: dendrite, I: cloud ice, S: snow, G: graupel, and H: hail). HU-SBM
264 collisions have the next two letters after CL as the input colliding particles, while the final (or final
265 two) letter(s) are source mass categories from the collision. For liquid, QFZLL and QMLLL denote
266 total freezing and melting liquid mass changes, respectively. In the NSSL simulation, QFZRR and
267 QCLIRR similarly denote total freezing, and rain and ice freezing rain mass changes, respectively.
268 QCLRIG denotes rain and ice freezing to graupel. In the Thompson simulation, QCLGRR denotes
269 possible rain source/sinks for graupel collecting rain, or vice versa depending on ambient
270 temperature. QCLGGR is similar to QCLGRR, but for graupel mass. Finally, QCLRIG denotes
271 rain and ice freezing to graupel, while QCLIRR represents rainwater sink from rain and ice
272 freezing.

273 Cloud water mass is similar in the three simulations over the model run (Figures 3a-c).
274 Condensation of liquid/cloud water dominates sources for these categories (Figures 4a,c,e). Cloud
275 water itself likely does not contribute much to accumulated precipitation, but subsequent evolution
276 (e.g., rain collection, riming) can modify it. Rainwater mass is much larger in the bulk simulations,
277 with rainwater mass near $1.5 \times 10^{-5} \text{ kg m}^{-3}$ by the end of model runs compared to HU-SBM
278 rainwater mass exceeding $0.75 \times 10^{-5} \text{ kg m}^{-3}$. A reduction in rainwater mass relative to those in the
279 two bulk simulations is consistent with smaller precipitation in the HU-SBM simulation. The
280 largest liquid sinks in HU-SBM are either freezing, graupel riming, or collisions with ice particles
281 to form graupel. The largest rain sources in both the NSSL (Figure 4i) and Thompson (Figure 4k)
282 simulations are rain collecting cloud water and melting graupel, and larger than HU-SBM liquid
283 sources (not including presumably cloud water condensation; Figure 4a). The Thompson
284 simulation produces more rain than the NSSL simulation over the model run, in agreement with
285 NSSL rain sinks (e.g., wet growth, freezing) exceeding those in Thompson rain (e.g., freezing,

286 cloud ice-rain freezing). Still, the NSSL simulation precipitates more mass to the surface, which
287 motivates our later examination of vertical hydrometeor and flux profiles.

288 The amount of cloud ice mass in HU-SBM, which is a sum of its column, plate, and
289 dendrite mass, exceeds NSSL and Thompson simulated cloud ice mass (Figures 3d-f). This is
290 entirely due to the large amount of liquid freezing to plates in the HU-SBM (Figures 4g,m,r). The
291 NSSL and Thompson schemes also freeze liquid (i.e., cloud water) into cloud ice (Figures 4o,q),
292 although not as much as the HU-SBM. The small amount of cloud ice in the Thompson simulation
293 is consistent with prior studies: the scheme is known to aggressively convert cloud ice to snow
294 (e.g, Van Weverberg et al., 2013) per the scheme's design (Figure 4q). Column mass exceeds
295 dendrite mass in HU-SBM likely because of its larger nucleation temperature range. Snow mass
296 is similar between the HU-SBM and Thompson simulations, which exceed that in the NSSL
297 simulation. Snow aggregates in HU-SBM form by particle collisions; the large amount of HU-
298 SBM plates provides a collisional source for aggregates, whether with themselves, other cloud ice
299 particles, or aggregates (Figure 4b). The primary source of NSSL snow is freezing rain (Figure
300 4d), which provides a larger source for graupel than snow.

301 Rimed ice mass is typically largest in HU-SBM, as its simulated graupel mass is nearly 2
302 times larger than the NSSL and Thompson's graupel mass by the end of the model run (HU-SBM
303 graupel $\sim 7 \times 10^{-5} \text{ kg m}^{-3}$ vs. NSSL and Thompson graupel $\sim 3.5\text{-}4 \times 10^{-5} \text{ kg m}^{-3}$; Figures 3d-f).
304 Much of HU-SBM's graupel comes from cloud ice freezing with liquid to graupel, and primarily
305 grows by riming (Figure 4h). The largest graupel creation source in bulk simulations is freezing
306 rain (although rain and ice freezing to graupel is prominent in the Thompson simulation; Figures
307 4j,l), and grow by wet growth. The bulk simulations have similar graupel mass source magnitudes
308 but larger graupel sinks (i.e., melting) at the end of the model run compared to HU-SBM,

309 explaining its larger graupel mass. This also implies graupel production and wet growth is likely
310 not a major deficit of the HU-SBM. Thompson graupel mass is typically larger than NSSL graupel
311 mass over the model run, except at the end. While this might be related to the large amount of rain
312 freezing in the Thompson simulation and larger NSSL graupel sinks, Figure 4 does not include
313 graupel sinks from sedimentation. HU-SBM hail mass is larger than that in NSSL at the end of the
314 model run (HU-SBM hail $\sim 1 \times 10^{-5} \text{ kg m}^{-3}$ vs NSSL hail $\sim 0.5 \times 10^{-5} \text{ kg m}^{-3}$). NSSL hail has larger
315 sources (wet growth; Figure 4p) than HU-SBM hail (graupel and liquid freezing to hail, wet
316 growth; Figure 4n), but also larger sinks (melting and shedding vs HU-SBM melting). More HU-
317 SBM rimed ice does not lead to a larger rainwater mass field (i.e., melting) or accumulated
318 precipitation. Again, we note that downdrafts are typically stronger in the bulk simulations than in
319 HU-SBM. This motivates a further investigation into vertical distributions of hydrometeors,
320 hydrometeor fluxes, and bin/bulk PSD assumptions to determine their effects on precipitation,
321 which will be performed later.

322 *3.2 Vertical profiles of hydrometeors and process rates*

323 Vertical profiles of HWC are taken at a mature supercell stage ($t = 100 \text{ min}$; Figure 1) to
324 show their vertical distributions at this time (Figure 5). At each height level, the horizontal average
325 of water content is calculated over the domain where condensate mixing ratio exceeds 0.01 g kg^{-1}
326 to create vertical profiles. While HU-SBM simulates similar cloud water content over the duration
327 of the storm, the vertical profile of its cloud water content is smaller compared to the NSSL and
328 Thompson simulations (Figures 5a-c). However, its condensate coverage is larger than those of
329 the NSSL and Thompson simulations (not shown). The NSSL produces more cloud water than the
330 Thompson scheme at $t = 100 \text{ min}$ (Figures 3b,c), also reflected in its vertical distribution. Cloud
331 water content peaks near $z = \sim 2\text{-}4 \text{ km}$ in the each simulation. Cloud water content is dictated by

332 the condensation rate (Figures 6a,c,e). In the bulk simulations, cloud water is depleted near $z = 2$ -
333 4 km by evaporation, rain collection, and autoconversion, while liquid in HU-SBM is generally
334 depleted by evaporation.

335 Rainwater content is much smaller in HU-SBM compared to those in NSSL and
336 Thompson, which is also the case with domain-averaged masses. Rainwater content in Thompson
337 is generally larger than that in NSSL, similar to domain-averaged masses. Both bulk simulations
338 have their rainwater content peaks near the surface, while HU-SBM rainwater peaks near $z = \sim 3$
339 km. HU-SBM rain sources near this peak are presumably melting ice (both rimed ice and snow
340 aggregates), but might include condensation as well. There are little HU-SBM sinks near this
341 height. Rain sources in the BMP simulations (which are larger) are melting rimed ice and collection
342 of cloud water (while Thompson includes rain collecting graupel). These processes peak above the
343 surface, especially cloud water collection. Therefore, the heights of rain peaks in BMP simulations
344 seem to be more related to sedimentation and storm downdrafts, which will be discussed later. We
345 also note here that low-level rain evaporation rates among the simulations are consistent with cold
346 pool intensities in Figure 1 (i.e., Thompson rain evaporation is largest).

347 HU-SBM cloud ice water content peaks between $z = 11$ -12 km, primarily from plate ice
348 mass (Figure 5d). This height is similar to that of the peak level of NSSL cloud ice ($z = \sim 12$ km;
349 Figure 5e), while Thompson ice peaks between $z = 9$ -10 km. HU-SBM and NSSL cloud ice are
350 primarily created through freezing liquid (Figures 6m,o). The lower Thompson cloud ice peak is
351 the result of its aggressive conversion of cloud ice to snow, especially above 10 km (Figure 6q).
352 Melting cloud ice does not significantly contribute to liquid in any of the microphysics simulations,
353 which indicates its contribution to precipitation would likely manifest from conversion to other
354 hydrometeors (e.g., conversion to snow and subsequently melting to rain, 3-component freezing

355 to graupel, etc.). Still, snow only noticeably makes it to the melting level in HU-SBM, as its vertical
356 profiles is near 0 kg m^{-3} in the NSSL and Thompson simulations. HU-SBM snow from snow-
357 graupel collisions is larger than snow sources in BMP simulations near $z = \sim 5 \text{ km}$ (Figures 6b,d,f),
358 in addition to crystal and snow collisions above.

359 Graupel vertical profiles are noticeably different across the three simulations: graupel water
360 content peaks near $z = 10 \text{ km}$ in HU-SBM, while those in NSSL and Thompson simulations peak
361 near $z = 6 \text{ km}$ and $z = 5 \text{ km}$, respectively. While freezing rain provides similar graupel sources
362 near $z = 8 \text{ km}$ for the bulk simulations, the Thompson scheme also contains a peak in cloud ice
363 and rain freezing to graupel near $z = 5 \text{ km}$ (Figures 6j,l). HU-SBM graupel is primarily created
364 through crystal-liquid collisions below $z = 10 \text{ km}$ (Figure 6h). While the HU-SBM graupel HWC
365 peak is larger than those in NSSL and Thompson, it is not reflective of the large amount of graupel
366 simulated over the duration of the simulated storm (Figure 3). Again, HU-SBM condensate
367 coverage is larger than those in the bulk schemes (not shown). The weak downward graupel flux
368 in HU-SBM inferred from its vertical profile hinders precipitation reaching the ground, as melting
369 rimed ice is the primary source for low-level rain in these supercell simulations. HU-SBM hail
370 water content peaks near $z = 7 \text{ km}$, while NSSL hail peaks near $z = 6 \text{ km}$ and is able to reach the
371 surface. HU-SBM hail is primarily created from graupel-liquid collisions near $z = 6 \text{ km}$ (Figure
372 6n). NSSL hail forms mostly from graupel conversion (Figure 4), and experiences more wet
373 growth than HU-SBM hail (Figures 6n,p), allowing for greater hail growth potential despite its
374 large shedding. HU-SBM hail melting occurs faster than NSSL hail in the melting layer,
375 suggesting either larger NSSL hail size and/or greater NSSL downward hail transport.

376

377 4. Hydrometeor sedimentation and vertical fluxes

378 4.1 Fall speed parameterizations in different schemes

379 Vertical transport of hydrometeors at a mature supercell stage ($t = 100$ min) is further
380 investigated to elaborate on the roles of storm kinematics, parameterized fall speed, PSD
381 parameterizations, and underlying MP hydrometeor production with regard to precipitation near
382 the surface. Updraft/downdraft HWC flux (defined here as $\rho w q_x$), hydrometeor sedimentation
383 (defined as $-\rho v_t q_x$), and the net vertical hydrometeor flux ($\rho(w-v_t)q_x$) are utilized to analyze these
384 roles, where ρ is ambient air density, q_x is the mixing ratio of hydrometeor x (e.g., rain), w is
385 vertical velocity of the updraft/downdraft, and v_t is mass-weighted mean terminal velocity
386 calculated from each scheme's fall speed parameterization. All fluxes are averaged at each vertical
387 level over the horizontal domain where condensate mixing ratio exceeds 0.01 g kg^{-1} (Figure 7).
388 Cloud water and ice are not included given their generally small fall speed.

389 NSSL rain sedimentation flux generally has larger magnitude than that of the Thompson
390 below $z = \sim 7$ km (Figure 7a). While neither scheme's HWC is definitely larger over this depth
391 (Figures 5b,c) and their rain fallspeed relationships are similar (Figure 8a), NSSL maximum rain
392 v_t is larger than that of Thompson below $z = \sim 8$ km (not shown). Both bulk rain sedimentation
393 fluxes have larger magnitude than that in HU-SBM, a consequence of the HU-SBM's
394 comparatively small rainwater content. As Thompson snow fallspeed is slightly larger for melted
395 particle diameter $< \sim 2.5$ mm (Figure 8a), generally larger Thompson snow mass results in larger
396 snow sedimentation flux above $z = 7-8$ km, below which the larger HU-SBM snow mass results
397 in greater sedimentation flux (Figure 7a). Downdrafts (negative w) containing rain and snow
398 (Figure 7c) contribute to downward net snow flux near and above the melting level and net rain
399 fluxes near the surface (Figure 7e). Downward net snow flux in the HU-SBM is larger than those

400 in the bulk simulations near the melting level, but likely does not contribute directly to precipitation
401 given its small magnitude. HU-SBM rain has smaller downward net flux near the surface compared
402 to the two bulk simulations, partially due to weaker downdrafts. The NSSL simulation's largest
403 downward net rain flux near the surface helps explain the scheme's largest accumulated
404 precipitation (Figure 2).

405 HU-SBM rimed ice sedimentation flux is smaller than those of the bulk simulations (Figure
406 7b), even though its graupel and hail HWC peaks in the vertical profiles are largest. Its rimed ice
407 fall speeds are smaller than those in NSSL (for equal constant density; not shown), and its graupel
408 fall speed is progressively smaller than Thompson graupel for melted particle size $> \sim 1.5$ mm
409 (Figure 8b), slowing its downward rimed ice transport. While upward rimed ice fluxes are large
410 (Figure 7d), large sedimentation fluxes in bulk simulations result in overwhelmingly downward
411 net rimed ice fluxes (Figure 7f). HU-SBM net graupel flux is upward between $z = 6-11$ km, a result
412 of its slower fall speeds compared to the NSSL and Thompson schemes. Although Thompson
413 graupel fall speed is smaller than that of NSSL graupel up to ice particle size of ~ 15 mm (for equal
414 constant density; not shown), its larger graupel HWC (and typically larger downward transport in
415 stronger downdrafts compared to HU-SBM and NSSL) provides a generally greater net flux below
416 the melting level. HU-SBM hail also has a weaker downward net flux compared to NSSL hail, due
417 to faster NSSL hail fall speeds (Figure 8b) and stronger downdrafts. Rimed ice contribution to
418 precipitation can also be demonstrated below $z = \sim 4$ km, where the net rimed ice flux of each
419 simulation decreases due to melting (although bulk net fluxes are larger).

420 *4.2 Hydrometeor flux sensitivities to terminal velocity and PSD parameterization*

421 The vertical fluxes of hydrometeors are further analyzed by examining their sensitivity to
422 terminal velocity and bin/bulk PSD parameterization. This is performed in an offline mode, using

423 hydrometeor model fields simulated by the original simulations at a mature stage ($t = 100$ min).
424 To calculate the mass-weighted mean terminal velocities v_t using a bulk scheme formulation from
425 the bin scheme-predicted hydrometeors, the bulk fall speed formulations are employed and the
426 bulk PSDs are diagnosed using total bulk mass mixing ratios and number concentrations that were
427 summed over the HU-SBM bins. To calculate v_t using the HU-SBM's formulations for the NSSL
428 and Thompson schemes, the bulk hydrometeor species are discretized onto 33 mass bins based on
429 their assumed PSDs (from their bulk mass and number concentrations), then use the HU-SBM fall
430 speed formulations. The vertical velocities from the original simulations are used for vertical
431 hydrometeor transport by updrafts/downdrafts.

432 Calculating the rain sedimentation flux using NSSL and Thompson fall speed formulations
433 and treating HU-SBM rain as bulk rainwater increases the sedimentation flux relative to the flux
434 using the native formulation (Figure 9a). In the bulk framework, HU-SBM rain now effectively
435 has a smooth PSD across all diameters and is rid of its maximum mass limitation. Both bulk
436 schemes assume an exponential distribution of rain with very similar fall speeds (Figure 8a),
437 highlighting the effects of PSD parameterization on rain flux. Increasing HU-SBM rain
438 sedimentation flux also increases its downward net flux toward the surface and reduces its upward
439 flux (Figure 9c). The HU-SBM sedimentation and net rain fluxes calculated from NSSL and
440 Thompson fall speeds and bulk PSD assumptions are still smaller in magnitude than NSSL and
441 Thompson sedimentation and net rain fluxes near the surface. This is related to the underlying HU-
442 SBM rain mass field dictated by HU-SBM liquid processes (i.e., melting ice particles), resulting
443 in a smaller rain HWC vertical profile compared to the bulk simulations (Figures 5a-c). The HU-
444 SBM snow sedimentation flux is generally unchanged near the melting level using NSSL and
445 Thompson bulk formulations. Although NSSL and Thompson snow fall speeds can be faster than

446 HU-SBM snow fall speeds, constraining HU-SBM to a fixed PSD shape has the potential to
447 introduce more small snow particles. As a result, HU-SBM net snow flux is also similar near the
448 melting level when using NSSL and Thompson bulk formulations.

449 Replacing HU-SBM graupel and hail bins and fall speeds with NSSL fall speeds and bulk
450 PSD assumptions (from HU-SBM q and N_i) significantly increases each category's sedimentation
451 flux (Figure 9b). Rimed ice fall speed in the NSSL scheme exceeds that of HU-SBM (for equal
452 constant density; not shown), increasing its downward transport. Using Thompson bulk graupel
453 assumptions also increases HU-SBM graupel's sedimentation flux, although not as much as in
454 NSSL. Thompson graupel fall speed is typically smaller than that of NSSL (Figure 8b). Increasing
455 rimed ice sedimentation expectedly increases its downward net flux (Figure 9d), although the HU-
456 SBM's underlying rimed ice production within the simulation precludes net flux increases near
457 the surface relative to NSSL hail and Thompson graupel. Therefore, while increasing fall speeds
458 in the HU-SBM scheme would increase surface precipitation, precipitation differences across the
459 three microphysics schemes cannot be attributed to fall speed alone.

460 NSSL and Thompson rain and snow sedimentation fluxes subtly change when NSSL and
461 Thompson bulk PSDs are discretized to the HU-SBM 33 mass bins and the fall speed formulations
462 of HU-SBM are used at each bin (Figure 10a). The rain sedimentation fluxes near the surface are
463 slightly reduced in the two bulk simulations. Rain fall speed relationships are similar among the
464 three schemes (although HU-SBM liquid fall speed is slightly slower for drops < 1 mm; Figure
465 8a), while the HU-SBM mass bin discretization contains a maximum rain diameter of 6.5 mm,
466 corresponding to very large raindrops. Thompson snow sedimentation flux is slightly reduced,
467 likely attributed to generally slower HU-SBM fall speed. Therefore, Thompson net snow flux

468 above the melting level and near-surface NSSL and Thompson net rain fluxes are slightly reduced
469 (Figure 10c), but their near-surface net rain fluxes are larger than that in the HU-SBM simulation.

470 In contrast, the rimed ice sedimentation fluxes are reduced significantly for NSSL and
471 Thompson but are still generally larger than those of HU-SBM rimed ice below $z = \sim 9$ km (Figure
472 10b). These reductions can be attributed to slower HU-SBM rimed ice fall speed relative to NSSL
473 rimed ice fall speeds, and for melted particles larger than 1.5 mm relative to Thompson graupel
474 fall speeds. Another contributing factor could be the HU-SBM maximum mass bins corresponding
475 to NSSL and Thompson graupel size (at $\rho_g = 500 \text{ kg m}^{-3}$) equal to 8.19 mm and NSSL hail size (at
476 $\rho_h = 900 \text{ kg m}^{-3}$) equal to 6.73 mm, reducing calculated v_f due to the truncated PSDs. Again, NSSL
477 rimed ice density is predicted. The resulting increase in upward net flux and decrease in downward
478 net flux of rimed ice to the melting level (Figure 10d) would reduce rimed ice melting to rain, and
479 subsequently surface precipitation.

480 **5. Summary and discussion**

482 Idealized supercell simulations are performed in this study using the HU-SBM “full”
483 spectral bin, and NSSL and Thompson bulk MP schemes available within WRF version 3.7.1. The
484 HU-SBM simulation produces much less precipitation than the two bulk simulations over the 2 h
485 simulations, and the behaviors of the schemes in the simulations are analyzed to investigate the
486 main reasons for the precipitation differences. Domain-averaged and vertical profiles of process
487 rates from the different simulations, as well as hydrometeor mass, water content, and vertical
488 fluxes for different species are examined.

489 Over the two-hour duration of the simulated storm, the HU-SBM scheme simulates more
490 cloud ice (plates), graupel, and hail, but less rainwater than the bulk simulations. HU-SBM appears
491 to aggressively freeze large amounts of liquid to plate crystals, which can then aggregate to snow

492 or freeze (along with other crystals) with liquid to graupel. Thompson simulates a large amount of
493 snow mass similar to that in HU-SBM due to the scheme's aggressive cloud ice-snow conversion.
494 Graupel in bulk simulations is primarily sourced from freezing rain, with additional contributions
495 from cloud ice and rain freezing to graupel in the Thompson simulation. The larger HU-SBM
496 graupel HWC peak above NSSL and Thompson graupel peaks in their vertical profiles (at a mature
497 stage in simulations, $t = 100$ min) reflects its maximum mass bin limiting larger particles during
498 3-component freezing or wet growth. Smaller rimed ice particles combined with slower fall speeds
499 leads to quicker updraft ejection. HU-SBM hail experiences less wet growth than NSSL hail,
500 which may be due to or reflective of smaller updraft residency time.

501 The primary source of rain near the surface is from melting rimed ice, although HU-SBM
502 additionally includes melting snow aggregates and the Thompson simulation includes rain
503 collecting graupel. The lower HU-SBM rainwater amount near the surface is due to a greater
504 contribution of slower-falling snow (itself sourced from ice crystal, snow, and graupel collisions)
505 to rain compared to those in the bulk schemes, along with the previously-mentioned maximum
506 mass bin limiting rimed ice particle size, and generally slower rimed ice fall speeds than those of
507 the bulk schemes. Downward water mass transport is further complicated by HU-SBM's weakest
508 downdrafts among the schemes, consistent with its smallest low-level evaporation rate.

509 In offline calculations for a single time ($t = 100$ min) at a mature stage of simulation, HU-
510 SBM produces the smallest downward net rain flux to the surface because of its lowest rainwater
511 content and weaker downdraft flux. This is likely due to a combination of net snow flux near the
512 melting layer that is larger than those in the bulk simulations, and the smallest net graupel and hail
513 fluxes at the melting level and surface. The smaller HU-SBM rimed ice net fluxes reflect generally
514 slower rimed ice fall speeds and weaker downdraft fluxes. The NSSL simulation has a larger net

515 rain flux to the surface than that in Thompson explaining its largest precipitation among the three
516 simulations.

517 Downward net fluxes of rain and rimed ice for HU-SBM are increased when they are
518 calculated using fall speed formulations of the NSSL and Thompson bulk schemes. When doing
519 so, the discretized PSDs of HU-SBM are replaced with bulk PSDs (e.g., exponential or gamma
520 distributions) by summing over the spectral bins to calculate q and N_r . Net rain fluxes near the
521 surface are still smaller than those in bulk simulations, while net rimed ice fluxes near the surface
522 are smaller than NSSL hail and Thompson graupel net fluxes. This can be attributed to the
523 underlying smaller amounts of q_r , q_g , and q_h as seen in their vertical profiles. Conversely,
524 discretizing the moment-based bulk PSDs in the NSSL and Thompson schemes and calculating
525 the fall speeds for the discretized bins using HU-SBM fall speed formulations slightly reduces
526 near-surface downward net rain fluxes (due to slightly smaller HU-SBM fall speed and a maximum
527 rain mass bin limiter). The downward net flux of rimed ice to the melting layer is also reduced,
528 owing to the restrictive maximum rimed ice mass bins employed and typically smaller rimed ice
529 fall speeds in the HU-SBM scheme. Still, the higher rain and rimed ice contents simulated by the
530 bulk simulations (aided by stronger downdrafts) allow their downward net fluxes to typically
531 exceed those in HU-SBM to the melting layer and surface.

532 We have demonstrated that, in a supercell framework, precipitation differences between
533 MP schemes are more complex than just differences in simulated rainwater content or hydrometeor
534 fall speeds. Understanding the main causes of the differences requires detailed analyses to gain
535 insight on hydrometeor conversions/growth, interactions with storm dynamics (i.e., cold pool and
536 updrafts/downdrafts), and subsequent vertical transport of hydrometeors. An important
537 consideration for SBMs is the number of hydrometeor mass bins to allow for sufficient rimed ice

538 growth within the scheme, especially for intense deep convection. Supercell storms tend to produce
539 a large amount of rimed ice particles that contribute significantly to precipitation production.
540 Adequate rimed ice wet growth facilitated by fast rimed ice fall speeds can ensure greater ice mass
541 flux to the melting level and eventually to the surface after melting. Significant snow contribution
542 to precipitation in supercells may be problematic and may require particle evolution adjustment
543 (such as enhancing riming/wet growth given the large amount of plates from freezing liquid by
544 HU-SBM that generally aggregate to snow, reducing snow-graupel collisions that convert graupel
545 to snow), as snow particles sediment much slower than rimed ice. More rain in the melting layer
546 sourced from rimed ice may provide dynamic feedback by strengthening downdrafts through
547 evaporation, resulting in more negatively-buoyant air. Such insights are valuable to NWP
548 researchers for model tuning, given the complexity of MP schemes from hydrometeor creation to
549 surface precipitation. Comparisons of real-case supercell simulations to observations may further
550 refine supercellular process representations and parameterizations by comparing the simulation
551 with available observations. This is a subject for future work.

552 **Acknowledgments**

553 This research was primarily supported by the NOAA Warn-on-Forecast (WoF) grant by
554 NA16OAR4320115. We thank two anonymous reviewers whose feedback improved the quality
555 of this manuscript.

556

557

558

559 **References**

- 560 Bailey, M. P. and J. Hallett, 2009: A comprehensive habit diagram for atmospheric ice crystals:
561 Confirmation from the laboratory, AIRS II, and other field studies. *J. Atmos. Sci.*, **66**,
562 2888–2899.
- 563 Benjamin, S. G., J. M. Brown, and T. G. Smirnova, 2016: Explicit precipitation-type diagnosis
564 from a model using a mixed-phase bulk cloud–precipitation microphysics
565 parameterization. *Wea. Forecasting*, **31**, 609–619.
- 566 Chen, S.-H. and W.-Y. Sun, 2002: A one-dimensional time dependent cloud model. *J. Meteor.*
567 *Soc. Japan*, **80**, 99–118.
- 568 Cox, G. P., 1988: Modelling precipitation in frontal rainbands. *Quart J. Roy. Met. Soc.*, **114**, 115–
569 127.
- 570 Dawson, D. T., E. R. Mansell, Y. Jung, L. J. Wicker, M. R. Kumjian, and M. Xue, 2014: Low-
571 level ZDR signatures in supercell forward flanks: The role of size sorting and melting of
572 hail. *J. Atmos. Sci.*, **71**, 276–299.
- 573 Falk, N. M., A. L. Igel, and M. R. Igel, 2019: The relative impact of ice fall speeds and
574 microphysics parameterization complexity on supercell evolution. *Mon. Wea. Rev.*, **147**,
575 2403–2415.
- 576 Fan, J., and Coauthors, 2017: Cloud-resolving model intercomparison of an MC3E squall line
577 case: Part I—Convective updrafts. *J. Geophys. Res.*, **122**, 9351–9378.
- 578 Ferrier, B. S., 1994: A double-moment multiple-phase four-class bulk ice scheme. Part I:
579 Description. *J. Atmos. Sci.*, **51**, 249–280.

580 Field, P. R., R. J. Hogan, P. R. A. Brown, A. J. Illingworth, T. W. Choullarton, and R. J. Cotton,
581 2005: Parametrization of ice-particle size distributions for mid-latitude stratiform cloud. *Q.*
582 *J. R. Meteorol. Soc.*, **131**, 1997–2017.

583 Geresdi, I., 1998: Idealized simulation of the Colorado hailstorm case: Comparison of bulk and
584 detailed microphysics. *Atmos. Res.*, **45**, 237–252.

585 Hall, W. D., 1980: A detailed microphysical model within a two-dimensional dynamic framework:
586 Model description and preliminary results. *J. Atmos. Sci.*, **37**, 2486–2507.

587 Heikenfeld, M., B. White, L. Labbouz, and P. Stier, 2019: Aerosol effects on deep convection: the
588 propagation of aerosol perturbations through convective cloud microphysics. *Atmos.*
589 *Chem. Phys.*, **19**, 2601–2627.

590 Heymsfield, A. J. and M. Kajikawa, 1987: An improved approach to calculating terminal velocities
591 of plate-like crystals and graupel. *J. Atmos. Sci.*, **44**, 1088–1099.

592 Hong, S.-Y., J. Dudhia, and S.-H. Chen, 2004: A revised approach to ice microphysical processes
593 for the bulk parameterization of clouds and precipitation. *Mon. Wea. Rev.*, **132**, 103–120.

594 Jensen, A. A., J. Y. Harrington, H. Morrison, and J. A. Milbrandt, 2017: Predicting ice shape
595 evolution in a bulk microphysics model. *J. Atmos. Sci.*, **74**, 2081–2104.

596 Johnson, M., Y. Jung, D. T. Dawson II, and M. Xue, 2016: Comparison of simulated polarimetric
597 signatures in idealized supercell storms using two-moment bulk microphysics schemes in
598 WRF. *Mon. Wea. Rev.*, **144**, 971–996.

599 Johnson, M., Y. Jung, J. A. Milbrandt, H. Morrison, and M. Xue, 2019: Effects of the
600 representation of rimed ice in bulk microphysics schemes on polarimetric signatures. *Mon.*
601 *Wea. Rev.*, **147**, 3785–3810.

602 Jung, Y., G. Zhang, and M. Xue, 2008: Assimilation of simulated polarimetric radar data for a
603 convective storm using the ensemble Kalman filter. Part I: Observation operators for
604 reflectivity and polarimetric variables. *Mon. Wea. Rev.*, **136**, 2228–2245.

605 Khain, A. and B. Lynn, 2009: Simulation of a supercell storm in clean and dirty atmosphere using
606 weather research and forecast model with spectral bin microphysics. *J. Geophys. Res.*, **114**,
607 D19209.

608 Khain, A., B. Lynn, and J. Shpund, 2016: High resolution WRF simulations of Hurricane Irene:
609 Sensitivity to aerosols and choice of microphysical schemes. *Atmos. Res.*, **167**, 129–145.

610 Khain, A., A. Pokrovsky, M. Pinsky, A. Seifert, and V. Phillips, 2004: Simulation of effects of
611 atmospheric aerosols on deep turbulent convective clouds using a spectral microphysics
612 mixed-phase cumulus cloud model. Part I: Model description and possible applications. *J.*
613 *Atmos. Sci.*, **61**, 2963–2982.

614 Khain, A. P. and I. Sednev, 1996: Simulation of precipitation formation in the eastern
615 Mediterranean coastal zone using a spectral microphysics cloud ensemble model. *Atmos.*
616 *Res.*, **55**, 77–110.

617 Khain, A. P., and Coauthors, 2015: Representation of microphysical processes in cloud-resolving
618 models: Spectral (bin) microphysics versus bulk parameterization. *Rev. Geophys.*, **53**, 247–
619 322.

620 Kumjian, M. R. and A. V. Ryzhkov, 2008: Polarimetric signatures in supercell thunderstorms. *J.*
621 *Appl. Meteor. Climatol.*, **47**, 1940–1961.

622 Kumjian, M. R., A. P. Khain, N. Benmoshe, E. Ilotoviz, A. V. Ryzhkov, and V. T. J. Phillips,
623 2014: The anatomy and physics of ZDR columns: Investigating a polarimetric radar

signature with a spectral bin microphysical model. *J. Appl. Meteor. Climatol.*, **53**, 1820–
1843.

Lin, Y.-L., R. D. Farley, and H. D. Orville, 1983: Bulk parameterization of the snow field in a
cloud model. *J. Climate Appl. Meteor.*, **22**, 1065–1092.

Magono, C. and C. W. Lee, 1966: Meteorological classification of natural snow crystals. *J. Fac.
Sci. Hokkaido Univ. Ser. 7*, **2**, 321–335.

Mansell, E. R., C. L. Ziegler, and E. C. Bruning, 2010: Simulated electrification of a small
thunderstorm with two-moment bulk microphysics. *J. Atmos. Sci.*, **67**, 171–194.

Matrosov, S. Y., R. F. Reinking, R. A. Kropfli, and B. W. Bartram, 1996: Estimation of ice
hydrometeor types and shapes from radar polarization measurements. *J. Atmos. Oceanic
Technol.*, **13**, 85–96.

Milbrandt, J. A. and M. K. Yau, 2005a: A multimoment bulk microphysics parameterization. Part
I: Analysis of the role of the spectral shape parameter. *J. Atmos. Sci.*, **62**, 3051–3064.

Milbrandt, J. A. and M. K. Yau, 2005b: A multimoment bulk microphysics parameterization. Part
II: A proposed three-moment closure and scheme description. *J. Atmos. Sci.*, **62**, 3065–
3081.

Milbrandt, J. A., H. Morrison, D. T. Dawson II, and M. Paukert, 2021: A triple-moment
representation of ice in the predicted particle properties (P3) microphysics scheme. *J.
Atmos. Sci.*, **78**, 439–458.

Mitchell, D. L., R. Zhang, and R. L. Pitter, 1990: Mass-dimensional relationships for ice particles
and the influence of riming on snowfall rates. *Journal of Applied Meteorology*, **29**, 153–
163.

646 Morrison, H. and J. A. Milbrandt, 2015: Parameterization of cloud microphysics based on the
647 prediction of bulk ice particle properties. Part I: Scheme description and idealized tests. *J.*
648 *Atmos. Sci.*, **72**, 287–311.

649 Morrison, H., G. Thompson, and V. Tatarskii, 2009: Impact of cloud microphysics on the
650 development of trailing stratiform precipitation in a simulated squall line: Comparison of
651 one- and two-moment schemes. *Mon. Wea. Rev.*, **137**, 991–1007.

652 Morrison, H., and Coauthors, 2020: Confronting the challenge of modeling cloud and precipitation
653 microphysics. *Journal of Advances in Modeling Earth Systems*, **12**, e2019MS001689.

654 Pruppacher, H. R. and J. D. Klett, 1997: *Microphysics of clouds and precipitation*. 2nd ed. Kluwer
655 Academic Publishers, 954 pp.

656 Reisin, T., Z. Levin, and S. Tzivion, 1996: Rain production in convective clouds as simulated in
657 an axisymmetric model with detailed microphysics. Part I: Description of the model. *J.*
658 *Atmos. Sci.*, **53**, 497–519.

659 Ryzhkov, A., M. Pinsky, A. Pokrovsky, and A. Khain, 2011: Polarimetric radar observation
660 operator for a cloud model with spectral microphysics. *J. Appl. Meteor. Climatol.*, **50**, 873–
661 894.

662 Shpund, J., and Coauthors, 2019: Simulating a mesoscale convective system using WRF with a
663 new spectral bin microphysics: 1: Hail vs graupel. *J. Geophys. Res.: Atmos.*, **124**, 14072–
664 14101.

665 Skamarock, W. C., and Coauthors, 2008: A description of the Advanced Research WRF version
666 3. NCAR Tech. Note NCAR/TN-475+STR, 125 pp.

667 Takahashi, T., 1976: Hail in an axisymmetric cloud model. *J. Atmos. Sci.*, **33**, 1579–1601.

668 Thompson, G., P. R. Field, R. M. Rasmussen, and W. D. Hall, 2008: Explicit forecasts of winter
669 precipitation using an improved bulk microphysics scheme. Part II: Implementation of a
670 new snow parameterization. *Mon. Wea. Rev.*, **136**, 5095–5115.

671 Ulbrich, C. W., 1983: Natural variations in the analytical form of the raindrop size distribution. *J.*
672 *Appl Meteor. Climatol.*, **22**, 1764–1775.

673 Van Weverberg, K., and Coauthors, 2013: The role of cloud microphysics parameterization in the
674 simulation of mesoscale convective system clouds and precipitation in the tropical western
675 Pacific. *J. Atmos. Sci.*, **70**, 1104–1128.

676 Vivekanandan, J., W. M. Adams, and V. N. Bringi, 1991: Rigorous approach to polarimetric radar
677 modeling of hydrometeor orientation distributions. *Journal of Applied Meteorology*, **30**,
678 1053–1063.

679 Waterman, P. C., 1969: Scattering by dielectric obstacles. *Alta Freq.*, **38**, 348–352.

680 Weisman, M. L. and J. B. Klemp, 1982: The dependence of numerically simulated convective
681 storms on vertical wind shear and buoyancy. *Mon. Wea. Rev.*, **110**, 504–520.

682 Xue, L., and Coauthors, 2017: Idealized simulations of a squall line from the MC3E field campaign
683 applying three bin microphysics schemes: dynamic and thermodynamic structure. *Mon.*
684 *Wea. Rev.*, **145**, 4789–4812.

685 Young, K. C., 1974: A numerical simulation of wintertime, orographic precipitation. Part I:
686 Description of model microphysics and numerical techniques. *J. Atmos. Sci.*, **31**, 1735–
687 1748.

688 Zrnich, D. S., N. Balakrishnan, C. L. Ziegler, V. N. Bringi, K. Aydin, and T. Matejka, 1993:
689 Polarimetric signatures in the stratiform region of a mesoscale convective system. *J. Appl.*
690 *Meteor. Climatol.*, **32**, 678–693.

in press

692 **Figure Captions**

693 Figure 1. Horizontal reflectivity (ZH [dBZ]; left column) through each storm's updraft and
694 potential temperature perturbations Θ' (K; right column) near $z = \sim 280$ m for the (a,b) HU-SBM,
695 (c,d) NSSL, and (e,f) Thompson microphysics schemes at $t = 100$ min. Blue lines in vertical cross
696 sections denote the 0°C isotherm, while black contours are vertical velocity which start at 10 m
697 s^{-1} with a 15 m s^{-1} interval. Reflectivity contours (grey) are shown in Θ' subplots for 15 , 30 , and
698 45 dBZ, and the wind field is represented by vectors. Updraft contours at $z = \sim 2$ km are shown as
699 magenta contours for $w = 10$ m s^{-1} , while downdraft contours at $z = \sim 2$ km are shown as black
700 contours for $w = -10$, -5 , and -2 m s^{-1} in Θ' subplots. Vertical black lines in Θ' plots denote where
701 vertical cross sections are taken.

702 Figure 2. Domain-averaged accumulated precipitation (mm) over the duration of the model run for
703 the HU-SBM, NSSL, and Thompson microphysics schemes.

704 Figure 3. Time series of domain-averaged mass (kg m^{-3}) for (top row) liquid and (bottom row) ice
705 hydrometeors for the (a,d) HU-SBM, (b,e) NSSL, and (c,f) Thompson microphysics schemes over
706 the duration of the model run.

707 Figure 4. Domain-averaged 5-min accumulated mixing ratio process rates (g kg^{-1}) for the (left two
708 columns) HU-SBM, (middle two columns) NSSL, and (right two columns) Thompson
709 microphysics schemes. Plot labels indicate the relevant hydrometeor.

710 Figure 5. Vertical profiles of horizontally-averaged hydrometeor water content (kg m^{-3}) for liquid
711 (top row) and ice (bottom row) hydrometeors for the (a,d) HU-SBM, (b,e) NSSL, and (c,f)
712 Thompson microphysics schemes at $t = 100$ min.

713 Figure 6. Vertical profiles of horizontally-averaged 5-min accumulated mixing ratio process rates
714 (g kg^{-1}) for the (left two columns) HU-SBM, (middle two columns) NSSL, and (right two columns)

715 Thompson microphysics schemes at $t = 100$ min. Plot labels indicate the relevant hydrometeor.
716 The vertical black line in each plot denotes zero.

717 Figure 7. Vertical profiles of horizontally-averaged hydrometeor water content fluxes ($\text{kg m}^{-2} \text{s}^{-1}$)
718 calculated with (a,b) mass-weighted mean hydrometeor fall speed (v_t), (c,d) updraft/downdrafts
719 (w), and (e,f) their net ($w - v_t$) vertical speed for (left column) rain and snow, and (right column)
720 graupel and hail hydrometeors at $t = 100$ min. PSDs and fall speeds to calculate v_t are consistent
721 with each microphysics scheme's parameterizations.

722 Figure 8. Terminal velocities (m s^{-1}) spaced at HU-SBM mass bins (but shown here relative to
723 melted diameter (mm)) of (a) rain (or HU-SBM liquid) and snow, and (b) graupel and hail in the
724 HU-SBM, NSSL, and Thompson schemes. The “_vx” suffix denotes the relationship for
725 hydrometeor x. Terminal velocities are plotted in each microphysics' reference state (i.e., pressure
726 = 1000 hPa in HU-SBM, air density $\rho_a = 1.225$ and 1.185 kg m^{-3} in the NSSL and Thompson
727 schemes, respectively). NSSL graupel and hail fall speeds are displayed with bulk densities of ρ_g
728 = 500 and $\rho_h = 900 \text{ kg m}^{-3}$, respectively.

729 Figure 9. Vertical profiles of horizontally-averaged hydrometeor water content fluxes ($\text{kg m}^{-2} \text{s}^{-1}$)
730 calculated with (a,b) mass-weighted mean hydrometeor fall speed (v_t), and (c,d) their net ($w - v_t$)
731 vertical speed for (left column) rain and snow, and (right column) graupel and hail hydrometeors
732 at $t = 100$ min. HU-SBM PSDs and fall speed parameterizations to calculate v_t follow original HU-
733 SBM parameterizations (HU-SBM_x), or those in the NSSL (HU-SBM_nssl_x) or Thompson
734 (HU-SBM_thom_x) schemes for hydrometeor x.

735 Figure 10. As in Figure 9, with NSSL and Thompson PSDs and fall speed parameterizations
736 following those in the HU-SBM scheme.

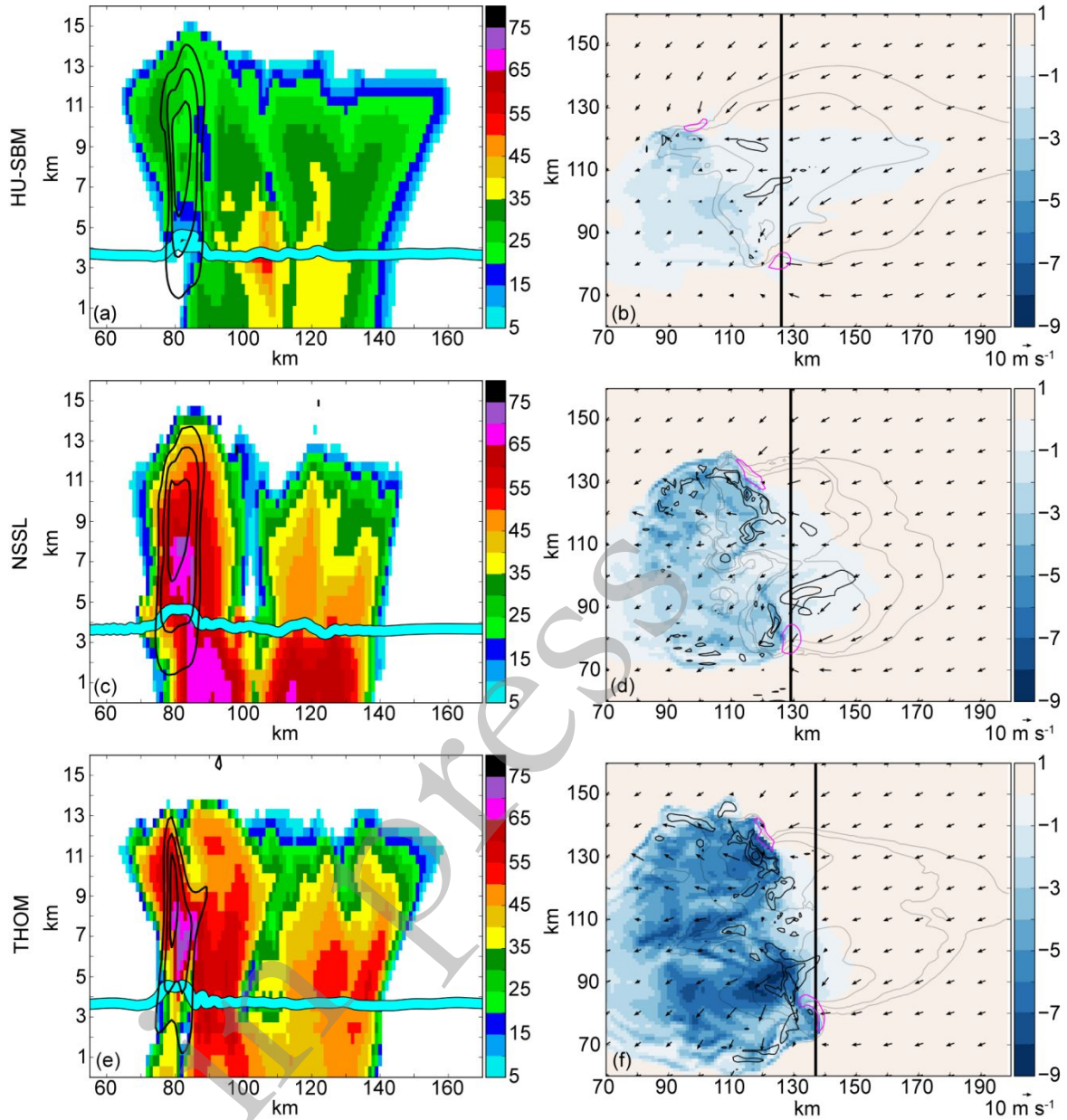
737

738

Table 1. WRF model input.

<i>WRF Model Configuration</i>	
Run time	120 min
Δt	6 s
Sound wave Δt	1 s
Model output interval	10 min
Horizontal domain	200 km x 200 km
Model lid	20 km
Δx	1 km
Δy	1 km
Δz	~500 m
Time integration scheme	Third order Runge-Kutta
Horizontal momentum advection	Fifth order
Vertical momentum advection	Third order
Horizontal scalar advection	Fifth order
Vertical scalar advection	Third order
Upper level damping	5000 m below model top
Rayleigh damping coefficient	0.003
Turbulence	3-D 1.5 order turbulent kinetic energy (TKE) closure
Horizontal boundary conditions	Open

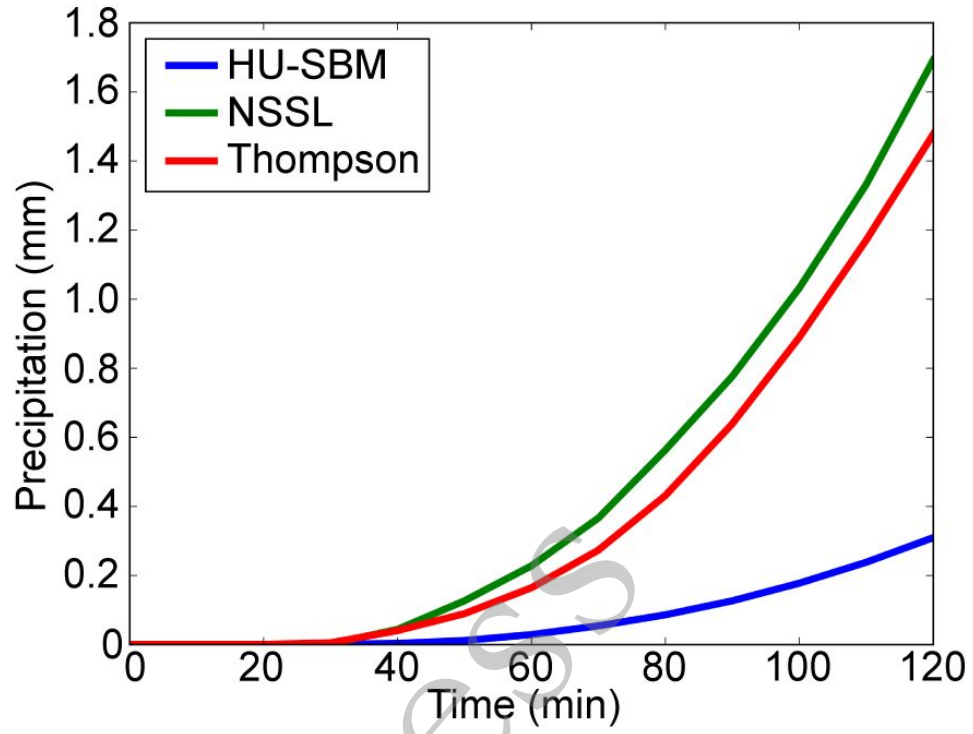
739



740 Figure 1. Horizontal reflectivity (Z_H [dBZ]; left column) through each storm's updraft and potential temperature perturbations Θ'
 741 (K ; right column) near $z \sim 280$ m for the (a,b) HU-SBM, (c,d) NSSL, and (e,f) Thompson microphysics schemes at $t = 100$ min.
 742 Blue lines in vertical cross sections denote the $0^\circ C$ isotherm, while black contours are vertical velocity which start at 10 m s^{-1} with
 743 a 15 m s^{-1} interval. Reflectivity contours (grey) are shown in Θ' subplots for 15, 30, and 45 dBZ, and the wind field is represented
 744 by vectors. Updraft contours at $z \sim 2$ km are shown as magenta contours for $w = 10 \text{ m s}^{-1}$, while downdraft contours at $z \sim 2$ km
 745 are shown as black contours for $w = -10, -5,$ and -2 m s^{-1} in Θ' subplots. Vertical black lines in Θ' plots denote where vertical cross
 746 sections are taken.

747

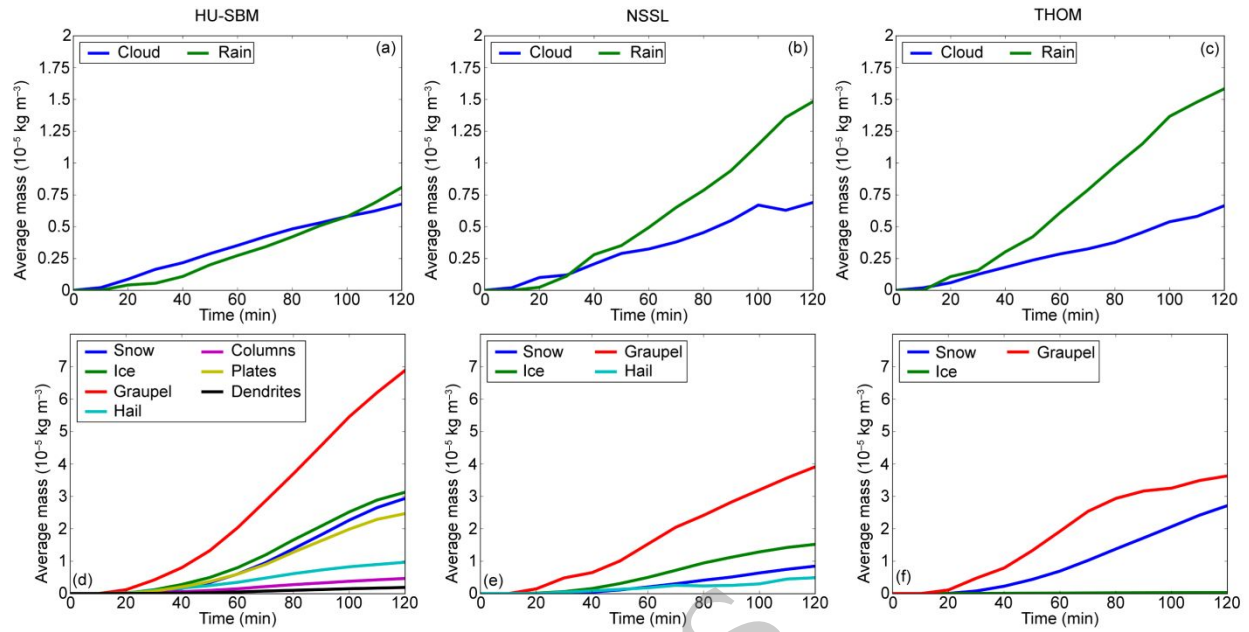
748



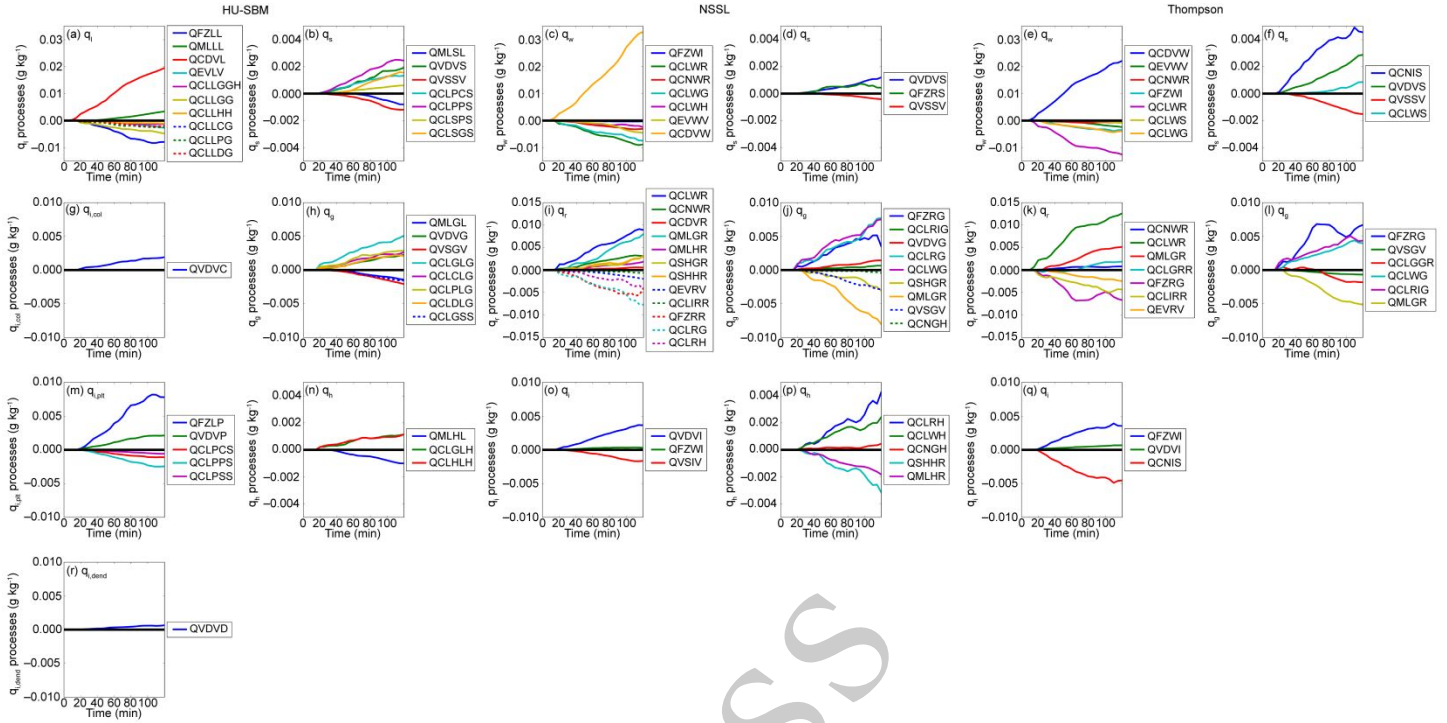
750 Figure 2. Domain-averaged accumulated precipitation (mm) over the duration of the model run for the HU-SBM, NSSL, and
751 Thompson microphysics schemes.

752

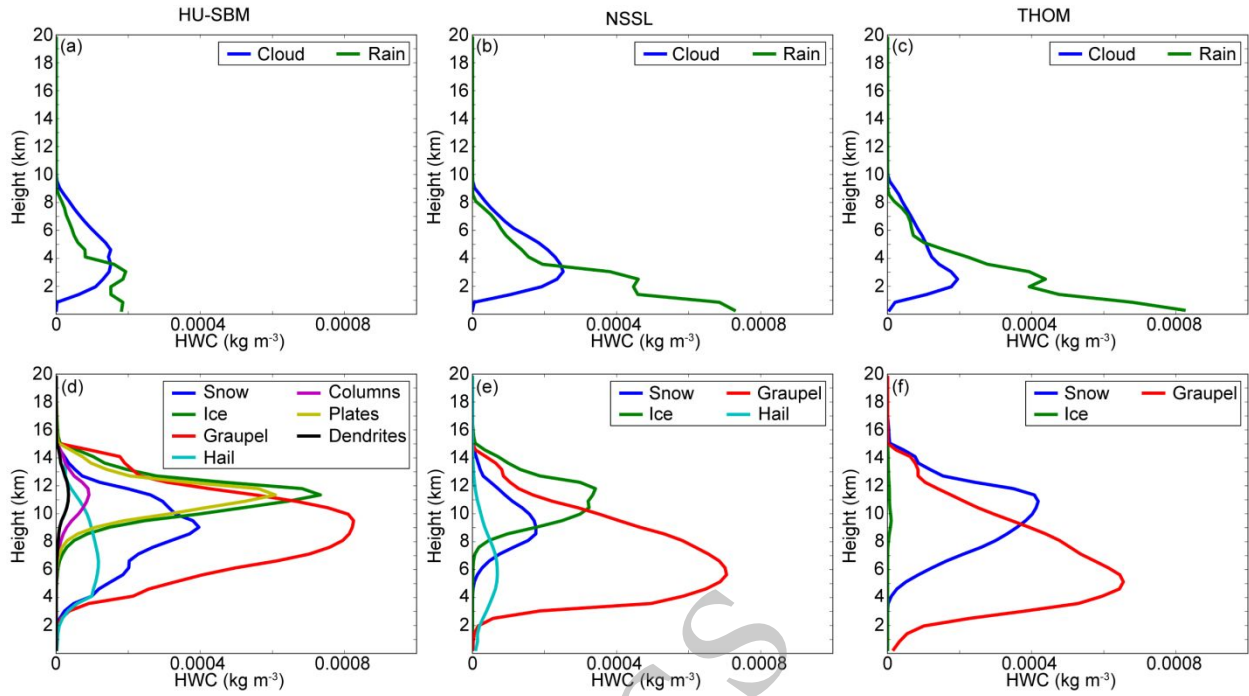
753



754 Figure 3. Time series of domain-averaged mass (kg m^{-3}) for (top row) liquid and (bottom row) ice hydrometeors for the (a,d) HU-
 755 SBM, (b,e) NSSL, and (c,f) Thompson microphysics schemes over the duration of the model run.

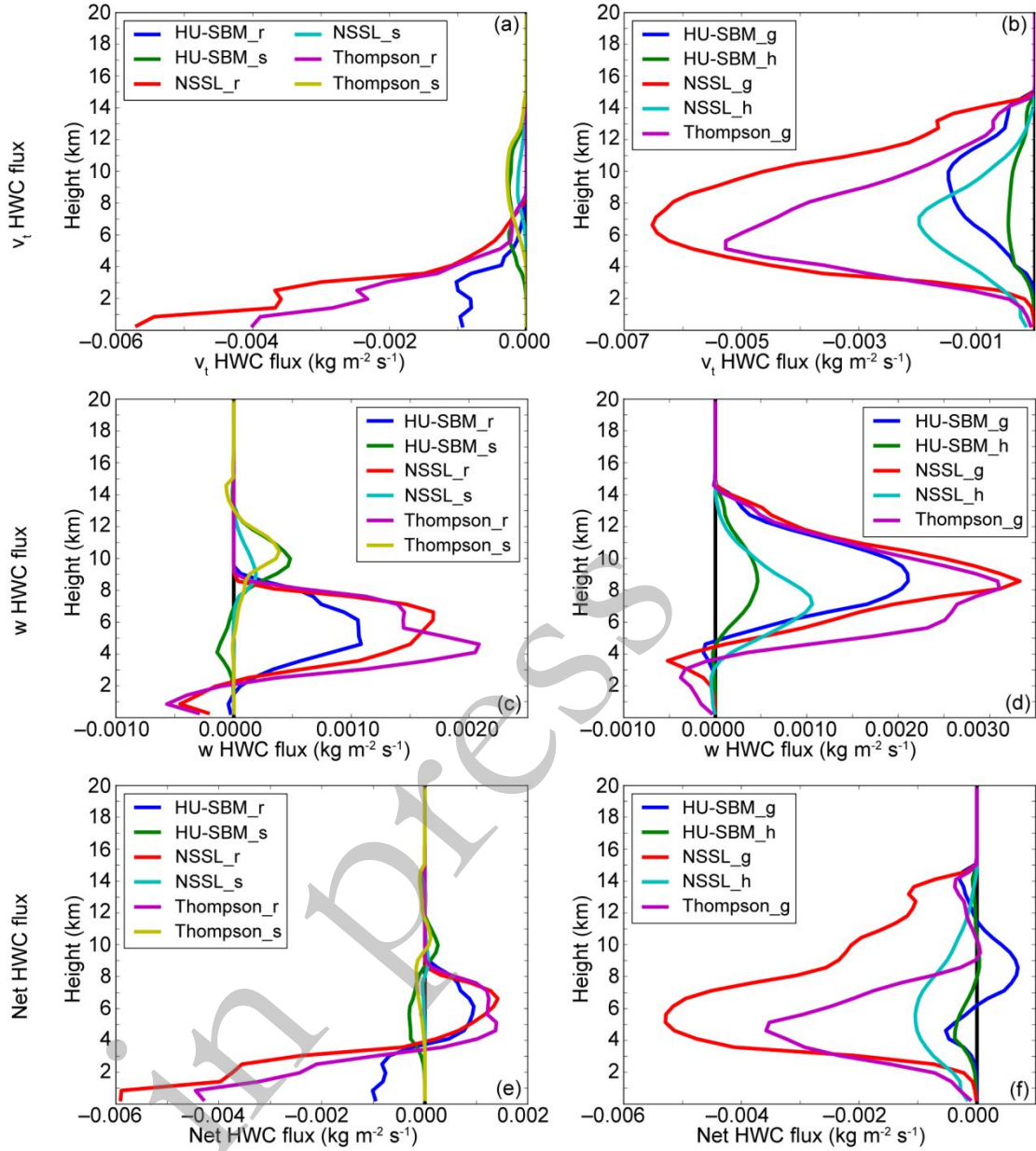


756 Figure 4. Domain-averaged 5-min accumulated mixing ratio process rates (g kg^{-1}) for the (left two columns) HU-SBM, (middle
 757 two columns) NSSL, and (right two columns) Thompson microphysics schemes. Plot labels indicate the relevant hydrometeor.



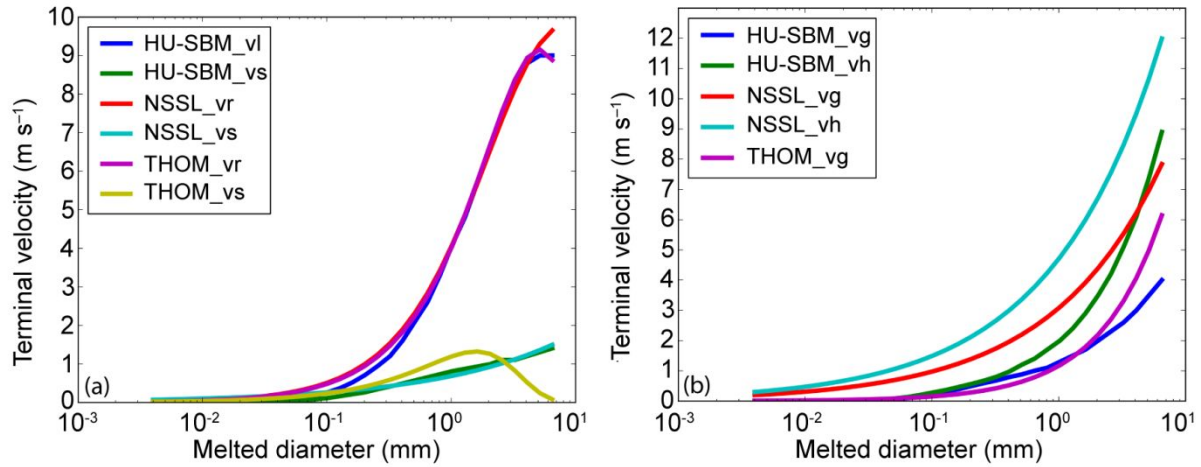
758 Figure 5. Vertical profiles of horizontally-averaged hydrometeor water content (kg m^{-3}) for liquid (top row) and ice (bottom row)
 759 hydrometeors for the (a,d) HU-SBM, (b,e) NSSL, and (c,f) Thompson microphysics schemes at $t = 100$ min.

760



766 Figure 7. Vertical profiles of horizontally-averaged hydrometeor water content fluxes ($\text{kg m}^{-2} \text{s}^{-1}$) calculated with (a,b) mass-
 767 weighted mean hydrometeor fall speed (v_i), (c,d) updraft/downdrafts (w), and (e,f) their net ($w - v_i$) vertical speed for (left column)
 768 rain and snow, and (right column) graupel and hail hydrometeors at $t = 100$ min. PSDs and fall speeds to calculate v_i are consistent
 769 with each microphysics scheme's parameterizations.

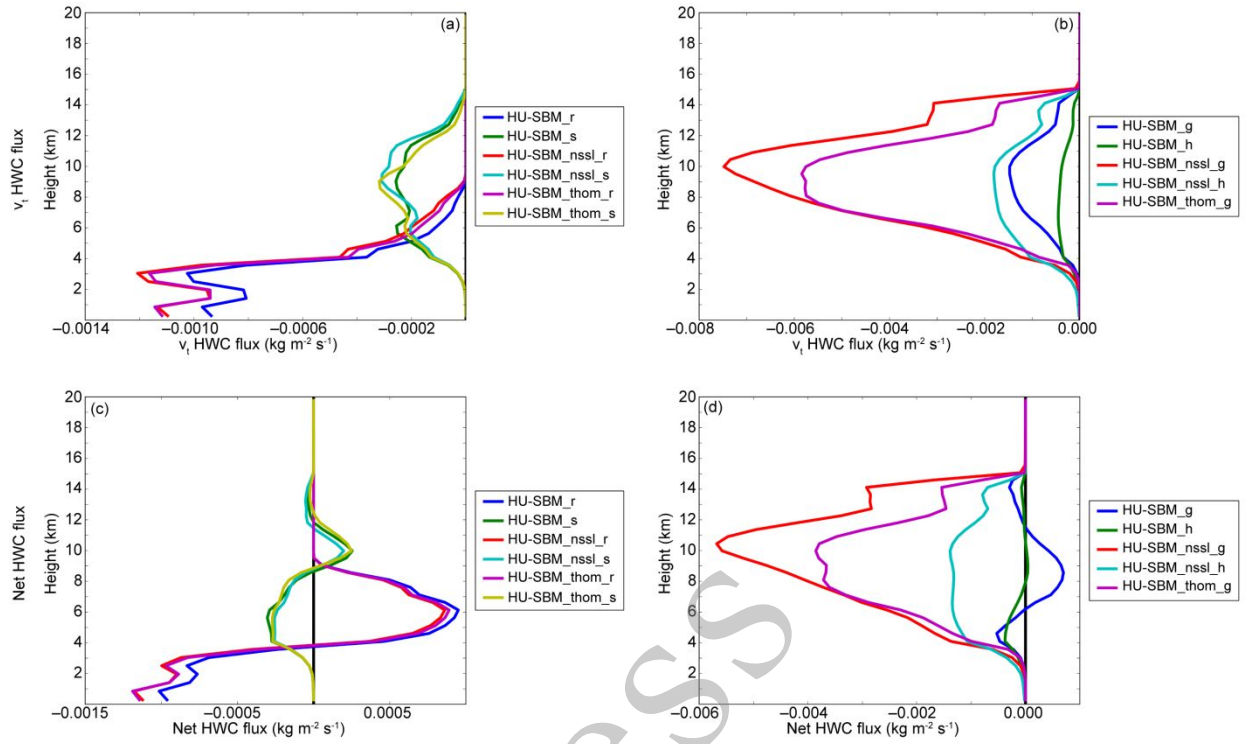
770



771 Figure 8. Terminal velocities (m s^{-1}) spaced at HU-SBM mass bins (but shown here relative to melted diameter (mm)) of (a) rain
 772 (or HU-SBM liquid) and snow, and (b) graupel and hail in the HU-SBM, NSSL, and Thompson schemes. The “_vx” suffix denotes
 773 the relationship for hydrometeor x. Terminal velocities are plotted in each microphysics’ reference state (i.e., pressure = 1000 hPa
 774 in HU-SBM, air density $\rho_a = 1.225$ and 1.185 kg m^{-3} in the NSSL and Thompson schemes, respectively). NSSL graupel and hail
 775 fall speeds are displayed with bulk densities of $\rho_g = 500$ and $\rho_h = 900 \text{ kg m}^{-3}$, respectively.

776

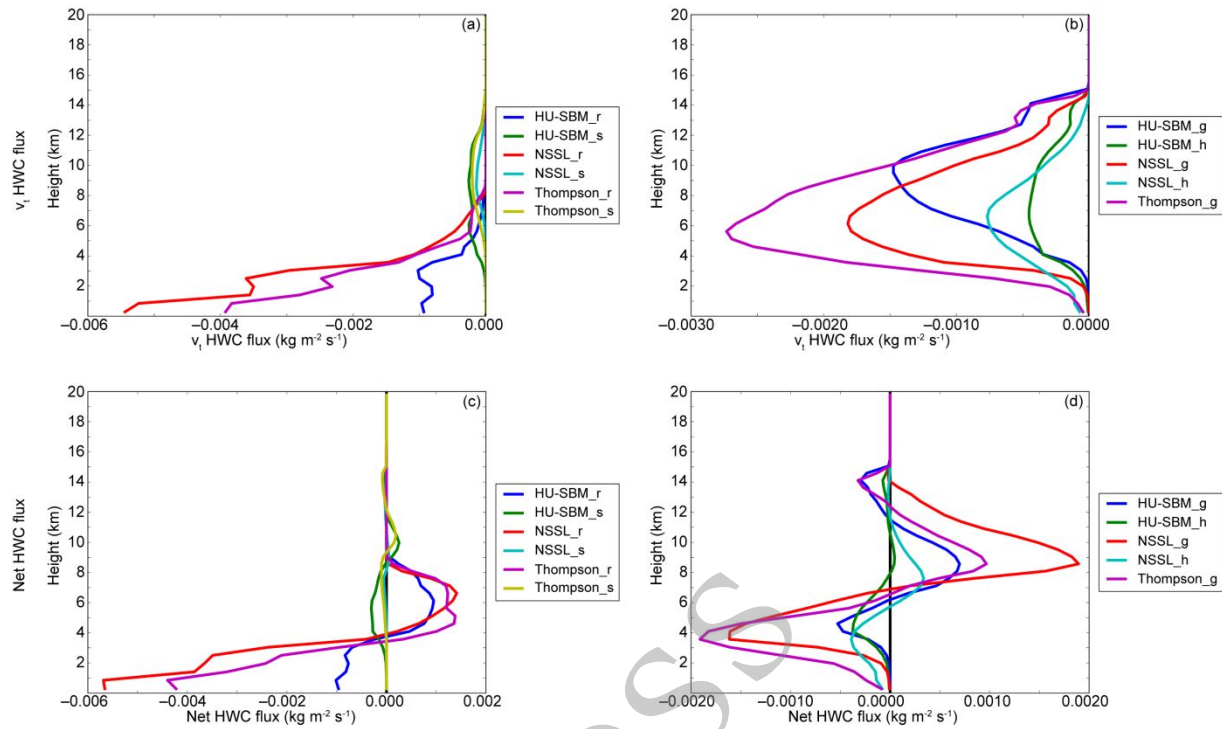
777



778 Figure 9. Vertical profiles of horizontally-averaged hydrometeor water content fluxes ($\text{kg m}^{-2} \text{s}^{-1}$) calculated with (a,b) mass-
 779 weighted mean hydrometeor fall speed (v_i), and (c,d) their net ($w - v_i$) vertical speed for (left column) rain and snow, and (right
 780 column) graupel and hail hydrometeors at $t = 100$ min. HU-SBM PSDs and fall speed parameterizations to calculate v_i follow
 781 original HU-SBM parameterizations (HU-SBM_x), or those in the NSSL (HU-SBM_nssl_x) or Thompson (HU-SBM_thom_x)
 782 schemes for hydrometeor x.

783

784



785 Figure 10. As in Figure 9, with NSSL and Thompson PSDs and fall speed parameterizations following those in the HU-SBM
 786 scheme.