

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25  
26  
27  
28  
29  
30  
31

**Assimilation of Radar Radial Velocity Data with the WRF Hybrid  
Ensemble-3DVAR System for the Prediction of Hurricane Ike (2008)**

Yongzuo Li, Xuguang Wang, and Ming Xue

School of Meteorology and Center for Analysis and Prediction of Storms  
University of Oklahoma, Norman, Oklahoma 73072

January, 2012

Submitted to Monthly Weather Review

Revised April 28, 2012

Corresponding author address:

Yongzuo Li  
Center for Analysis and Prediction of Storms  
University of Oklahoma,  
120 David L. Boren Blvd, Norman OK 73072  
yongzuo.li@ou.edu

32 **Abstract**

33 An enhanced version of the hybrid ensemble-3DVAR data assimilation system for the  
34 WRF model is applied to the assimilation of radial velocity ( $V_r$ ) data from two coastal WSR-  
35 88D radars for the prediction of Hurricane Ike (2008) before and during its landfall. In this  
36 hybrid system, flow-dependent ensemble covariance is incorporated into the variational cost  
37 function using the extended control variable method. The analysis ensemble is generated by  
38 updating each forecast ensemble member with perturbed radar observations using the hybrid  
39 scheme itself. The  $V_r$  data are assimilated every 30 minutes for 3 hours immediately after Ike  
40 entered the coverage of the two coastal radars.

41 The hybrid method produces positive temperature increments indicating a warming of  
42 the inner-core throughout the depth of the hurricane. In contrast, the 3DVAR produces much  
43 weaker and smoother increments with negative values at the vortex center at lower levels. Wind  
44 forecasts from the hybrid analyses fit the observed radial velocity better than that from 3DVAR,  
45 and the 3-h accumulated precipitation forecasts from the hybrid are also more skillful. The track  
46 forecast is slightly improved by the hybrid method and slightly degraded by the 3DVAR  
47 compared to the forecast from the GFS analysis. All experiments assimilating the radar data  
48 show much improved intensity analyses and forecasts compared to the experiment without  
49 assimilating radar data. The better forecast of the hybrid indicates that the hybrid method  
50 produces dynamically more consistent state estimations. Little benefit of including the tuned  
51 static component of background error covariance in the hybrid is found.

52

53 **1. Introduction**

54 Tropical cyclones (TCs) are among the most costly forms of natural disaster (Pielke et al.  
55 2008). An accurate TC forecast will require not only a numerical model to realistically simulate  
56 both the TC itself and its environment, but also a data assimilation (DA) system that can  
57 effectively use the observations to accurately estimate the initial TC vortex and the environment  
58 where the TC is embedded in.

59 To address the TC initialization issue, many previous studies adopted the vortex  
60 relocation and/or bogussing (e.g., Liu et al. 2000; Kurihara et al. 1995; Zou and Xiao 2000)  
61 techniques. While such techniques are non-trivial and have been shown to improve the hurricane  
62 forecast, how to maintain the dynamical and thermo-dynamical coherency of the hurricane and  
63 its environment is probably the biggest challenge with such methods.

64 Recently, several studies have explored the use of ensemble-based DA methods to  
65 initialize hurricane forecasts and have shown great promise (e.g., Torn and Hakim 2009; Zhang  
66 et al. 2009; Li and Liu 2009; Hamill et al. 2011; Wang 2011; Weng et al. 2011; Zhang et al. 2011;  
67 Aksoy et al. 2012; Weng et al. 2012; Dong and Xue 2012). The key with ensemble-based DA is  
68 the use of an ensemble to estimate the forecast error statistics in a flow-dependent manner.  
69 Therefore, the observation information will be properly weighted and spread consistent with the  
70 background hurricane forecasts; and perhaps more importantly, the ensemble covariance can  
71 realistically infer the flow-dependent cross-variable error statistics and therefore update state  
72 variables not directly observed in a dynamically and thermodynamically consistent manner.

73 One candidate in ensemble-based DA is the hybrid ensemble-variational DA method. It  
74 has been proposed (e.g., Hamill and Snyder 2000; Lorenc 2003; Etherton and Bishop 2004;  
75 Zupanski 2005; Wang et al. 2007b, 2008a; Wang 2010), implemented and tested with numerical

76 weather prediction (NWP) models recently (e.g., Buehner 2005; Wang et al. 2008b; Liu et al.  
77 2008, 2009; Buehner et al. 2010a,b; Wang 2011; Wang et al. 2011; Whitaker et al. 2011; Kleist  
78 et al. 2011; Wang et al. 2012). A standard variational method (VAR) typically uses static  
79 background error covariance, but a hybrid ensemble-variational DA system incorporates  
80 ensemble-derived flow-dependent covariance into the VAR framework. The ensemble can be  
81 generated by an ensemble Kalman filter (EnKF). Recent studies have suggested that hybrid DA  
82 systems may represent the “best of both worlds” by combining the best aspects of the variational  
83 and EnKF systems (e.g., Buehner 2005; Wang et al. 2007a, 2008a,b, 2009; Zhang et al. 2009;  
84 Buehner et al. 2010ab; Wang 2010). While preliminary tests of the hybrid DA system with real  
85 NWP models and data have shown great potential of the method for non-TC forecasts (e.g.,  
86 Wang et al. 2008b; Buehner et al. 2010ab) and for forecasts of TC tracks (e.g., Wang 2011;  
87 Whitaker et al. 2011), and there has been a growing body of literature documenting the success  
88 of using the EnKF to assimilate inner core data for TC initialization at convection-allowing  
89 resolutions (e.g., Zhang et al. 2009, Weng et al. 2011; Zhang et al. 2011; Aksoy et al. 2012;  
90 Weng et al. 2012; Dong and Xue 2012), to the author’s best knowledge, to date there is no  
91 published study applying a hybrid DA method to the assimilation of radar data at a convection-  
92 allowing resolution for TC predictions. This study serves as a pilot study applying the hybrid  
93 ensemble-3DVAR system developed for the WRF model (Wang et al. 2008a) to explore its  
94 potential for assimilating radar observations for hurricane forecasts. As a first step of such study,  
95 we focus on assimilating radar radial velocity data. Meanwhile, this study also performs detailed  
96 diagnostics to understand the fundamental differences between the roles and effects of flow-  
97 dependent and static covariances in the TC analysis and forecast.

98 More specifically, this study applies and explores the WRF ensemble-3DVAR hybrid  
99 system to the assimilation of coastal WSR-88D radar radial velocity data for the prediction of  
100 Hurricane Ike (2008) (Fig. 1). Ike is the second costliest tropical cyclones in the recorded history  
101 (1900-2010) over the mainland United States (<http://www.nhc.noaa.gov/pdf/nws-nhc-6.pdf>).  
102 Previous studies (e.g., Zhao and Xue 2009) have shown significant impact of the radar data for  
103 this case using ARPS 3DVAR/cloud analysis package. The remainder of this paper is organized  
104 as follows: Section 2 presents the methodology and section 3 discusses the experiment design.  
105 The experiment results are discussed in Section 4 while the final section summarizes the main  
106 conclusions of this study.

## 107 **2. Methodology**

### 108 *a. The hybrid ensemble-3DVAR scheme*

109 A diagram of the hybrid DA system is shown in Fig. 2. Similar to Hamill and Snyder  
110 (2000), the following four steps are repeated for each DA cycle: 1. Perform K (K is the ensemble  
111 size) number of ensemble forecasts to generate background forecast fields at the time of analysis;  
112 2. Calculate ensemble forecast perturbations to be used by the hybrid cost function for flow-  
113 dependent covariance by subtracting ensemble mean from each member; 3. Generate K  
114 independent sets of perturbed observations by adding random perturbations to the observations; 4.  
115 Obtain the analysis increment for each ensemble member through minimization of the hybrid  
116 cost function using one set of perturbed observations. Steps 1 through 4 are repeated for each of  
117 the follow-on cycles, with the ensemble analyses providing initial conditions for step 1. In step 3,  
118 the random perturbations added to the observations are drawn from a Gaussian distribution with  
119 a mean of zero and a standard deviation of the observation error. This ‘perturbed observation  
120 method’ was used in Hamill and Snyder (2000), which corresponds to the classic stochastic

121 ensemble Kalman filters (Burgers et al. 1998; Houtekamer and Mitchell 1998; Evensen, 2003).  
 122 In the original work of Wang et al. (2008a), the ensemble transform Kalman filter (ETKF) was  
 123 used to update forecast perturbations.

124 A brief review on the extended control variable method for incorporating ensemble  
 125 covariance into a WRF 3DVAR framework is given here. For detailed discussions, readers are  
 126 referred to Wang et al. (2007b, 2008a).

127 For state vector  $\mathbf{x}$ , the analysis increment of the hybrid scheme,  $\mathbf{x}'$ , is the sum of two  
 128 terms,

$$129 \quad \mathbf{x}' = \mathbf{x}'_1 + \sum_{k=1}^K (\mathbf{a}_k \circ \mathbf{x}_k^e). \quad (1)$$

130 The first term  $\mathbf{x}'_1$  in Eq. (1) is the increment associated with WRF 3DVAR static background  
 131 covariance and the second term is the increment associated with flow-dependent covariance.  
 132 Here, the vectors  $\mathbf{a}_k$ ,  $k = 1, \dots, K$ , denote extended control variable (Lorenc 2003) for each  
 133 ensemble member; and the second term of Eq. (1) represents a local linear combination of  
 134 ensemble perturbations. The coefficient  $\mathbf{a}_k$  for each member varies in space as discussed later,  
 135 which determines the ensemble covariance localization (see Wang et al. 2008a for further  
 136 details).  $\mathbf{x}_k^e$  is the  $k^{\text{th}}$  ensemble perturbation state vector. The symbol 'o' denotes the Schur  
 137 product (element by element product) of the vectors  $\mathbf{a}_k$  and  $\mathbf{x}_k^e$ .

138 The cost function for WRF hybrid ensemble-3DVAR is

$$139 \quad J(\mathbf{x}'_1, \mathbf{a}) = \beta_1 J_b + \beta_2 J_e + J_o,$$

$$140 \quad = \beta_1 \frac{1}{2} (\mathbf{x}'_1)^T \mathbf{B}^{-1} (\mathbf{x}'_1) + \beta_2 \frac{1}{2} (\mathbf{a})^T \mathbf{A}^{-1} (\mathbf{a}) + \frac{1}{2} (\mathbf{y}^{o'} - \mathbf{H}\mathbf{x}')^T \mathbf{R}^{-1} (\mathbf{y}^{o'} - \mathbf{H}\mathbf{x}'). \quad (2)$$

141  $J_b$  is the traditional WRF 3DVAR background term associated with the static covariance  $\mathbf{B}$  and  
 142  $J_e$  is the hybrid term associated with flow-dependent covariance.  $\mathbf{a}$  is defined as

143  $\mathbf{a}^T = (\mathbf{a}_1^T, \mathbf{a}_2^T, \dots, \mathbf{a}_k^T)$ .  $J_o$  is the observation term associated with observation error covariance  $\mathbf{R}$ .

144 The innovation vector  $\mathbf{y}^{o'}$  is defined as,  $\mathbf{y}^{o'} = \mathbf{y}^o - \mathbf{H}(\mathbf{x}^b)$ , where  $\mathbf{y}^o$  is the observation vector,  $\mathbf{x}^b$  is  
145 the background forecast state vector, and  $\mathbf{H}$  is the linearized observation operator.

146 The weights of the static covariance and flow-dependent covariance are determined by  
147 factors  $\beta_1$  and  $\beta_2$  according to relationship

$$148 \quad \frac{1}{\beta_1} + \frac{1}{\beta_2} = 1, \quad (3)$$

149 which conserves the total variance.

150 As described in Wang et al. (2008a), the ensemble covariance localization, denoted as  $\mathbf{A}$ ,  
151 has horizontal and vertical components. In this study, both the horizontal and vertical  
152 localization are applied. Specifically, the horizontal localization is modeled by a recursive filter  
153 transform as in Wang et al. (2008a). The vertical localization is implemented by transforming the  
154 extended control variable  $\mathbf{a}$  in Eq. (2) with empirical orthogonal functions (EOFs). The  
155 correlation matrix, denoted as Cov, from which the EOFs is derived, follows

$$156 \quad \text{Cov}(k_1, k_2) = \exp\left(-\frac{d^2}{L^2}\right), \quad (4)$$

157 where  $d$  is the distance between model levels  $k_1$  and  $k_2$  and  $L$  is the vertical localization radius.  
158 Existing EOF codes in the WRF 3DVAR for modeling the vertical static error covariance is used  
159 for the vertical ensemble covariance localization purpose.

### 160 **3. Experimental design**

#### 161 *a. The WRF model configuration*

162 The Advanced Research WRF (ARW) model version 3 (Skamarock et al. 2008) is used  
163 in this study. The model is compressible, three-dimensional, non-hydrostatic, discretized on a

164 Arakawa C grid with terrain-following mass-based sigma coordinate levels. In this study, the  
165 WRF model is configured with 401x401 horizontal grid points at 5-km grid spacing (Fig. 1), and  
166 41 vertical levels with the model top at 100 hPa. The WRF single-moment six-class scheme  
167 (Hong et al. 2004) is chosen for the explicit microphysics processes. Since the grid resolution  
168 may not fully resolve the hurricane convective features, the Grell-Devenyi cumulus  
169 parameterization scheme (Grell; Devenyi 2002) is included. Other physics parameterizations  
170 schemes used include the Yonsei University (YSU) (Noh et al. 2003) scheme for planetary  
171 boundary layer parameterization, the 5-layer thermal diffusion model for land surface processes  
172 (Skamarock et al. 2008), the Rapid Radiative Transfer Model (RRTM) longwave (Mlawer et al.  
173 1997), and the MM5 shortwave (Dudhia 1989) radiation parameterization.

174 *b. The radar data processing*

175 The radial velocity data from coastal WSR-88D radars at Houston, Texas (KHGX) and  
176 Lake Charles, Louisiana (KLCH) are processed using a modified version of the Four  
177 Dimensional Dealiasing Algorithm (James and Houze 2001). The algorithm was originally  
178 designed for Doppler radars in European Alps. The modified algorithm by this study is capable  
179 of reading level-II WSR-88D data and dealiasing the radial velocities.

180 To dealias radial velocity data, the following steps are performed: First, a wind profile is  
181 created based on model background, rawinsonde, or wind profiler data. The background radial  
182 velocity in radar observation space is calculated from the wind profile, assuming the wind is  
183 horizontally homogeneous. Second, the WSR-88D radial velocity is compared with the  
184 background radial velocity for a gross check. In this step, aliased radial velocity that needs to be  
185 corrected is identified. Third, at each elevation angle, spatial dealiasing is performed. The aliased  
186 velocity  $V_a$  will be recovered by factored Nyquist velocity  $V_n$ ,

187 
$$V_d = V_a + 2NV_n, \quad (5)$$

188 where  $N$  is a positive or negative integer whose sign and value are determined by a gate-to-gate  
189 shear threshold of  $0.4V_n$  (James and Houze 2001). After dealiasing is finished, the radial velocity  
190 interpolated to the Cartesian coordinates is thinned to 10 km spacing horizontally and 500 meter  
191 vertically.

192 Figure 3 shows the processed radial velocity at  $0.5^\circ$  elevation angle for KHGX (Fig. 3a)  
193 and KLCH (Fig. 3b) at 0000 UTC 13 September 2008. These two radars complement each other  
194 by providing scans that are approximately the right angle at the location of Ike's eye. KHGX  
195 covers almost all of Ike's eye and eye wall. The outbound radial velocity on the left side of the  
196 eye and inbound radial velocity on the right side of the eye reflect the circulation of the hurricane.  
197 KLCH covers only about half of eye and eye wall. The outbound radial velocity on the front side  
198 of the eye and inbound radial velocity on the back side of the eye also reflect the circulation of  
199 the hurricane.

200 The observation error standard deviation for the radial velocity is set to  $2 \text{ m s}^{-1}$  during the  
201 DA. This error value is similar to the values used in (Dowell; Wicker 2009), (Xu; Gong 2003),  
202 and (Xiao et al. 2009).

### 203 *c. The data assimilation setup*

204 This paper presents five experiments denoted as NoDA, 3DVARa, 3DVARb, HybridF,  
205 and HybridH (Table 1). Experiments differ based on what, if any, assimilation system is used for  
206 radar data. The experiments are designed to examine the difference of using flow-dependent  
207 versus static background covariance when assimilating the radar data and the impact of DA on  
208 the subsequent forecast.

209           The NoDA experiment did not assimilate any radar data, instead the WRF model initial  
210 condition at 0300 UTC 13 September 2008 simply comes from the 1°x1° degree NCEP (National  
211 Centers for Environmental Prediction) operational GFS (Global Forecast System) analysis. The  
212 6-hourly GFS analyses also provide the lateral boundary conditions (LBCs).

213           The “3DVARb” experiment assimilated the radar data using the traditional 3DVAR  
214 method where the static background covariance is adopted. The static covariance is generated  
215 and further tuned as followed. The NMC method (Parrish and Derber 1992) was first employed  
216 to generate the static background covariance statistics based on 12-h and 24-h WRF model  
217 forecasts, starting at 00 UTC and 12 UTC every day, during the period from 01 to 15 September  
218 2008. The experiment using the static covariance generated by the above procedure without  
219 further tuning is denoted as 3DVARa. Because the default correlation length scales derived from  
220 the NMC method reflects mostly large-scale error structures, their direct use may not be  
221 appropriate for storm-scale radar DA (Liu et al. 2005). The horizontal correlation length scale of  
222 the static covariance is reduced by a factor of 0.3 in experiment 3DVARb and this factor is found  
223 to be optimal through experimentations. The 3DVAR experiments contains three stages (Fig.  
224 4a): (1) a single 6-h spinup forecast initialized from the GFS analysis at 1800 UTC, September  
225 12, to produce an initial first guess at 0000 UTC, September 13 for radar DA cycles. The spin-up  
226 time of 6 hours is based on past experiences and other published studies (e.g., Zhang et al. 2009,  
227 spin-up time of 9 hours; Aksoy et al. 2012, spin-up time of 6 hours); (2) assimilation of radial  
228 velocity data from KHGX and KLCH radars every 30 minutes for 3 hours; (3) a 21-h  
229 deterministic forecast initialized by the analysis at the end of the assimilation cycles in (2). The  
230 WRF model boundary conditions for all three stages are also provided by the operational GFS

231 analyses at 6 hourly intervals. Experiment 3DVARb serves as a base line for evaluating the  
232 performance of the hybrid method.

233 Experiments HybridF and HybridH are identical except that the different weighting  
234 factors  $\beta_1$  and  $\beta_2$  are used in Eq. (2). For HybridF, the full weight is assigned on the flow-  
235 dependent ensemble covariance (using  $1/\beta_1 = 1/1001$  and  $1/\beta_2 = 1/1.001$ ). For HybridH, the static  
236 covariance and the flow-dependent ensemble covariance are equally weighted ( $1/\beta_1 = 1/2$  and  
237  $1/\beta_2 = 1/2$ ), i.e., only half of the flow-dependent covariance is used, hence the ‘H’ in the name.  
238 The horizontal correlation scale of static covariance in HybridH is also reduced by a factor of 0.3  
239 as in 3DVARb. Meanwhile, HybridH uses the same flow dependent covariance localization as  
240 HybridF, which will be discussed in detail in section 4.a.

241 Each of the hybrid experiments, HybridF and HybridH, has 40 ensemble members.  
242 Similar to the 3DVAR experiments, the hybrid experiments have three stages (Fig. 4b): (1) 6-h  
243 ensemble forecasts to spin up a first guess ensemble and provide flow-dependent covariance at  
244 the beginning of the radar DA cycles. The initial and boundary conditions for each member are  
245 the GFS analysis plus correlated random perturbations following Torn et al. (2006) and Wang et  
246 al. (2008a,b); (2) assimilation of perturbed radial velocity data from KHGX and KLCH radars  
247 every 30 minutes for 3 hours by variationally minimizing the hybrid cost function, according to  
248 the description given in the previous section (see also Fig. 2); (3) a 21-h deterministic forecast  
249 initialized from the ensemble mean analysis at the end of the DA cycles in (2). To generate the  
250 random perturbations in (1), the random-cv facility in the WRF 3DVAR system is employed  
251 (Barker et al. 2004). First, a random control variable vector is created with a normal distribution  
252 having a zero mean and unit standard deviation. Then the perturbation control variable vector is  
253 transformed to the model space to obtain perturbations to the model state variables including the

254 horizontal wind components, pressure, potential temperature, and mixing ratio of water vapor.  
255 The perturbation standard deviations are roughly  $1.9 \text{ m s}^{-1}$  for the horizontal wind components,  
256  $0.6 \text{ K}$  for temperature,  $0.3 \text{ hPa}$  for model pressure perturbation, and  $0.9 \text{ g kg}^{-1}$  for water vapor  
257 mixing ratio and these values are based on the NMC-method-derived background error statistics.

258 Like other ensemble based data assimilation algorithm, the hybrid ensemble-3DVAR  
259 quickly reduces ensemble spread after assimilating observations. The relaxation method of  
260 Zhang et al. (2004) for ensemble covariance inflation was adopted. Specifically, the inflated  
261 ensemble posterior perturbation  $\mathbf{x}'_{\text{new}}$  is a weighted average of prior perturbation  $\mathbf{x}'_{\text{f}}$  and posterior  
262 perturbation  $\mathbf{x}'_{\text{a}}$ ,  $\mathbf{x}'_{\text{new}} = (1 - b) \mathbf{x}'_{\text{f}} + b \mathbf{x}'_{\text{a}}$ , the relaxation coefficient, denoted as  $b$ , is set to 0.5 in  
263 this study. This formulation retains part of prior perturbation to mitigate quick spread reduction.

#### 264 **4. Results and discussion**

265 The analysis increment of the first DA cycle, the cycling process, the final analysis fields,  
266 and the deterministic forecasting results will be presented and discussed in this section. The  
267 subsection organization roughly follows the experiment flow charts in Fig. 4.

##### 268 *a. Single observation test for vertical localization*

269 Before complete DA experiments are performed, the vertical covariance localization in  
270 the hybrid scheme is tested by assimilating a single radial velocity observation. Figure 5 shows  
271 the wind speed increment produced by HybridF analyzing a single radial velocity observation  
272 located 3176 m above sea level at 0000 UTC 13 September 2008. The innovation (i.e., the  
273 observed radial velocity minus forecast ensemble mean valid at 0000 UTC 13 September) for  
274 this observation is  $-38.63 \text{ m s}^{-1}$ . Without the vertical localization, nonzero increment reaches the  
275 top of the model with relatively noisy increments at the upper levels (Fig. 5a). The horizontal and  
276 vertical localization radii of 60 and 3 km, respectively, are used in hybrid experiment HybridF

277 (and in HybridH). The localization radii were empirically determined. For example, we tested 20  
278 km, 60km, 200 km, 600 km for horizontal localization and found the 60km showed the most  
279 reasonable increment. The vertical localization was also tested. The radar observation over Ike  
280 inner core area is about 3 km above the surface. With 3 km vertical localization scale, the  
281 influence of radar data could reach the surface. Figure 5b shows that with such localizations, the  
282 analysis increment is more confined around the observation location. This single observation test  
283 shows that our implementation of the vertical localization is taking effect.

#### 284 *b. Wind increments*

285 To see the differences in analyzing the radar data using flow-dependent and static  
286 covariances, the analysis increments from the 3DVAR and hybrid experiments after the first  
287 analysis time are compared. We first look at the wind increments and will look at indirectly  
288 related cross-variable increments in the next subsection.

289 Figure 6 shows the wind analysis increments at 850 hPa, at 0000 UTC 13 September  
290 2008, the time of first analysis for 3DVARa, 3DVARb, HybridF, and HybridH. The increment in  
291 3DVARa using the default NMC-method-derived static covariance shows cyclonic and anti-  
292 cyclonic increment patterns of rather large scales (Fig. 6a); the cyclonic increment circulation is  
293 centered almost 2 degrees off the observation hurricane center to the southsoutheast, while at the  
294 hurricane center location the wind increment is mostly easterly. To the north the increment  
295 circulation shows an anti-cyclonic pattern. Such cyclonic and anti-cyclonic increments are also  
296 found in a previous studies assimilating radar radial velocity data using WRF 3DVAR (e.g., Xiao  
297 et al. 2007), but are clearly unrealistic, and do not reflect the fact that a strong vortex exists  
298 where the background strongly underestimate the strength of the vortex. The default background  
299 error covariance derived from the NMC method is unaware of the hurricane vortex and its spatial

300 correlation scales mostly reflect synoptic scale error structures. The net result is the  
301 inappropriately large amount of smoothing of the radar data in the data dense region and  
302 inappropriately large spreading of the information outside the data coverage region. The radar  
303 data, being collected at high spatial resolution, should be analyzed using much smaller spatial  
304 correlation scales. This had been pointed out in Liu et al. (2005). The use of smaller correlation  
305 scales for radar data is a common practice in the ARPS 3DVAR system (e.g., Hu et al. 2006;  
306 Schenkman et al. 2011). Sugimoto et al (2009) also tested the sensitivity of WRF 3DVAR to the  
307 correlation length scale and the variance of the background covariance for radar data assimilation.

308         In 3DVARb, the default horizontal spatial correlation scale is reduced by a factor of 0.3.  
309 The resulting wind increment now shows a more or less symmetric cyclonic pattern around the  
310 observed center of Ike (Fig. 6b). Compared with 3DVARa, the large increments are more limited  
311 to the region of vortex in 3DVARb, and the increment is consistent with the inbound and  
312 outbound radial velocity couplets associated with the hurricane vortex as observed by KHGX  
313 and KLCH radars (Fig. 3). Such results are more realistic.

314         In HybridF with full weight given to the flow-dependent covariance, the wind increment  
315 also shows a cyclonic pattern centered around the eye of Ike (Fig. 6c), but the increment  
316 circulation is less axisymmetric, reflecting the contribution of spatially inhomogeneous flow-  
317 dependent covariance. When equal weights are placed on the ensemble covariance and static  
318 covariance in HybridH, the wind increments show a pattern that is close to that of 3DVARb, but  
319 the increment magnitude is between those of the HybridF and 3DVARb (Fig. 6d).

### 320 *c. Temperature increments*

321         Because radar radial velocity is the only data type assimilated in this study, any  
322 increment in temperature is the result of balance relationship applied (if any) and/or due to cross-

323 covariance in the background error. Figure 7 shows the 850 hPa temperature increments for  
324 3DVARb, HybridF, and HybridH after assimilating radial velocity data for the first cycle. For  
325 3DVARb, negative temperature increments are found in the vortex region, and the magnitude is  
326 largest near the hurricane center (Fig. 7a). Physically, enhanced hurricane vortex circulation  
327 should be accompanied by warming of the vortex core region, to give a warmer core vortex;  
328 hence the 3DVAR temperature increment is inconsistent with expected hurricane structures. The  
329 negative increment is expected of the 3DVAR, because the increment is obtained through a  
330 balance relationship between temperature and wind and this relationship reflects the thermal  
331 wind relation. More specifically, the ‘balanced temperature’ increment  $T_b$  at a vertical level  $k$ , in  
332 WRF 3DVAR is related to the stream function  $\psi$  by a regression relation,  $T_b(k) = \sum_l G(l,k) \psi(l)$ ,  
333 where  $G$  is the regression coefficient and the summation is over the vertical index  $l$ . Such a  
334 regression relation derived using the NMC-method generally reflects hydrostatic, geostrophic,  
335 and thermal wind relations (Barker et al. 2004). A colder core at 850 hPa is consistent with an  
336 enhanced cyclonic circulation at the 700 hPa seen in Fig. 6. Note that at this distance, the lowest  
337 radar beams do not reach below 850 hPa, hence the enhancement of wind is larger above 850  
338 hPa. Therefore the cyclonic wind increment increases with height in the lower atmosphere. We  
339 note that negative temperature increment is also seen in the low-level eye region of analyzed  
340 hurricanes in previous studies using Airborne Doppler radar data and WRF 3DVAR (e.g., Xiao  
341 et al. 2009)

342 Different from 3DVAR, the temperature increment obtained in HybridF shows positive  
343 increments in the eye region (Fig. 7b) and spiral patterns in the eye wall and outer rainband  
344 regions. In this case, the hurricane in the background forecast at 0000 UTC 13 September 2008  
345 is much weaker than the observation (Fig. 8b), which is accompanied by lower temperatures at

346 the core of the vortex than observed. When radar observations are assimilated, the background  
347 TC vortex is strengthened and therefore the core temperature is expected to be increased to be  
348 consistent with the warm core structure of TCs. The more realistic increment structures in  
349 HybridF are the result of temperature-wind cross covariances derived from the ensemble, which  
350 have knowledge of the vortex as a tropical cyclone. In addition, the magnitude of the temperature  
351 increments in HybridF is an order of magnitude larger than that of 3DVARb; the temperature  
352 increment in the 3DVAR analysis of Xiao et al. (2009) for Hurricane Jeanne (2004) was also  
353 weak, reflecting the relative weak thermal wind relationship in 3DVAR.

354 Same as the wind increment, the temperature increment from HybridH is in-between  
355 those of HybridF and 3DVARb (Fig. 7c). The magnitude is about half that of HybridF. The  
356 structure of the increment resembles that of HybridF more but the eye region has negative  
357 instead of positive increments. From this aspect, HybridH is poorer than HybridF.

#### 358 *d. Innovation statistics for Vr and minimum sea level pressure in DA cycles*

359 The behaviors of 3DVARb, HybridH, and HybridF are further compared by examining  
360 the fit of their analyses and forecasts to Vr observations during the DA cycles. The fit is defined  
361 as the root mean square difference (RMSD) between the model state and observations, after the  
362 model state is converted to the observed quantities; and such difference is also called observation  
363 innovation. Figure 8 shows the RMSDs for Vr and minimum sea level pressure (MSLP) from  
364 HybridH, HybridF and 3DVARb. Vr data of both KHGX and KLCH are used in the innovation  
365 calculation and for the hybrid, the ensemble mean is used. In all three experiments, the RMSD  
366 for Vr is reduced significantly by the analysis within each cycle and the largest reduction occurs  
367 in the first analysis cycle at 0000 UTC when the observation innovations are the greatest. In later  
368 cycles, the innovations for the analyses remain roughly between 2.5 and 3.5 m s<sup>-1</sup>, which is

369 reasonable given the  $2 \text{ m s}^{-1}$  expected observation error. The 30-minute forecasts following each  
370 analysis generally increase the  $V_r$  innovation by about  $2 \text{ m s}^{-1}$ , reaching  $4\text{-}5 \text{ m s}^{-1}$  levels. In  
371 general, HybridH produces analyses that fit  $V_r$  observations tightest while HybridF the least and  
372 3DVARb is in-between. Similar is true of the 30-minute forecasts. Note that although the  
373 analysis increment of HybridH is in general (Fig. 6 and Fig. 7) in-between HybridF and  
374 3DVARb, the root-mean-square  $V_r$  fit to observations in HybridH is not necessarily between  
375 HybridF and 3DVARb. The observation innovation statistics can help us to see if the DA system  
376 is doing about the right things, but being ‘verification’ against the same set of observations that  
377 is also used in the DA, it cannot really tell us the true quality of the analyses. True measures of  
378 the analysis quality require verifications against independent observations or verification of  
379 subsequent forecasts, which will be presented later.

380         Figure 8b shows the fit of the analysis and forecast MSLPs to the best track data from the  
381 National Hurricane Center. The best track MSLP is more or less constant during this 3 hour  
382 period, being at about 952 hPa. At the beginning of DA cycling (0000 UTC 13 September), the  
383 MSLP is about 23 hPa higher than the best track estimate. Most of the reductions in MSLP in all  
384 cases are actually achieved through adjustment during the forecasting process, with more than 15  
385 hPa reduction achieved during the first analysis cycle between 0000 and 0030 UTC. This is not  
386 surprising because wind is the only parameter directly measured, and pressure analysis  
387 increments are only achieved through balance relationships and/or cross covariance, which are  
388 apparently weak.

389         We note in general, the MSLP decreases faster in the short forecasts between the analyses  
390 in the hybrid experiments than in 3DVARb. This is consistent with the fact that the hybrid  
391 method tends to build a warmer vortex core, and warmer temperature tends to induce a lower

392 surface pressure due to hydrostatic balance. A stronger vortex circulation will also induce lower  
393 central pressure due to cyclostrophic balance. During the final 3 cycles, there is clearly over-  
394 deepening of the central pressure in HybridH in the short forecasts, resulting in a fall of MSLP  
395 that is about 5.5 hPa too low compared to best track. The final analyzed MSLP in HybridF is  
396 about 2.0 hPa too low, which should be within the uncertainty range of MSLP best track data.  
397 We also note that in this study, since the dense radar data define the TC center location rather  
398 well (Fig. 3) and are assimilated every 30 minutes, the TC locations in the first guess ensembles  
399 do not diverge too much in the 30-minute forecasts throughout the assimilation cycles.

400 Overall, errors in the maximum surface wind (MSW) and MSLP are greatly reduced after  
401 assimilating radar data in all DA experiments. At 0300 UTC 13 September, the end of the DA  
402 cycles, the best track MSW and MSLP are  $47.5 \text{ m s}^{-1}$  and 951 hPa respectively. For 3DVARb,  
403 HybridF, and HybridH, after assimilating radar radial wind, the MSW errors are 1, 0.8, and 2.7  
404  $\text{m s}^{-1}$  and the MSLP errors are 0.2, 1.9, and 5.6 hPa, respectively. The larger MSW (which is not  
405 directly observed) error in HybridH suggests that there is over-fitting of the analyzed wind to Vr  
406 observations (Fig. 8a). For NoDA experiment without assimilating radar data, the MSW error is  
407  $9 \text{ m s}^{-1}$  and MSLP error is 29 hPa.

#### 408 *e. The analyzed hurricane structures*

409 We examine next the structure of the hurricane at the end of the DA cycles by plotting  
410 fields at the surface and in vertical cross sections through the analyzed hurricane center. Figure 9  
411 shows the analyzed mean sea level pressure and surface wind vectors for NoDA, 3DVARb,  
412 HybridF and HybridH. Compared with NoDA (Fig. 9a), the analyzed vortex circulations are  
413 stronger and the minimum sea level pressure is much lower in 3DVARb, HybridF, and HybridH

414 (Fig. 9b-d). Such primary hurricane circulations (Willoughby 1990) are captured well by the  
415 assimilation of radar radial velocity data.

416 Figure 10 shows the vertical cross sections of horizontal wind speed and potential  
417 temperature for all four experiments. The locations of cross sections are through the analyzed  
418 hurricane center and the location of maximum wind speed of each experiment as indicated by the  
419 thick lines in Fig. 9; the locations of MSLP and maximum wind for the four experiments are  
420 slightly different. In NoDA, the hurricane eye is much wider and the intensity is much weaker  
421 than in the three radar DA experiments. Unlike the hybrid experiments, the potential temperature  
422 contours of 3DVARb (Fig. 10b) do not bend downward below ~600 hPa. The downward  
423 extrusion of potential temperature contours in HybridF and HybridH indicates a warm core  
424 structure (Fig. 10c, d). In experiment 3DVARb (Fig. 10b), the maximum wind speed at ~850 hPa  
425 on the right side of eye wall is about  $10 \text{ m s}^{-1}$  larger than those in HybridF and HybridH (Fig. 10c,  
426 d), but this larger wind speed is not accompanied by a warmer core expected of a stronger TC;  
427 this is an indication that the 3DVAR analysis is not dynamically and thermodynamically  
428 balanced.

429 Given the inner eye pressure deficit, the warm core should extend through the depth of  
430 the troposphere based on the hydrostatic approximation (Haurwitz 1935). The warm core  
431 structure is seen clearly in the vertical cross sections of horizontal temperature anomaly, which is  
432 the deviation from the mean at the pressure levels (Fig. 11). The temperature anomaly in NoDA  
433 is very small (less than 2 K, Fig. 11a) while that in 3DVARb, HybridF and HybridH exceeds 8 K,  
434 with the maximum anomaly found between 300 and 500 hPa levels (Fig. 11b-d). This result is  
435 consistent with observational studies; the strength of hurricane warm core has been shown to  
436 negatively correlate with MSLP (Halverson et al. 2006; Hawkins and Imbembro 1976).

437           The near-zero or negative temperature anomaly below 700 hPa is clear in Fig. 11b for  
438 3DVARb. This is related to the negative 3DVARb temperature increment discussed earlier. It is  
439 worth noting that the 3DVARb analysis does produce a reasonable warm core aloft. In HybridF  
440 and HybridH, the positive anomaly extends to the surface (Fig. 11c and 11d). In the latter two,  
441 the maximum anomaly is found to be at the inner edge of hurricane eye wall at about 400 hPa,  
442 which should be associated with the eye wall warming (LaSeur and Hawkins 1963; Holland  
443 1997).

444 *f. The track and intensity forecasts*

445           To further evaluate the quality of analyses produced by different DA methods,  
446 deterministic forecasts initialized from the (ensemble mean in the hybrid cases) analyses at 0300  
447 UTC 13 September, the end of the DA cycles, are launched. The track forecasts are compared in  
448 Figure 12a. The center of hurricane is defined as the location of MSLP. The initial track errors at  
449 0300 UTC are less than 20 km for all four experiments. By 0000 UTC 14 September, the track  
450 errors are 98, 117, 84, 64 km for NoDA, 3DVARb, HybridF and HybridH respectively. The  
451 mean track errors based on the hurricane positions at 6-h interval during the period from 0300  
452 UTC 13 to 0000 UTC 14 September are 41, 57, 41, and 34 km for NoDA, 3DVARb, HybridF,  
453 and HybridH respectively. Given that our DA experiments do not include environmental  
454 observations, the main effect on the track should come from the changes to the structure and  
455 intensity of the analyzed hurricane.

456           Figure 12b shows the intensity forecasts in terms of MSLP, together with the best track  
457 MSLP. At 0300 UTC 13 September, the MSLP errors are 28, 0.2, 2.0, and 5.5 hPa for NoDA,  
458 3DVARb, HybridF and HybridH respectively. NoDA has the largest MSLP error throughout the  
459 forecast. The MSLP error in 3DVARb is smaller at the initial time, but becomes larger than those

460 of HybridF and HybridH at the later forecast times. Overall, the forecast MSLP in the two hybrid  
461 experiments is closer to the best track MSLP than that of 3DVARb. None of the forecasts  
462 capture the slight deepening during the first 3 hours of forecast.

463 *g. Verification of forecasts against Vr observations*

464 The wind forecasts are further verified against observed radar radial velocity data. Figure  
465 13 shows the root mean squared errors (RMSEs, strictly it is RMSD because observations also  
466 contain error) of forecast against observed Vr for 3DVARb, HybridF and HybridH. Compared to  
467 the best track estimation of wind speed, the radar Vr observations are more reliable. At the initial  
468 time of 0300 UTC, the RMSE of  $3.5 \text{ m s}^{-1}$  from HybridF is slightly larger than those from  
469 HybridH ( $2.6 \text{ m s}^{-1}$ ) and 3DVARb ( $2.8 \text{ m s}^{-1}$ ). After the first hour, the HybridF wind forecast fits  
470 the observed radial wind best, especially after 6 hours of forecast where the error in 3DVARb  
471 grows much faster and reaching  $14.8 \text{ m s}^{-1}$  compared to the  $8\text{-}9 \text{ m s}^{-1}$  in the hybrid cases. The  
472 much faster error growth in 3DVARb, even though its fit to Vr observations at the start of free  
473 forecast is comparable to that of HybridH and better than HybridF, again suggests that other  
474 model fields in the 3DVARb analysis are dynamically less consistent with the wind field than in  
475 the hybrid cases. As shown in Fig. 7, major differences exist between the 3DVAR and hybrid  
476 methods with the cross variable updating. This is further confirmed with the performance of  
477 HybridH in Fig. 13. Even though the HybridH analysis is even more over-fitting to observations  
478 than the 3DVAR (Fig. 8a), the forecast of HybridH was better than the 3DVAR due to the use of  
479 ensemble covariance. Interestingly, this over-fitting to conventional temperature and wind  
480 observations in 3DVAR analysis and worse fitting to observations in the forecast, compared with  
481 Hybrid where the forecast ensemble perturbations were used to estimate background error  
482 covariance, is also seen in other studies with quite different application (Fig. 2 of Wang et al.

483 2008b). The slight better forecast in HybridF than in HybridH at 6 hours suggests the fully flow-  
484 dependent covariance during the assimilation cycles is beneficial.

485 *h. Evaluation of rainfall forecasts*

486         Rainfall forecasts are evaluated by calculating equitable threat scores (ETSs) of 3-h  
487 accumulated precipitation against NCEP Stage IV precipitation analyses (Fig. 14). For the  
488 thresholds of 5, 10, and 25 mm/3 hr and all forecast lead times, the hybrid experiments have  
489 higher ETSs than 3DVARb. Furthermore, the improvement of the hybrid over 3DVARb  
490 increases with precipitation threshold, indicating again the superior quality of the hybrid DA  
491 method. In addition, HybridF has slightly higher ETS scores than HybridH for most times and  
492 thresholds. The ETS of the hybrid experiments is higher than the NoDA for larger threshold and  
493 longer forecast lead times. By further looking at the precipitation patterns, it is found that the  
494 precipitation forecasts of HybridF more closely match the observed convective spiral band  
495 patterns in the inner core region while 3DVARb produces too much precipitation in the southeast  
496 quadrant in the outer band region (the region is within the reflectivity coverage of coastal radars,  
497 from which the Stage IV precipitation is estimated, c.f. Fig. 1) and the radius of the inner core  
498 eye wall appears larger than observed (Fig. 15). In comparison, the precipitation pattern from  
499 NoDA case is poorer than the DA experiments especially for inner rain bands. We do note that  
500 during the earlier hours and for lower thresholds, the ETSs of NoDA are comparable to those of  
501 hybrid schemes and higher than those of 3DVARb. The exact cause is difficult to ascertain.  
502 Imbalances and adjustments in the 3DVAR analyses with short analysis-forecast cycles might  
503 have been a cause for the poorer performance but this is only a hypothesis.

## 504 **5. Summary and conclusions**

505           In this study, the WRF hybrid ensemble-3DVAR data assimilation (DA) system is  
506 applied for the first time to the assimilation of radial velocity data for a landfalling hurricane.  
507 More specifically, radial velocity data from two operational WSR-88D radars along the Gulf of  
508 Mexico coast are assimilated over a three-hour period after Hurricane Ike (2008) moved into the  
509 coverage of the two radars, using an enhanced version of the WRF hybrid DA system. Instead of  
510 using an ensemble transformation Kalman filter as in an earlier study to generate the analysis  
511 ensemble, we employ in this study the ‘perturbed observation’ method. Further, we applied  
512 vertical localization based on empirical orthogonal functions while continuing to use recursive  
513 filters for horizontal localization for the flow-dependent ensemble-estimated background error  
514 covariance. The flow-dependent ensemble covariance is incorporated into the 3D variational  
515 framework by using the extended control variable method.

516           The radial velocity data are assimilated every 30 minutes over a 3 hour period. Results  
517 mainly from five experiments are presented. A forecast experiment without assimilating any  
518 radar data is first carried out to serve as a baseline against which the radar-assimilating  
519 experiments are compared; this forecast experiment (NoDA) started directly from the operational  
520 GFS analysis, which contained too weak a hurricane vortex. The four radar DA experiments  
521 used the WRF 3DVAR using the static covariance derived from the NMC method (3DVARa),  
522 the WRF 3DVAR using further tuned static covariance (3DVARb), the hybrid DA system with  
523 purely flow-dependent background covariance (HybridF), as well as half static and half flow-  
524 dependent covariance (HybridH), respectively. In the tuned 3DVAR experiment (3DVARb) as  
525 well as HybridH, the horizontal spatial correlation scale in the static covariance derived from the  
526 NMC-method is reduced by a factor of 0.3 to produce much more realistic wind increments than

527 the default scale (in 3DVARa). The results of analyses and forecasts from the five experiments  
528 are inter-compared and verified against best track data, radar wind measurements, and  
529 precipitation data. The main conclusions are summarized in the following.

530 (1) HybridF produces the most realistic temperature increments with positive values at  
531 the hurricane center, corresponding to the warm core structure, while 3DVARb produces much  
532 weaker and smoother temperature increments that are negative at the center of hurricane. At the  
533 end of assimilation cycles, negative temperature anomalies are found at lower levels in the eye  
534 region of 3DVARb analysis while the hybrid analyses show deep warm core structures.

535 (2) All three DA experiments are able to create analyses that fit the Vr data well, and the  
536 error reduction by analysis is the largest in the first analysis cycle. Most of the minimum sea  
537 level pressure (MSLP) reduction is achieved through model adjustment during the forecast step  
538 of the assimilation cycles

539 (3) The hybrid experiments improve the Ike track forecast slightly, over the track forecast  
540 by NoDA starting from the GFS analysis. 3DVARb slightly degrades the track forecast. All radar  
541 DA experiments produce MSLP forecasts closer to the best track observation than NoDA does.

542 (4) The fit of forecast radial velocity to radar observations of 3DVARb is much worse  
543 than those of HybridF and HybridH. The forecast results indicate that the overall quality of  
544 hybrid analyses is better than that of 3DVARb, producing more dynamically consistent state  
545 estimations that lead to later slower error growth during forecast. The forecast error of HybridF  
546 is slightly lower than that of HybridH starting from hour three.

547 (5) The equitable threat scores (ETSs) for 3-hour accumulated precipitation forecasts in  
548 the hybrid experiments are higher than those of 3DVARb for the thresholds and lead times  
549 considered, and the improvement increases with precipitation threshold, indicating again the

550 superior quality of the hybrid DA method. Among the hybrid experiments, HybridF produced  
551 slightly better ETSs than HybridH at most verification times.

552 (6) The results of this study also show positive impacts of assimilating radar data for  
553 hurricane initialization, and the hybrid-method-analyzed hurricane has kinematic and  
554 thermodynamic structures that are consistent with tropical cyclone conceptual models.

555 Finally a point worth noting: the inclusion of static background covariance in HybridH  
556 in general did not improve the results over HybridF in this case study; i.e., the use of flow-  
557 dependent covariance in full in general gives better results. Earlier studies (Hamill and Snyder  
558 2000; Wang et al. 2007a) suggested that the optimal combination of the static and flow-  
559 dependent covariance depends on their relative quality. The results in this case study suggest that  
560 for hurricanes and radar data, there is likely little benefit of including static covariance because if  
561 the static covariance is not capable of appropriately reflecting the mesoscale and convective-  
562 scale nature of hurricanes.

563 We also note that this study represents the first attempt of applying a variational-  
564 ensemble hybrid data assimilation method to hurricane and radar data assimilation. While the  
565 results are positive and encouraging, more robust conclusions will need to be drawn by testing  
566 the method on many more cases.

567

568 *Acknowledgements:* This research was primarily supported by a subcontract to a grant from the  
569 Mississippi State University led by Dr. Haldun Karan. The first author also acknowledges Dr.  
570 Curtis N. James for radar data processing, Shizhang Wang, Alex Schenkman, and Dr. Robin  
571 Tanamachi for helpful discussions and assistance with initial drafts. This work was also  
572 supported by NSF grant AGS-0802888, DOD-ONR grant N00014-10-1-0775, NOAA

573 THOPREX grant NA08OAR4320904, NASA NIP grant NNX10AQ78G and NOAA HFIP grant

574 NA12NWS4680012. The experiments were conducted on a supercomputer at the Mississippi

575 State University.

576

577 **References**

- 578 Aksoy, A., S. Lorsolo, T. Vukicevic, K. J. Sellwood, S. D. Aberson and F. Zhang, 2012: The  
579 HWRF Hurricane Ensemble Data Assimilation System (HEDAS) for high-resolution data:  
580 The impact of airborne Doppler radar observations in an OSSE. *Mon. Wea. Rev.*, in  
581 press.
- 582 Barker, D. M., W. Huang, Y. R. Guo, A. J. Bourgeois, and Q. N. Xiao, 2004: A Three-  
583 Dimensional Variational Data Assimilation System for MM5: Implementation and Initial  
584 Results. *Mon. Wea. Rev.* , 132, 897-914.
- 585 Buehner, M., 2005: Ensemble-derived stationary and flow-dependent background-error  
586 covariances: Evaluation in a quasi-operational NWP setting. *Quart. J. Roy. Meteor. Soc.*,  
587 131, 1013-1043.
- 588 ---, P. L. Houtekamer, C. Charette, H. L. Mitchell, and B. He, 2010a: Intercomparison of  
589 variational data assimilation and the ensemble Kalman filter for global deterministic  
590 NWP. Part I: Description and single-observation experiments. *Mon. Wea. Rev.*, 138,  
591 1550-1566.
- 592 ---,--,--,--, and --, 2010b: Intercomparison of variational data assimilation and the ensemble  
593 Kalman filter for global deterministic NWP. Part II: One-month experiments with real  
594 observations. *Mon. Wea. Rev.*, 138, 1567-1586.
- 595 Burgers, G., P. J. van Leeuwen, and G. Evensen, 1998: Analysis scheme in the ensemble Kalman  
596 filter, *Mon. Wea. Rev.*, 126, 1719--1724.
- 597 Dong, J., and M. Xue, 2012: Coastal WSR-88D Radar Data Assimilation with Ensemble Kalman  
598 Filter for Analysis and Forecast of Hurricane Ike (2008). *Quart. J. Roy. Meteor. Soc.*,  
599 Accepted.

600 Dowell, D. C. and L. J. Wicker, 2009: Additive Noise for Storm-Scale Ensemble Data  
601 Assimilation. *J. Atmos Ocean Tech*, 26, 911-927.

602 Dudhia, J., 1989: Numerical study of convection observed during the Winter Monsoon  
603 Experiment using a mesoscale two-dimensional model. *J. Atmos. Sci.*, 46, 3077-3107.

604 Etherton, B. J., and C. H. Bishop, 2004: The resilience of hybrid ensemble/3D-Var analysis  
605 schemes to model error and ensemble covariance error. *Mon. Wea. Rev.*, 132, 1065-1080.

606 Evensen, G., 2003: The ensemble Kalman filter: Theoretical formulation and practical  
607 implementation. *Ocean Dynamics*, 53, 343-367.

608 Grell, G. A. and D. Devenyi, 2002: A generalized approach to parameterizing convection  
609 combining ensemble and data assimilation techniques. *Geophys Res Lett*, 29(14), Article  
610 1693.

611 Halverson, J. B., J. Simpson, G. Heymsfield, H. Pierce, T. Hock, and L. Ritchie, 2006: Warm  
612 core structure of Hurricane Erin diagnosed from high altitude dropsondes during  
613 CAMEX-4. *J Atmos Sci*, 63, 309-324.

614 Hamill, T. M. and C. Snyder, 2000: A hybrid ensemble Kalman filter-3D variational analysis  
615 scheme. *Mon. Wea. Rev.*, 128, 2905-2919.

616 Hamill, T. M., J. S. Whitaker, M. Fiorino, and S. G. Benjamin, 2011: Global ensemble  
617 predictions of 2009's tropical cyclones initialized with an ensemble Kalman filter. *Mon.*  
618 *Wea. Rev.*, 139, 668-688.

619 Haurwitz, B., 1935: The height of tropical cyclones and the eye of the storm. *Mon. Wea. Rev.*, 63,  
620 45-49.

621 Hawkins, H. F. and S. M. Imbembo, 1976: The structure of a small, intense hurricane-Inez 1966.  
622 *Mon. Wea. Rev.*, 104, 418-442.

623 Holland, G. J., 1997: The maximum potential intensity of tropical cyclones. *J. Atmos. Sci.*, 54,  
624 2519-2541.

625 Hong, S.-Y., J. Dudhia, and S.-H. Chen, 2004: A revised approach to ice microphysical  
626 processes for the bulk parameterization of clouds and precipitation. *Monthly Weather*  
627 *Review*, 132, 103-120.

628 Houtekamer, P. L., and H. L. Mitchell, 1998: Data Assimilation Using an Ensemble Kalman  
629 Filter Technique. *Mon. Wea. Rev.*, 126, 796–811.

630 Hu, M., M. Xue, J. Gao, and K. Brewster, 2006: 3DVAR and cloud analysis with WSR-88D  
631 level-II data for the prediction of Fort Worth tornadic thunderstorms. Part II: Impact of  
632 radial velocity analysis via 3DVAR. *Mon. Wea. Rev.*, 134, 699-721.

633 James, C. N. and R. A. Houze, 2001: A real-time four-dimensional Doppler dealiasing scheme. *J*  
634 *Atmos Ocean Tech*, 18, 1674-1683.

635 Kleist, D., K. Ide, J. Whitaker, J. C. Derber, D. Parrish and X. Wang, 2011: Expanding the GSI  
636 based hybrid ensemble-variational system to include more flexible parameter settings.  
637 Paper J16.4. AMS Annual meeting, Seattle, WA. Jan. 23-27, 2011

638 Kurihara, Y., M. A. Bender, R. E. Tuleya, and R. Ross, 1995: Improvements in the GFDL  
639 hurricane prediction system. *Mon. Wea. Rev.*, 123, 2791-2801.

640 La Seur, N. E., and H. F. Hawkins, 1963: An analysis of Hurricane Cleo (1958) based on data  
641 from research reconnaissance aircraft. *Mon. Wea. Rev.*, 91, 694-709.

642 Liu, C., Q. Xiao, and B. Wang, 2008: An ensemble-based four-dimensional variational data  
643 assimilation scheme. Part I: Technical formulation and preliminary test. *Mon. Wea. Rev.*,  
644 136, 3363–3373.

645 ———, ———, and ———, 2009: An ensemble-based four-dimensional variational data assimilation

646 scheme. Part II: Observing System Simulation Experiments with Advanced Research  
647 WRF (ARW). *Mon. Wea. Rev.*, 137, 1687–1704.

648 Li, J., and H. Liu, 2009: Improved hurricane track and intensity forecast using singlefield-of-  
649 view advanced IR sounding measurements. *Geophys. Res. Lett.*, 36, L11813.

650 Liu, Q., T. Marchok, H.-L. Pan, M. Bender, and S. J. Lord, 2000: Improvements in hurricane 2  
651 initialization and forecasting at NCEP with global and regional (GFDL) models. Tech.  
652 rep., 3 NOAA Tech. Procedures Bull. 472, 7 pp., Camp Springs, MD.

653 Liu, S., M. Xue, J. Gao, and D. Parrish, 2005: Analysis and impact of super-obbed Doppler  
654 radial velocity in the NCEP grid-point statistical interpolation (GSI) analysis system.  
655 *Extended abstract, 17th Conf. Num. Wea. Pred.*, Washington DC, Amer. Meteor. Soc.,  
656 13A.4.

657 Lorenc, A., 2003: The potential of the ensemble Kalman filter for NWP - a comparison with 4D-  
658 Var. *Quart. J. Roy. Meteor. Soc.*, 129, 3183-3204.

659 Mlawer, E. J., S. J. Taubman, P. D. Brown, M. J. Iacono, and S. A. Clough, 1997: Radiative  
660 transfer for inhomogeneous atmospheres: RRTM, a validated correlated-k model for the  
661 longwave. *J. Geophys. Res.*, 102, 16663-16682.

662 Noh, Y., W. G. Cheon, S. Y. Hong, and S. Raasch, 2003: Improvement of the K-profile model  
663 for the planetary boundary layer based on large eddy simulation data. *Bound-Lay*  
664 *Meteorol*, 107, 401-427.

665 Parrish, D. F. and J. C. Derber, 1992: The National Meteorological Center's spectral statistical-  
666 interpolation analysis system. *Mon. Wea. Rev.*, 120, 1747-1763.

667 Pielke, R. A., J. Gratz, C. W. Landsea, D. Collins, M. A. Saunders, and R. Musulin, 2008:  
668 Normalized hurricane damage in the United States: 1900-2005. *Natural hazards Review*,

669           29-42.

670 Schenkman, A., M. Xue, A. Shapiro, K. Brewster, and J. Gao, 2011: The analysis and prediction  
671           of the 8-9 May 2007 Oklahoma tornadic mesoscale convective system by assimilating  
672           WSR-88D and CASA radar data using 3DVAR. *Mon. Wea. Rev.*, 139, 224-246.

673 Skamarock, W. C., J. B. Klemp, J. Dudhia, D. O. Gill, D. M. Barker, M. Duda, X.-Y. Huang, W.  
674           Wang and J. G. Powers, 2008: A Description of the Advanced Research WRF Version 3.  
675           NCAR Technical Note TN-475+STR, 113 pp .

676 Torn, R. D., and G. J. Hakim, 2009: Initial condition sensitivity of western-Pacific extratropical  
677           transitions determined using ensemble-based sensitivity analysis. *Mon. Wea. Rev.* 137,  
678           3388-3406.

679 --, --, and C. Snyder, 2006: Boundary conditions for limited-area ensemble Kalman filters. *Mon.*  
680           *Wea. Rev.*, 134, 2490–2502.

681 Wang, X., T. M. Hamill, J. S. Whitaker and C. H. Bishop, 2007a: A comparison of hybrid  
682           ensemble transform Kalman filter-OI and ensemble square-root filter analysis schemes.  
683           *Mon. Wea. Rev.*, 135, 1055-1076.

684 ---, C. Snyder, and T. M. Hamill, 2007b: On the theoretical equivalence of differently proposed  
685           ensemble/3D-Var hybrid analysis schemes. *Mon. Wea. Rev.*, 135, 222-227.

686 ---, D. M. Barker, C. Snyder, and T. M. Hamill, 2008a: A Hybrid ETKF-3DVAR Data  
687           Assimilation Scheme for the WRF Model. Part I: Observing system simulation  
688           experiment. *Mon. Wea. Rev.*, 136, 5116-5131.

689 ---,--,--,and --, 2008b: A hybrid ETKF-3DVAR data assimilation scheme for the WRF model.  
690           Part II: Real observation experiment. *Mon. Wea. Rev.*, 136, 5132-5147.

691 ---, T. M. Hamill, J. S. Whitaker, C. H. Bishop, 2009: A comparison of the hybrid and EnSRF

692 analysis schemes in the presence of model error due to unresolved scales. *Mon. Wea. Rev.*,  
693 137,3219-3232

694 ---, 2010: Incorporating ensemble covariance in the Gridpoint Statistical Interpolation (GSI)  
695 variational minimization: a mathematical framework. *Mon. Wea. Rev.*, 138,2990-2995.

696 ---, 2011: Application of the WRF hybrid ETKF-3DVAR data assimilation system for hurricane  
697 track forecasts. *Wea. Forecasting*, 26, 868-884.

698 ----, T. Lei, J. Whitaker, D. Parrish, and D. Kleist, 2011: GSI-based hybrid ensemble-variational  
699 data assimilation system for the Global Forecast system model: 3DVAR-based hybrid  
700 and ensemble 4DVAR. Paper J16.5. AMS Annual meeting, Seattle, WA. Jan. 23-27,  
701 2011.

702 ----, D. Parrish, D. Kleist, and J. Whitaker, 2012: GSI-based hybrid variational-EnKF data  
703 assimilation for NCEP Global Forecast System: single resolution experiments., *Mon.*  
704 *Wea. Rev.*, submitted.

705 Weng, Y., M. Zhang, and F. Zhang, 2011: Advanced data assimilation for cloud-resolving  
706 hurricane initialization and prediction. *Comput. Sci. Eng.*, 13, 40-49.

707 Weng, Y. and F. Zhang, 2012: Assimilating Airborne Doppler Radar Observations with an  
708 Ensemble Kalman Filter for Convection-Permitting Hurricane Initialization and  
709 Prediction: Katrina (2005). *Mon. Wea. Rev.*, 140, 841-859.

710 Whitaker, J., D. Kleist, X. Wang and T. Hamill, 2011: Tests of a hybrid variational-ensemble  
711 global assimilation system for hurricane prediction. Paper J16.2. AMS annual meeting,  
712 2011, Seattle, WA.

713 Willoughby, H. E., 1990: Temporal changes in the primary circulation in tropical cyclones. *J.*  
714 *Atmos. Sci.*, 47, 242–264.

715 Sugimoto, S., N. A. Crook, J. Sun, Q. Xiao, and D. Barker, 2009: Assimilation of Doppler  
716 Radar Data with WRF 3DVAR: Evaluation of its Potential Benefits to Quantitative  
717 Precipitation Forecasting through Observing System Simulation Experiments. *Mon. Wea.*  
718 *Rev.*, **137**, 4011-4029.

719 Xiao, Q. N., and J. Sun, 2007: Multiple radar data assimilation and short-range quantitative  
720 precipitation forecasting of a squall line observed during IHOP\_2002. *Mon. Wea. Rev.*,  
721 **135**, 3381-3404.

722 ---, X. Y. Zhang, C. Davis, J. Tuttle, G. Holland, and P. J. Fitzpatrick, 2009: Experiments of  
723 Hurricane Initialization with Airborne Doppler Radar Data for the Advanced Research  
724 Hurricane WRF (AHW) Model. *Mon Weather Rev*, **137**, 2758-2777.

725 Xu, Q. and J. D. Gong, 2003: Background error covariance functions for Doppler radial-wind  
726 analysis. *Q J Roy Meteor Soc*, **129**, 1703-1720.

727 Zhang, F., C. Snyder, and J. Sun, 2004: Impacts of initial estimate and observations on the  
728 convective-scale data assimilation with an ensemble Kalman filter. *Mon. Wea. Rev.*, **132**,  
729 1238-1253.

730 Zhang, F., Y. Weng, J. A. Sippel, Z. Meng, and C. H. Bishop, 2009: Cloud-resolving hurricane  
731 initialization and prediction through assimilation of Doppler radar observations with an  
732 ensemble Kalman filter. *Mon. Wea. Rev.*, **137**, 2105-2125.

733 Zhang, F., M. Zhang and J. A. Hansen, 2009: Coupling ensemble Kalman filter with four-  
734 dimensional variational data assimilation. *Advances in Atmospheric Sciences* , **26**, 1-8.

735 Zhang, F., Y. Weng, J. F. Gamache, and F. D. Marks, 2011: Performance of convection-  
736 permitting hurricane initialization and prediction during 2008-2010 with ensemble data  
737 assimilation of inner-core airborne Doppler radar observations. *Geophys. Res. Lett.*, **38**,  
738 L15810, doi:10.1029/2011GL048469.

739 Zhao, K. and M. Xue, 2009: Assimilation of coastal Doppler radar data with the ARPS 3DVAR  
740 and cloud analysis for the prediction of Hurricane Ike (2008). *Geophys. Res. Lett.*, 36,  
741 L12803.

742 Zou, X. L. and Q. N. Xiao, 2000: Studies on the initialization and simulation of a mature  
743 hurricane using a variational bogus data assimilation scheme. *J. Atmos. Sci.*, 57, 836-860.

744 Zupanski, M., 2005: Maximum Likelihood Ensemble Filter: Theoretical Aspects. *Mon. Wea.*  
745 *Rev.*, 133, 1710-1726.

746

747

748

749

750

751

752

753

754

755

756

757 **Figure Captions**

758 Fig. 1. The WRF model domain and National Hurricane Center best track positions for Hurricane  
759 Ike (2008) from 1800 UTC 12 to 0000 UTC 14 September 2008. Also indicated are the  
760 Houston, Texas (KHGX) and Lake Charles, Louisiana (KLCH) WSR-88D radar  
761 locations (asterisks) and maximum range (300 km for radial velocity and 460 km for the  
762 reflectivity) coverage circles.

763 Fig. 2. Schematic diagram of the hybrid ensemble-3DVAR forecast-analysis cycle for a  
764 hypothetical three-member ensemble. Each member assimilates the observations  
765 containing a different set of perturbations.

766 Fig. 3. The radial velocity (interval of 20 m s<sup>-1</sup>) at 0.5° elevation angle from (a) KHGX and (b)  
767 KLCH WSR-88D radars at 0000 UTC 13 September 2008. Black dot is for NHC best-  
768 track position of Hurricane Ike (2008) at this time. Asterisks are for radar locations.

769 Fig. 4. The flow charts for (a) NoDA experiment, (b) 3DVAR experiments (3DVARa and  
770 3DVARb), and (c) hybrid experiments (HybridF and HybridH).

771 Fig. 5. The vertical cross section of the wind speed increment (interval of 5 m s<sup>-1</sup>) using a  
772 single KHGX radar radial velocity data located at (28.4°N, 93.7°W, 3176 m) with an  
773 innovation of -38.63 m s<sup>-1</sup> using the configurations of experiment HybridF but (a)  
774 without and (b) with vertical localization at 0000 UTC 13 September 2008.

775 Fig. 6. The 700 hPa wind analysis increments (m s<sup>-1</sup>) for (a) 3DVARa, (b) 3DVARb, (c)  
776 HybridF, and (d) HybridH at 0000 UTC 13 September 2008.

777 Fig. 7. The 850 hPa temperature analysis increments for (a) 3DVARb (at intervals of 0.3 K),  
778 (b) HybridF (at intervals of 0.7 K), and (c) HybridH (at intervals of 0.3 K), at 0000

779 UTC 13 September 2008.

780 Fig. 8. The forecast and analysis (sawtooth pattern during DA cycling) of (a) RMSD of radial  
781 velocity ( $\text{m s}^{-1}$ ), and (b) the minimum sea level pressures (hPa) together with the  
782 NHC best track estimate, for 3DVARb, HybridF, and HybridH from 0000 to 0300  
783 UTC 13 September 2008.

784 Fig. 9. The analyzed sea level pressure (interval of 5 hPa, solid contours) and the surface  
785 wind vectors ( $\text{m s}^{-1}$ ) for (a) NoDA, (b) 3DVARb, (c) HybridF, and (d) HybridH at  
786 0300 UTC 13 September 2008. The thick solid line indicates the vertical cross section  
787 location in Fig. 10 and Fig. 11.

788 Fig. 10. Vertical cross sections of analyzed horizontal wind speed (interval of  $10 \text{ m s}^{-1}$ ,  
789 shaded) and potential temperature (interval of 5 K, solid contours) for (a) NoDA, (b)  
790 3DVARb, (c) HybridF, and (d) HybridH, at 0300 UTC 13 September 2008.

791 Fig. 11. Vertical cross sections of analyzed temperature anomalies (interval of 2 K) for (a)  
792 NoDA, (b) 3DVARb, (c) HybridF, and (d) HybridH, at 0300 UTC 13 September  
793 2008.

794 Fig. 12. Deterministic forecast hurricane (a) tracks and (b) minimum sea level pressure (hPa)  
795 by NoDA, 3DVARb, HybridF, and HybridH as compared to NHC best track  
796 estimates from 0300 UTC 13 through 0000 UTC 14 September 2008.

797 Fig. 13. Deterministic forecast RMSEs of  $V_r$  ( $\text{m s}^{-1}$ ) by 3DVARb, HybridF, and HybridH  
798 from 0300 to 0900 UTC 13 September 2008.

799 Fig. 14. The equitable threat scores for 3 h accumulated forecast precipitation by NoDA,  
800 3DVARb, HybridF, and HybridH at thresholds (a) 5 mm, (b) 10 mm, and (c) 25 mm,  
801 verified against NCEP Stage-IV precipitation analyses valid at 0600, 0900, 1200, and

802 1500 UTC 13 September 2008.

803 Fig. 15 Three-hour accumulated precipitation (mm) by (1st column) NCEP Stage-IV  
804 precipitation analyses, (2nd column) NoDA, (3rd column) 3DVARb, and (4th column)  
805 HybridF valid at (top) 0600 and (bottom) 0900 UTC 13 September 2008.

806

807

Table 1. List of experiments

Experiment	Description
NoDA	No radar data assimilation. WRF model initial condition interpolated from NCEP 1°x1° analysis
3DVARa	Radar DA using WRF 3DVAR with static covariance from NMC method
3DVARb	Same as 3DVARa, except the horizontal spatial correlation in the static covariance is multiplied by 0.3.
HybridF	Radar DA using hybrid method with full weight given to flow dependent covariance, with $1/\beta_1 = 1/1001$ and $1/\beta_2 = 1/1.001$ in Eq. (1)
HybridH	Hybrid method with equal weight given to static covariance (which is the same as 3DVARb) and flow-dependent covariance, with $1/\beta_1 = 1/2$ and $1/\beta_2 = 1/2$ in Eq. (1)

808

809

810

811

812

813

814

815

816

817

818

819

820

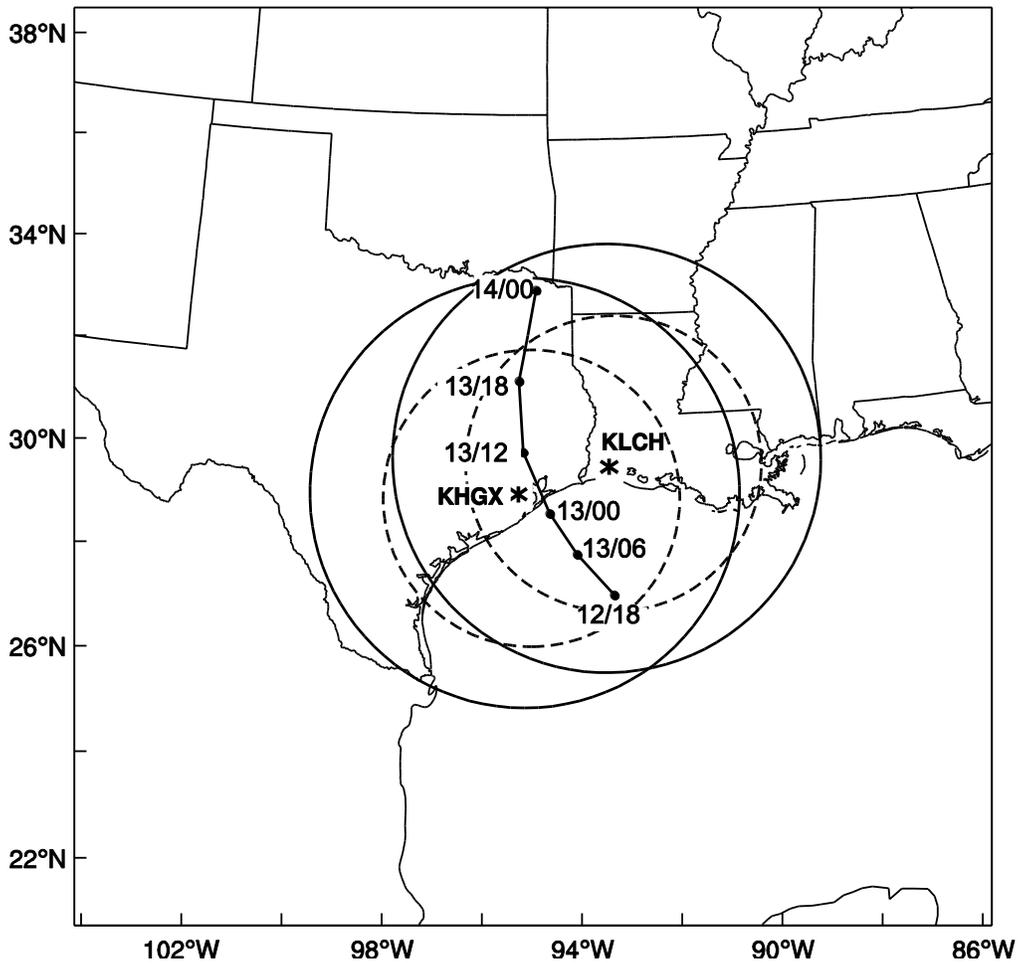
821

822

823

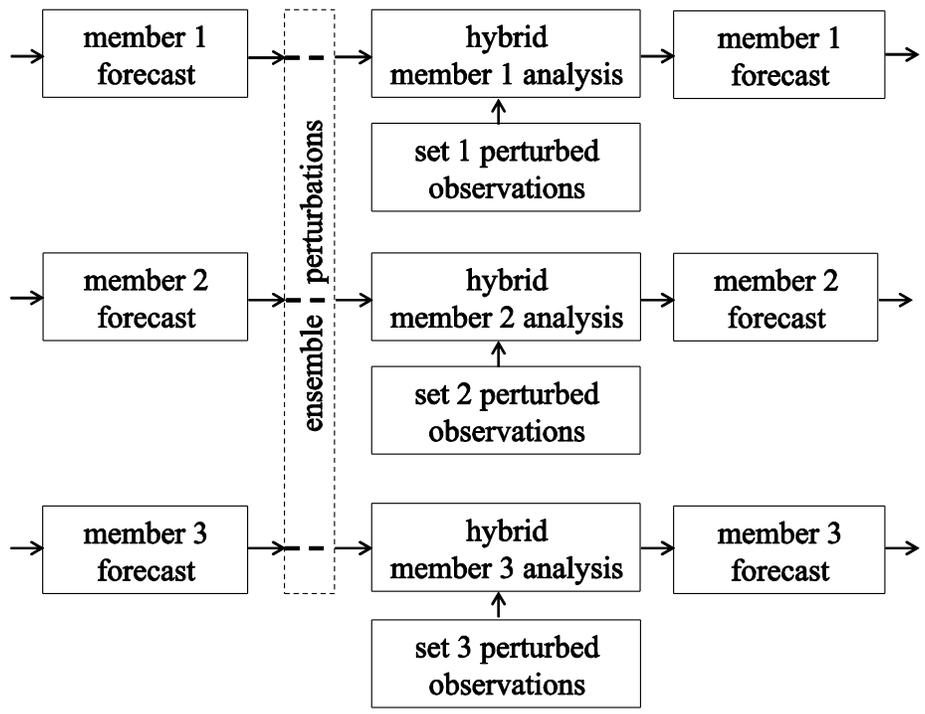
824

825



826  
 827  
 828  
 829  
 830  
 831  
 832  
 833  
 834  
 835  
 836  
 837  
 838  
 839  
 840  
 841  
 842

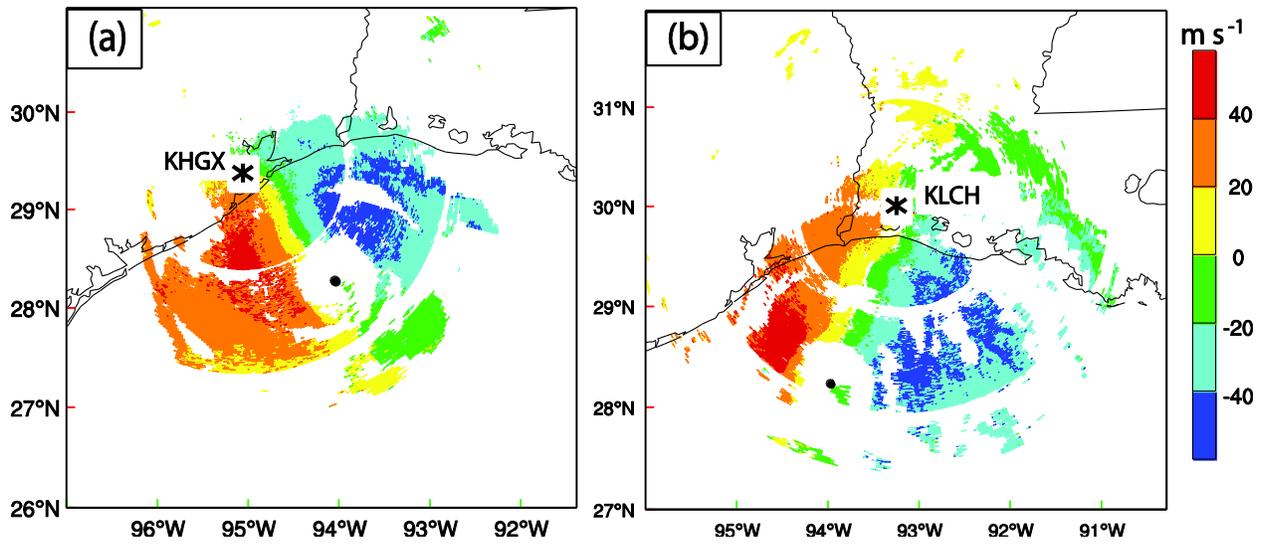
Fig. 1. The WRF model domain and National Hurricane Center best track positions for Hurricane Ike (2008) from 1800 UTC 12 to 0000 UTC 14 September 2008. Also indicated are the Houston, Texas (KHGX) and Lake Charles, Louisiana (KLCH) WSR-88D radar locations (asterisks) and maximum range (300 km for radial velocity and 460 km for the reflectivity) coverage circles.



843  
844

845 Fig. 2. Schematic diagram of the hybrid ensemble-3DVAR forecast-analysis cycle for a  
846 hypothetical three-member ensemble. Each member assimilates the observations  
847 containing a different set of perturbations.  
848

849  
850  
851  
852  
853  
854  
855  
856  
857  
858  
859  
860



861  
862

863

864 Fig. 3. The radial velocity (interval of  $20 \text{ m s}^{-1}$ ) at  $0.5^\circ$  elevation angle from (a) KHGX and (b)  
865 KLCH WSR-88D radars at 0000 UTC 13 September 2008. Black dot is for NHC best-track  
866 position of Hurricane Ike (2008) at this time. Asterisks are for radar locations.

867

868

869

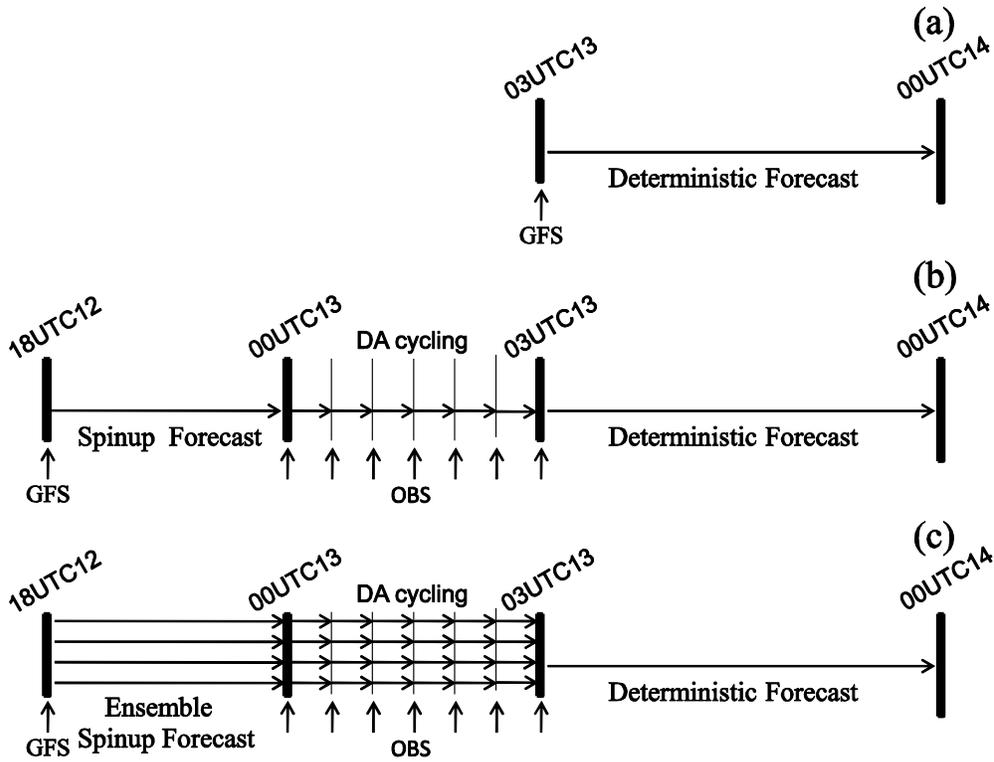
870

871

872

873

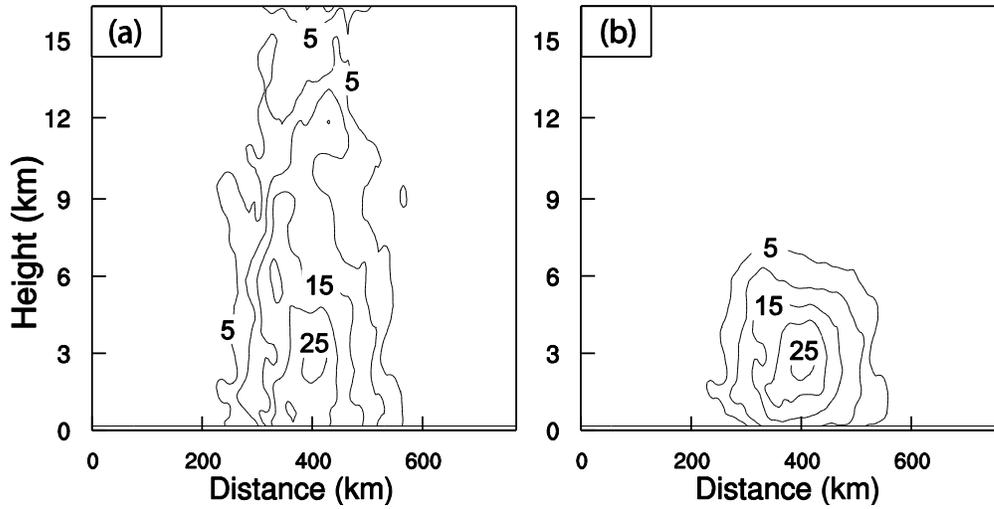
874



875  
876  
877

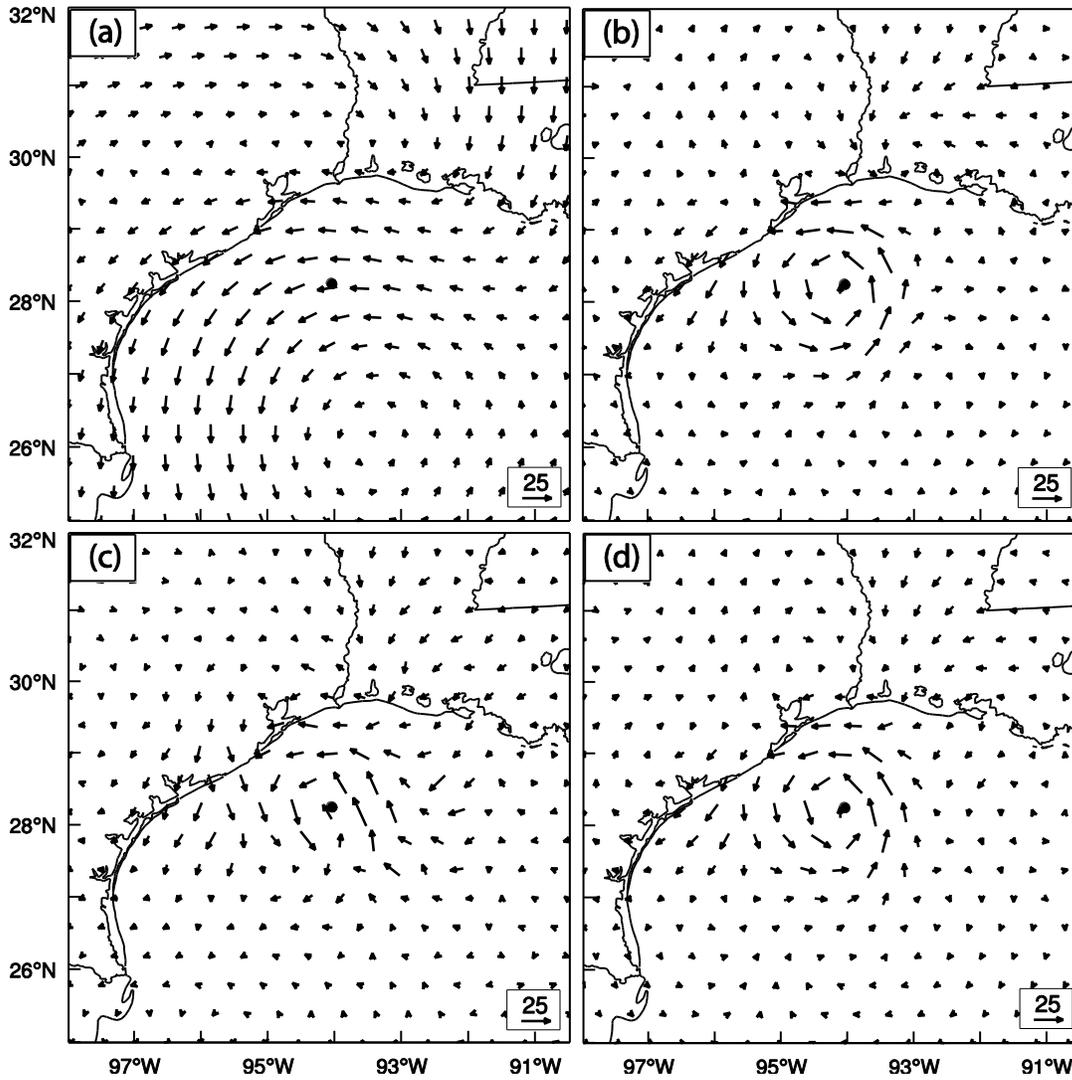
878 Fig. 4. The flow charts for (a) NoDA experiment, (b) 3DVAR experiments (3DVARa  
879 and 3DVARb), and (b) hybrid experiments (HybridF and HybridH).

880  
881  
882  
883  
884  
885  
886  
887  
888  
889  
890  
891  
892



893  
 894  
 895  
 896  
 897  
 898  
 899  
 900  
 901  
 902  
 903  
 904  
 905  
 906  
 907  
 908  
 909  
 910  
 911  
 912  
 913  
 914  
 915  
 916  
 917

Fig. 5. The vertical cross section of the wind speed increment (interval of  $5 \text{ m s}^{-1}$ ) using a single KHGX radar radial velocity data located at  $(28.4^\circ\text{N}, 93.7^\circ\text{W}, 3176 \text{ m})$  with an innovation of  $-38.63 \text{ m s}^{-1}$  using the configurations of experiment HybridF but (a) without and (b) with vertical localization at 0000 UTC 13 September 2008.



918

919

920 Fig. 6. The 700 hPa wind analysis increments ( $\text{m s}^{-1}$ ) for (a) 3DVARa, (b) 3DVARb,  
 921 (c) HybridF, and (d) HybridH at 0000 UTC 13 September 2008.

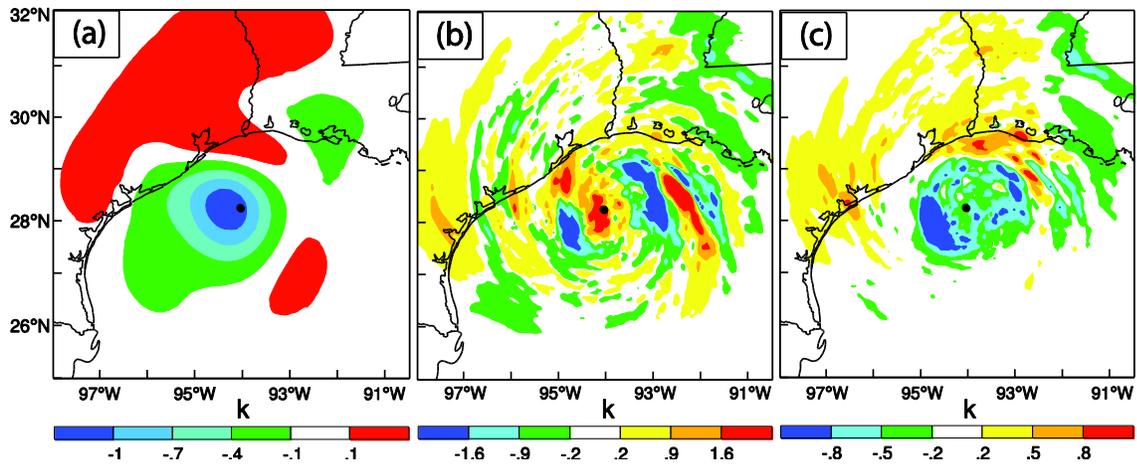
922

923

924

925

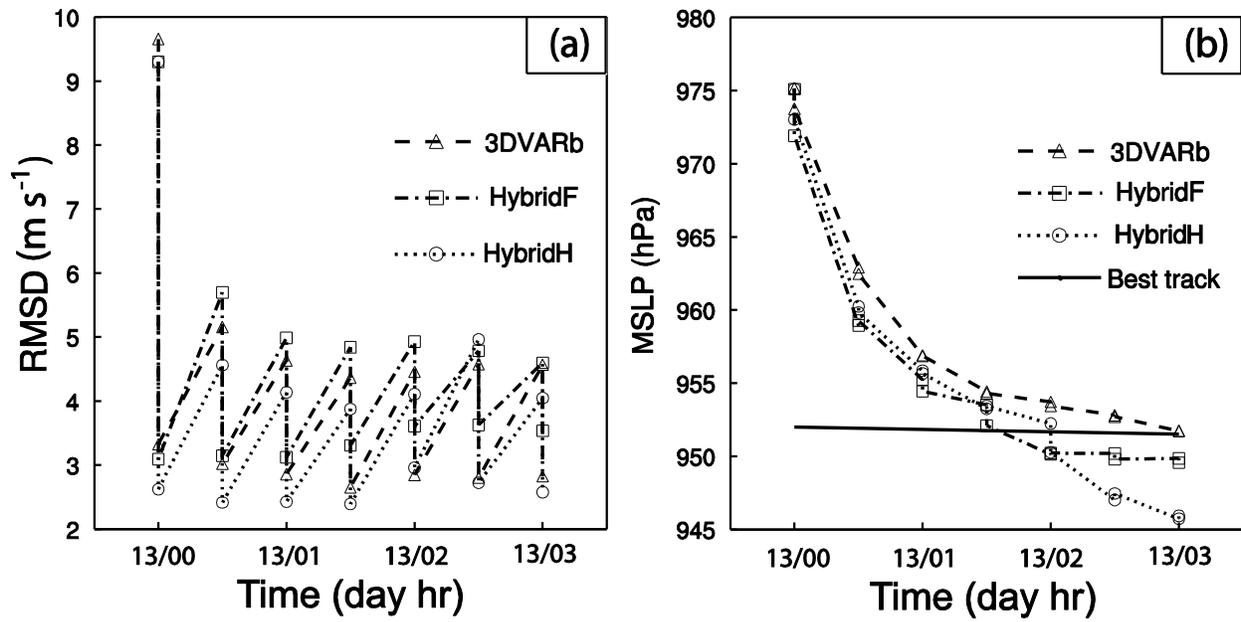
926



927  
928

929 Fig. 7. The 850 hPa temperature analysis increments for (a) 3DVARb (at intervals of  
930 0.3 K), (b) HybridF (at intervals of 0.7 K), and (c) HybridH (at intervals of 0.3 K), at  
931 0000 UTC 13 September 2008.

932  
933  
934  
935  
936  
937  
938  
939  
940  
941  
942  
943  
944  
945  
946  
947  
948  
949  
950



951

952

953 Fig. 8. The forecast and analysis (sawtooth pattern during DA cycling) of (a) RMSD  
 954 of radial velocity ( $\text{m s}^{-1}$ ), and (b) the minimum sea level pressures (hPa) together with  
 955 the NHC best track estimate, for 3DVARb, HybridF, and HybridH from 0000 to 0300  
 956 UTC 13 September 2008.

957

958

959

960

961

962

963

964

965

966

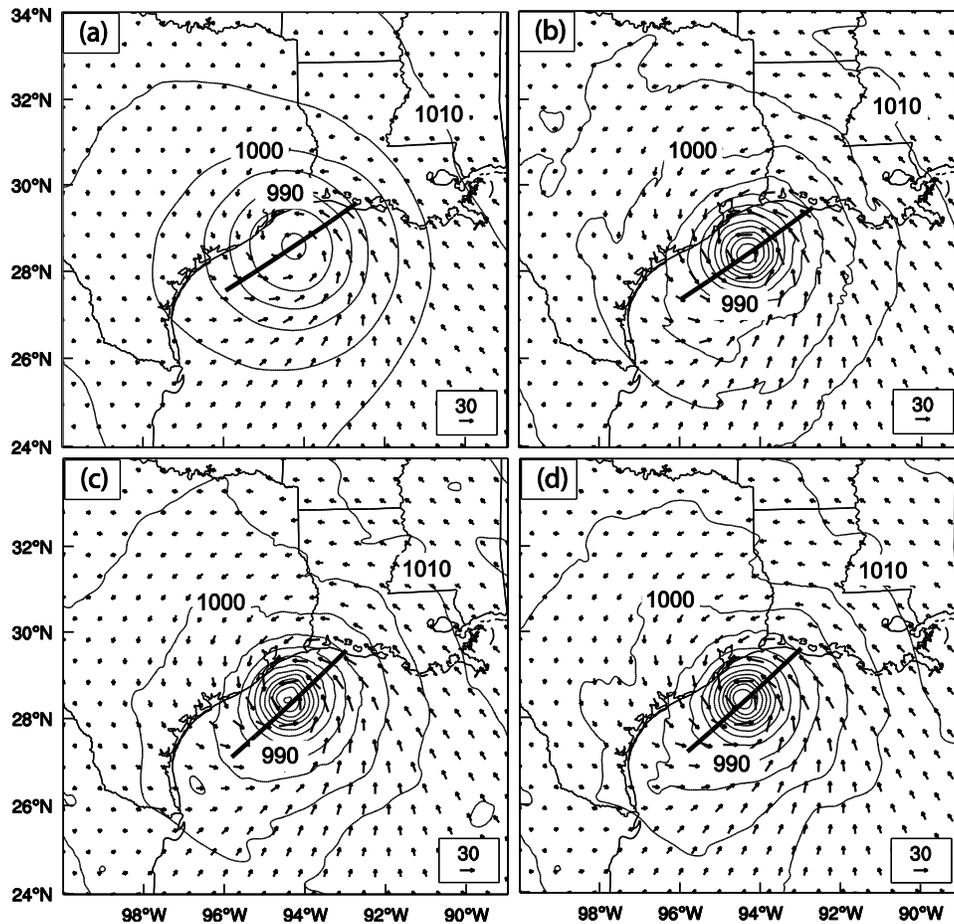
967

968

969

970

971



972

973

974

975 Fig. 9. The analyzed sea level pressure (interval of 5 hPa, solid contours) and the  
 976 surface wind vectors ( $\text{m s}^{-1}$ ) for (a) NoDA, (b) 3DVARb, (c) HybridF, and (d)  
 977 HybridH at 0300 UTC 13 September 2008. The thick solid line indicates the vertical  
 978 cross section location in Fig. 10 and Fig. 11.

979

980

981

982

983

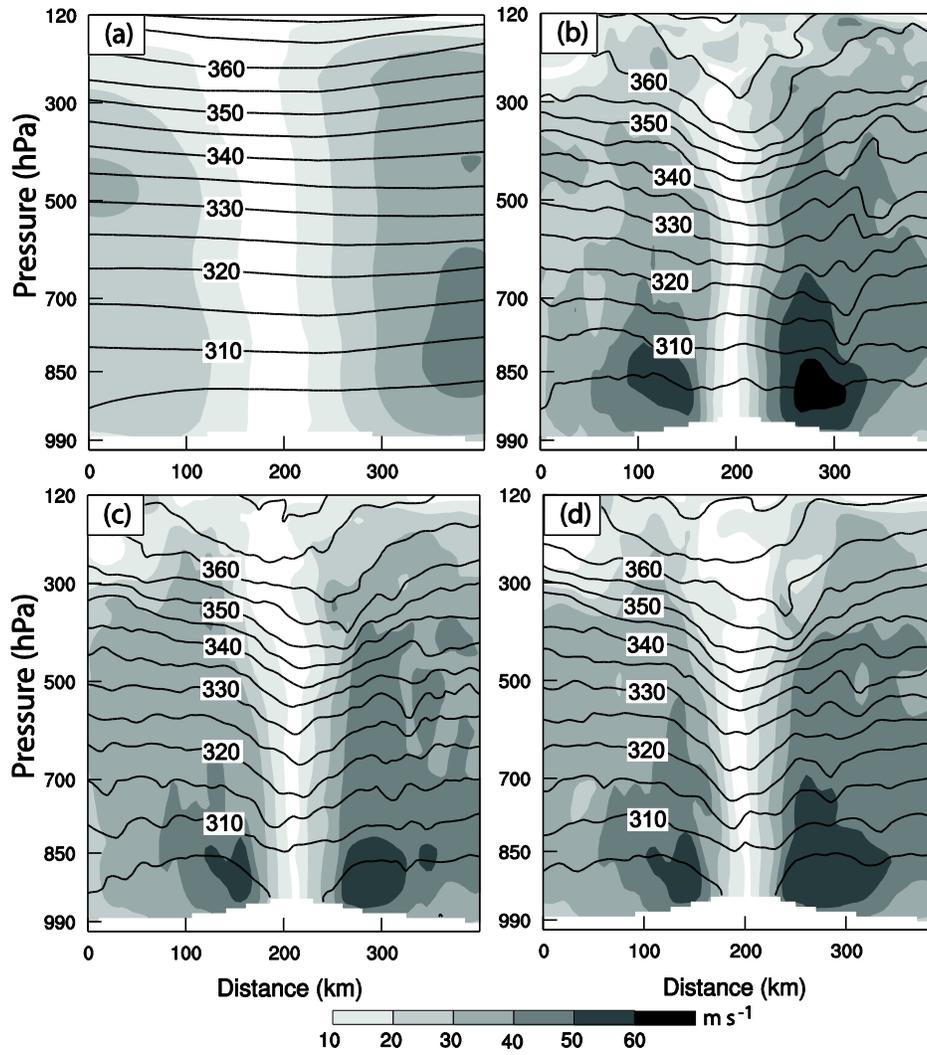
984

985

986

987

988



989

990

991 Fig. 10. Vertical cross sections of analyzed horizontal wind speed (interval of  $10 \text{ m s}^{-1}$ ,  
 992 shaded) and potential temperature (interval of  $5 \text{ K}$ , solid contours) for (a) NoDA, (b)  
 993 3DVARb, (c) HybridF, and (d) HybridH, at 0300 UTC 13 September 2008.

994

995

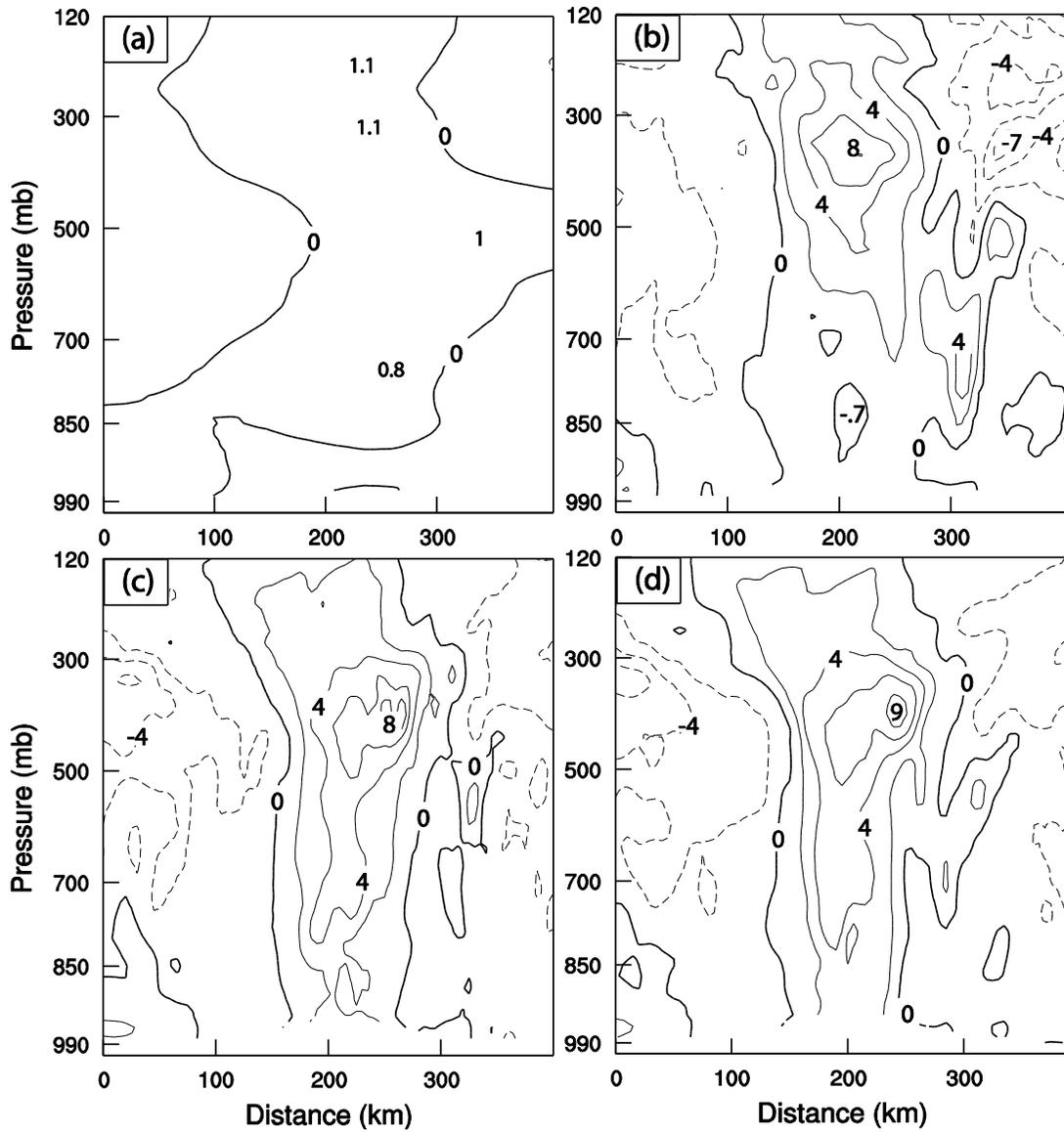
996

997

998

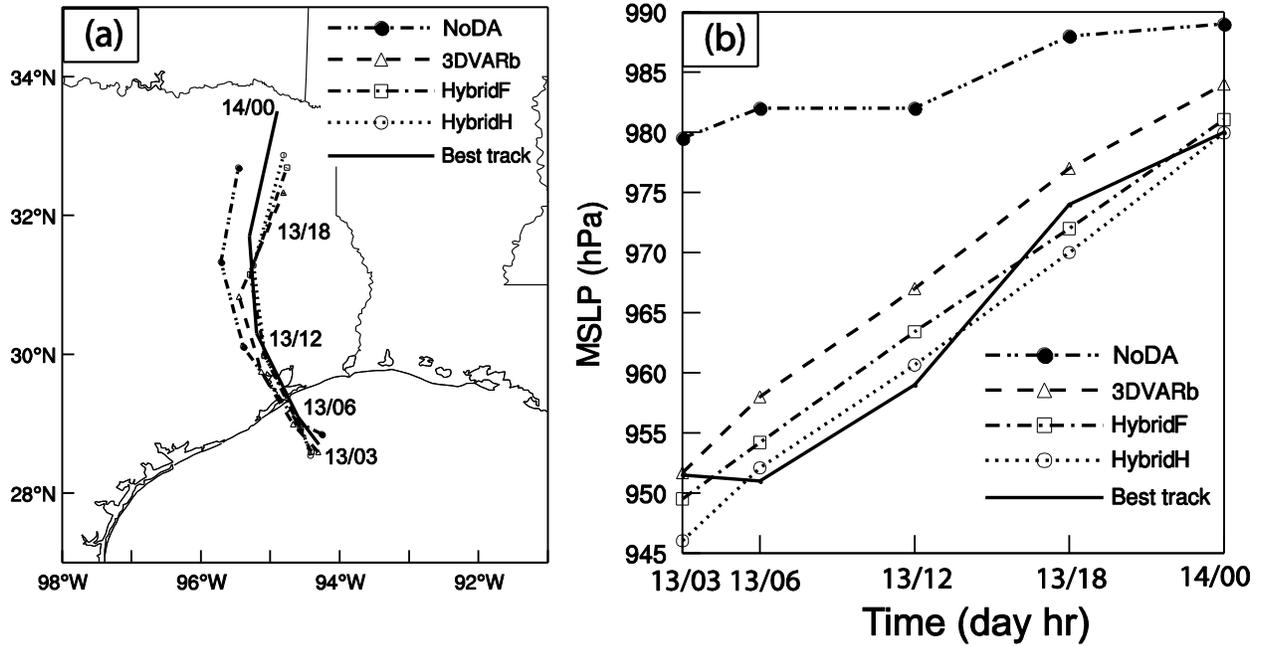
999

1000



1001  
 1002  
 1003  
 1004  
 1005  
 1006  
 1007  
 1008  
 1009

Fig. 11. Vertical cross sections of analyzed temperature anomalies (interval of 2 K) for (a) NoDA, (b) 3DVARb, (c) HybridF, and (d) HybridH, at 0300 UTC 13 September 2008.



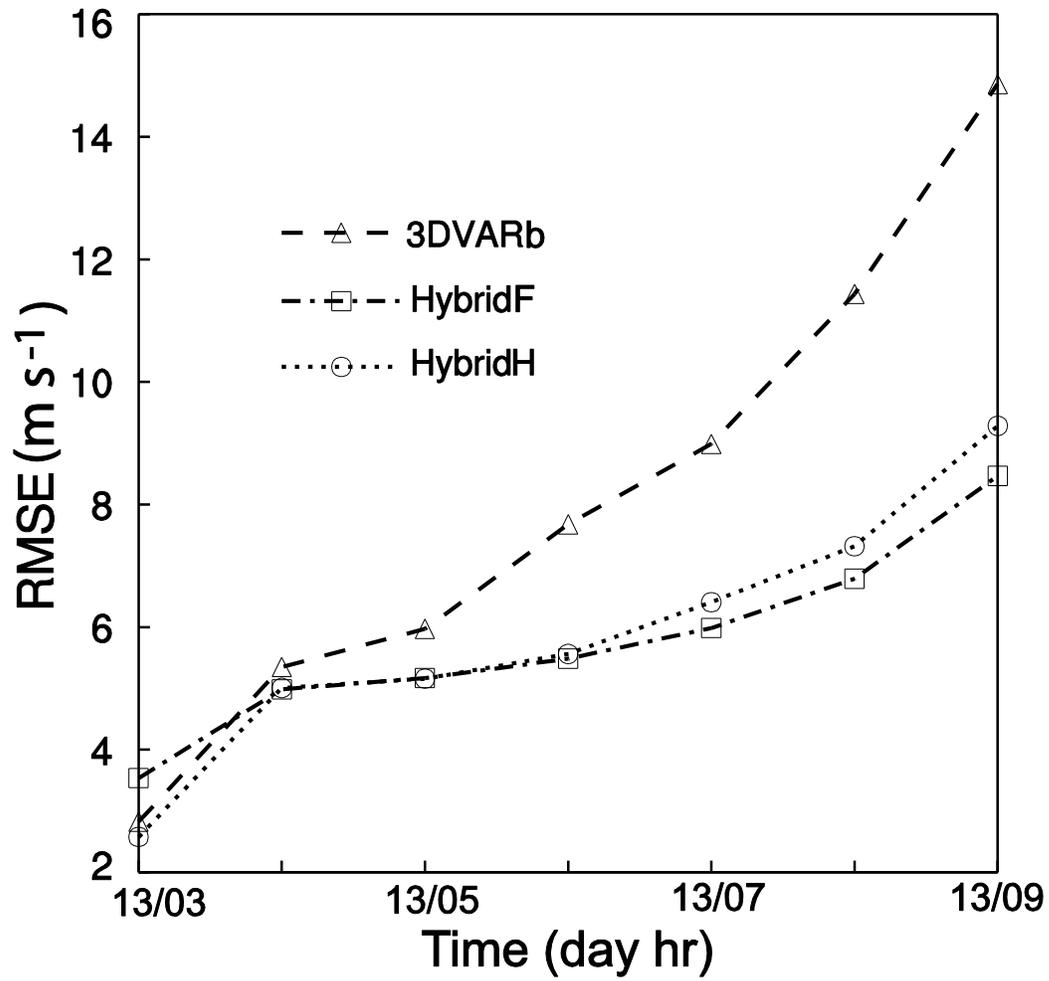
1010

1011

1012 Fig. 12. Deterministic forecast hurricane (a) tracks and (b) minimum sea level  
 1013 pressure (hPa) by NoDA, 3DVARb, HybridF, and HybridH as compared to NHC best  
 1014 track estimates from 0300 UTC 13 through 0000 UTC 14 September 2008.

1015

1016



1017

1018

1019 Fig. 13. Deterministic forecast RMSEs of  $V_r$  ( $\text{m s}^{-1}$ ) by 3DVARb, HybridF, and  
 1020 HybridH from 0300 to 0900 UTC 13 September 2008.

1021

1022

1023

1024

1025

1026

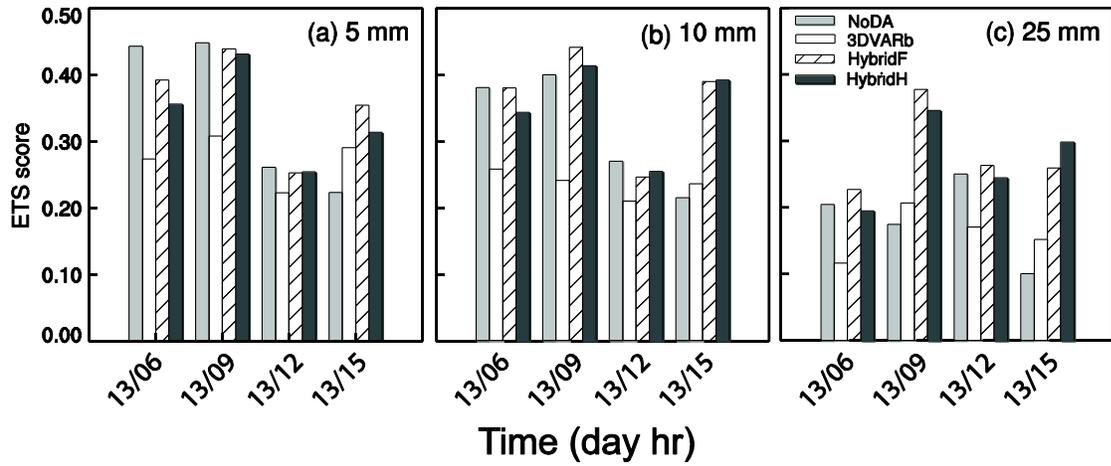
1027

1028

1029

1030

1031



1032

1033

1034 Fig. 14. The equitable threat scores for 3 h accumulated forecast precipitation by  
 1035 NoDA, 3DVARb, HybridF, and HybridH at thresholds (a) 5 mm, (b) 10 mm, and (c)  
 1036 25 mm, verified against NCEP Stage-IV precipitation analyses valid at 0600, 0900,  
 1037 1200, and 1500 UTC 13 September 2008.

1038

1039

1040

1041

1042

1043

1044

1045

1046

1047

1048

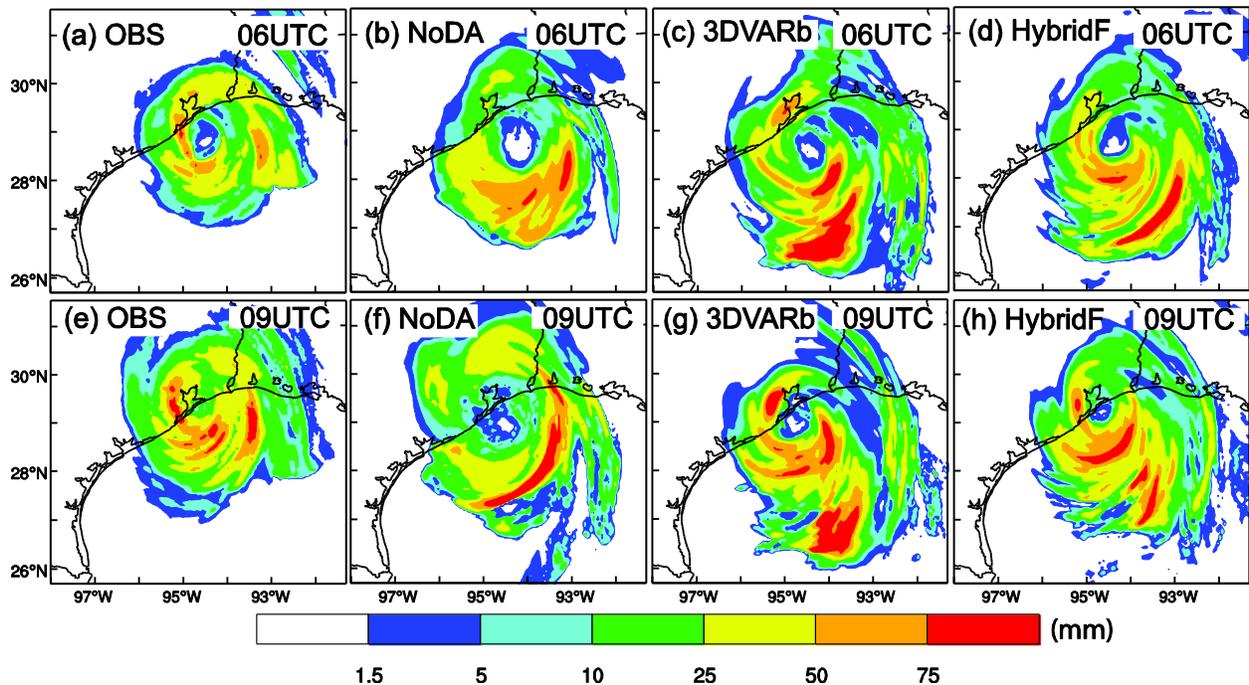
1049

1050

1051

1052

1053



1054

1055

1056

1057 Fig. 15 Three-hour accumulated precipitation (mm) by (1<sup>st</sup> column) NCEP Stage-  
 1058 IV precipitation analyses, (2<sup>nd</sup> column) NoDA, (3<sup>rd</sup> column) 3DVARb, and (4<sup>th</sup>  
 1059 column) HybridF valid at (top) 0600 and (bottom) 0900 UTC 13 September  
 1060 2008.

1061

1062

1063

1064