

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32

Tornadogenesis in a Simulated Mesovortex within a Mesoscale Convective System

Alexander D. Schenkman, Ming Xue, and Alan Shapiro

Center for Analysis and Prediction of Storms and School of Meteorology
University of Oklahoma, Norman Oklahoma 73072

February 2012

Submitted to Journal of the Atmospheric Sciences

Corresponding author address:

Ming Xue

Center for Analysis and Prediction of Storms

University of Oklahoma,

120 David L. Boren Blvd, Norman OK 73072

mxue@ou.edu

33 **Abstract**

34
35 The ARPS model is used to simulate a tornadic mesovortex with the aim of
36 understanding the associated tornadogenesis processes. The mesovortex was one of two tornadic
37 mesovortices spawned by a mesoscale convective system (MCS) that traversed southwest and
38 central Oklahoma on 8-9 May 2007. The simulation used 100-m horizontal grid spacing, and is
39 nested within two outer grids with 400-m and 2-km grid spacing, respectively. Both outer grids
40 assimilate radar, upper air, and surface observations via 5-min 3DVAR assimilation cycles. The
41 100-m grid is initialized from a 40-min forecast on the 400 m grid.

42 Results from the 100-m simulation provide a detailed picture of the development of a
43 mesovortex that produces a sub-mesovortex-scale tornado-like vortex (TLV). Closer
44 examination of the genesis of the TLV suggests that a strong low-level updraft is critical in
45 converging and amplifying vertical vorticity associated with the mesovortex. Vertical cross-
46 sections and backward trajectory analyses from this low-level updraft reveal that the updraft is
47 the upward branch of a strong rotor that forms just northwest of the simulated TLV. The
48 horizontal vorticity in this rotor originates in the near surface inflow and is caused by surface
49 friction. An additional simulation with surface friction turned off does not produce a rotor, strong
50 low-level updraft, or TLV. Comparison with previous two-dimensional numerical studies of
51 rotors in the lee of mountains shows striking similarities to the rotor formation presented herein.

52 The findings of this study are summarized in a four-stage conceptual model for
53 tornadogenesis in this case that describes the evolution of the event from mesovortexgenesis
54 through rotor development and finally TLV genesis and intensification.

56 **1. Introduction**

57 The tendency of quasi-linear convective systems (QLCSs) to produce tornadoes has been
58 well documented (e.g, Forbes and Wakimoto 1983; Przybylinski 1995; Atkins et al. 2004; Davis
59 et al. 2004; Wakimoto et al. 2006a; Atkins and Laurent 2009a, b). Moreover, a climatological
60 study by Trapp et al. (2005) showed that about 18% of tornadoes were spawned by QLCSs.
61 QLCS tornadoes typically form in association with strong, long-lived low-level meso- γ -scale
62 (e.g., Orlanski 1975) vortices, hereafter referred to as mesovortices. These mesovortices are not
63 only associated with tornadoes in QLCSs, but also have been shown to be responsible for most
64 of the wind damage reports associated with QLCSs (e.g., Wakimoto et al. 2006b). Observational
65 studies (e.g., Atkins et al. 2004; Atkins et al. 2005) have found a clear relationship between
66 mesovortex lifetime, strength, and propensity to produce tornadoes. For example, Atkins et al.
67 (2004) find an average lifetime of 76 min for tornadic mesovortices vs. 32 min for non-tornadic
68 mesovortices.

69 The formation and evolution of mesovortices has been studied in detail through both
70 idealized numerical simulations (Trapp and Weisman 2003; Weisman and Trapp 2003; Atkins
71 and Laurent 2009b, a) and dual-Doppler analyses (e.g., Wakimoto et al. 2006a). Trapp and
72 Weisman (2003) proposed that mesovortices are generated as vortex couplets via downward
73 tilting of southward pointing cold pool vortex lines along the gust front by a precipitation-
74 induced downdraft. However, the dual-Doppler analysis of Wakimoto et al. (2006a) suggested
75 that this downdraft was induced mechanically by the pressure-field rather than by precipitation
76 loading.

77 Regardless of the origin of the downdraft, the formation mechanism of Trapp and
78 Weisman (2003) and Wakimoto et al. (2006a) implies the anticyclonic vortex is north of the
79 cyclonic vortex in the couplet. In contrast, Atkins and St. Laurent (2009b, hereafter AL09)
80 explain that upward tilting of crosswise southward-pointing cold pool vortex lines occurs due to
81 a locally enhanced updraft along a bulge in the convective outflow¹. For a low-level westerly
82 momentum surge in the Northern Hemisphere, this implies the cyclonic vortex is the poleward
83 one within the vortex couplet. AL09 also proposes a second mesovortex generation mechanism
84 that involves the development of only a cyclonic mesovortex via tilting of baroclinically
85 generated streamwise horizontal vorticity into the vertical and subsequent stretching by the
86 updraft along the convective storm-generated gust front. The authors note that this genesis
87 mechanism is similar to the proposed mechanism for the genesis of the low-level mesocyclones
88 in supercells (e.g., Rotunno and Klemp 1985). Observational examples exist for vortex couplets
89 due to upward tilting (e.g., Atkins et al. 2004; Atkins et al. 2005)(Wheatley et al. 2006) and
90 downward tilting (e.g., Wakimoto et al. 2006a; Wheatley and Trapp 2008). There is currently
91 little explanation or reconciliation between the differing vortex formation mechanisms of Trapp
92 and Weisman (2003), Wakimoto et al (2006a), and AL09.

93 While the above studies disagree on the details of the mesovortex formation mechanism
94 and the orientation of the vortex couplet, they do agree that mesovortices tend to be stronger and
95 longer-lived in environments with stronger low-level shear. The studies explain that stronger

¹ This mechanism is similar to the process by which line-end vortices in MCSs develop
(Weisman and Davis 1998) as well as to the vortex line arches presented in Straka et al. (2007)
and Markowski et al. (2008) by which low-level rotation develops in supercells.

96 shear leads to updrafts that are stronger and more upright, leading to more intense stretching of
97 low-level vorticity. This result has recently been confirmed in a study by Schenkman et al.
98 (2011a ; hereafter, S11a), wherein real-data experiments that more effectively analyzed low-level
99 shear forecasted stronger, longer-lived mesovortices.

100 The dynamical link between mesovortices and tornadoes remains relatively unexplored.
101 To the authors' knowledge, no study has examined a case with sufficient resolution (either
102 observationally or numerically) to capture concurrent mesovortex and tornado circulations. The
103 present study aims to do this by analyzing high-resolution numerical modeling results of a real-
104 data initialized convective storm and the associated mesovortex which produced a sub-
105 mesovortex scale tornado-like vortex² (hereafter, TLV). An overview of the 8-9 May 2007
106 mesoscale convective system (MCS) and the associated mesovortices along with an outline for
107 the rest of the paper is presented in the next section.

108 **2. Overview of the 8-9 May 2007 MCS and associated mesovortices**

109 On 8-9 May 2007, an MCS (Fig. 1) moved through much of the western half of Texas
110 and Oklahoma. A well-defined line-end vortex (LEV) developed in the northern portion of the
111 main convective line of the MCS as it moved into southwest Oklahoma. Convective cells
112 associated with the LEV produced several weak tornadoes that struck parts of southwest and
113 central Oklahoma. According to a National Weather Service (NWS) damage survey, the first

²We refer to the vortex as 'tornado-like' because even with 100-m grid-spacing, the simulation cannot fully resolve the vortex structure, thus we cannot say for certain that the simulated vortex qualifies as a tornado.

114 tornado caused EF-1 damage in Grady County, near Minco. Another weak tornado produced
115 EF-0 damage near Union City in Canadian County. The most destructive tornado, a high-end
116 EF-1, caused an estimated three million dollars of damage in El Reno, Oklahoma. Two very
117 short-lived EF-1 tornadoes were reported a short time after the El Reno tornado near Piedmont.

118 Examination of radial velocity observations of the 9 May 2007 MCS and LEV from the
119 Oklahoma City Terminal Doppler Weather Radar (TDWR) over the period 0300 through 0500
120 UTC reveals at least five distinct mesovortices (not shown). All of the mesovortices developed
121 on the southeast side of the LEV during the comma-echo stage of the MCS (Fujita 1978). Radar
122 reflectivity observations indicate that the mesovortices were associated with strong convective
123 cells embedded within the head of the comma echo (see the zoomed in portion of Fig. 1). The
124 wind field around the LEV caused the mesovortices to move to the north and west. As the
125 mesovortices intensified, the associated convective cells briefly took on supercellular
126 characteristics with hook-echoes becoming apparent. A particularly well-defined hook echo is
127 apparent in TDWR observations (not shown) of the convective cell associated with the
128 mesovortex that spawned the Minco tornado (hereafter, the Minco mesovortex).

129 Only two of the five mesovortices present in the 9 May 2007 MCS were tornadic. These
130 two were stronger and longer-lived than the non-tornadic mesovortices (See Table 1 in S11a).
131 Both the Minco and Union City tornadoes appear to have formed in association with the Minco
132 mesovortex. The mesovortex associated with the El Reno tornado formed immediately after the
133 dissipation of the Union City tornado. The El Reno mesovortex persisted after the dissipation of
134 the El Reno tornado and spawned the two brief Piedmont tornadoes (See Fig. 1 for a map with
135 town names).

136 Numerical forecasts presented in S11a successfully simulated the genesis and evolution
137 of the Minco mesovortex on a 400 m resolution grid. Experiments that assimilated radial
138 velocity data from the CASA IP-I radar network (McLaughlin et al. 2009) were particularly
139 accurate in their forecast of the Minco mesovortex (S11a). In this paper, a simulation with 100-
140 m grid spacing is nested within one of the experiments that assimilated CASA radial velocity
141 (Vr) data (experiment CASAVrZ5MM in S11a), and the model integration is performed only
142 over the lifespan of the Minco mesovortex. We focus on analyzing the results of this high-
143 resolution simulation, and seek to understand and explain the development of the TLV associated
144 with the Minco mesovortex. The rest of this paper is organized as follows: section 3 briefly
145 describes the configurations of the numerical simulations; section 4 describes the evolution of
146 the simulated Minco mesovortex with a detailed analysis of the genesis of a simulated intense
147 low-level TLV. A summary and conclusions are given in section 5.

148

149 **3. Experiment setup**

150 The numerical simulation was performed using the Advanced Regional Prediction
151 System (ARPS; Xue et al. 1995; Xue et al. 2000; Xue et al. 2001; Xue et al. 2003) model. The
152 ARPS model is three-dimensional, fully-compressible, and non-hydrostatic. It was configured
153 with fourth-order advection in the horizontal and vertical, a rigid top boundary condition with a
154 wave absorbing layer beginning at 12 km AGL, fourth-order computational mixing, a 1.5-order
155 TKE-based subgrid-scale turbulent mixing scheme and PBL parameterization, and Lin et al.
156 (1983) three-ice microphysics with the rain intercept parameter set to $8.0 \times 10^5 \text{ m}^{-4}$ according to
157 Snook and Xue (2008). The Coriolis parameter is latitude dependent and includes the effect of
158 earth curvature. A multilayer land surface model is used that is similar to the NOAH land surface

159 model (Chen and Dudhia 2001), with five vertical soil levels. Surface fluxes are determined
160 using a drag coefficient of 3×10^{-3} , and the skin temperature and top soil moisture content
161 predicted from the land surface model [option *sfcphy*=3, see Xue et al. (1995) for more details].
162 The domain combines 100-m grid spacing in the horizontal with a vertically stretched grid based
163 on a hyperbolic tangent function (Xue et al. 1995) with a minimum spacing of 20 m near the
164 ground. The model domain is 50 km x 60 km x 30 km with 60 vertical levels.

165 The 100-m resolution model domain is one-way nested within two outer grids (see Fig.
166 1). The outermost grid has 2-km horizontal spacing and is intended to capture the overall
167 evolution of the MCS and LEV of 8-9 May 2007 (Schenkman et al. 2011b). A 400-m resolution
168 grid was nested inside of the 2-km grid. This nest was designed to capture the mesovortices
169 associated with the 8-9 May 2007 MCS case, through the assimilation of high-resolution wind
170 data from the CASA radars. Results showed that when the low-level shear in advance of the
171 surface cold pool produced by the MCS was properly analyzed, it was possible to forecast the
172 evolution of the Minco mesovortex with good accuracy. In contrast, simulations with less
173 accurate analyses of the low-level shear produced only weak, short-lived mesovortices. More
174 details on the role of low-level shear in accurately forecasting this event are provided in S11a. A
175 40-min forecast on the 400-m resolution grid from the CASAVrZ5MM experiment in S11a
176 provided the initial condition at 0300 UTC (through spatial interpolation) and boundary
177 conditions at 5-min intervals to the 100-m resolution grid. As explained in S11a, the
178 CASAVrZ5MM experiment is run with an 80-min assimilation window (0100-0220 UTC) in
179 which observations from WSR-88D, CASA, and Oklahoma Mesonet are assimilated every 5
180 min. A free forecast is then run from 0220 UTC thru 0500 UTC 9 May 2007. Simulations on the
181 100-m resolution grid are run from 0300 to 0410 UTC 9 May 2007. The start time of the 100-m

182 simulation (0300 UTC) is slightly before the genesis of the Minco mesovortex in the 400-m
183 simulation. This allows for the detailed examination of both the genesis and intensification of
184 the Minco mesovortex using 100-m grid spacing.

185 **4. The simulated mesovortex and associated tornado-like vortex**

186 *a. General overview of the 100-m grid-spacing numerical simulation*

187 The 100-m simulation begins at 0300 UTC with a well-defined gust front at the low
188 levels (Fig. 2a). This gust front marks the leading edge of an outflow surge associated with
189 strong convection near the center of the LEV (see the discussion of the secondary outflow surge
190 in S11a). The gust front is initially oriented north-south. An initial mesovortex³ is present along
191 the northern portion of the gust front (Fig. 2a). Over the next five minutes, a gust front bulge
192 develops to the southeast of the initial mesovortex. An enhanced updraft develops along the gust
193 front bulge, leading to the generation of cyclonic (anticyclonic) vorticity on the northern
194 (southern) side of the bulge (Fig. 2b). The vortex line plotted in Fig. 2b arches from the
195 cyclonic vorticity to the area of anti-cyclonic vorticity indicating that the baroclinically generated
196 southward-pointing horizontal vortex lines at the gust front are tilted into the vertical at the

³ As in S11a, a circulation is considered a mesovortex if it has maximum vertical vorticity $> 0.025 \text{ s}^{-1}$ and persists for at least 15 min. These criteria are kept the same despite increased resolution of the present study because mesovortices were already resolved fairly well on the 400 m grid in S11a. Calculations of the Okubo-Weiss number (e.g., Markowski et al. 2011) were also examined (not shown) to verify that mesovortices were in fact vortices and not just long-lived shear lines.

197 bulge, creating the vorticity couplets. The arrangement of the vorticity centers within the
198 couplets is similar to that of the mesovortex couplets discussed in AL09, because the couplets are
199 generated through enhanced updraft between the vorticity centers.

200 The initial mesovortex decays rapidly, dissipating by 0315 UTC. S11a also discussed
201 this initial mesovortex and showed that it was short-lived because it was generated in an area of
202 weak low-level shear. Meanwhile, the anticyclonic vorticity on the south side of the gust front
203 bulge remains disorganized and does not form a well-defined anticyclonic mesovortex. In
204 contrast, the cyclonic vorticity on the north side of the gust front bulge intensifies⁴ and the Minco
205 mesovortex develops by 0315 UTC (Fig. 2c). S11a found that the Minco mesovortex developed
206 in an area of much stronger low-level shear than the initial mesovortex (see their Fig. 9).

207 The Minco mesovortex continues to intensify through 0330 UTC. Concurrently, the flow
208 field associated with the mesovortex begins to resemble that of a divided supercell low-level
209 mesocyclone (Lemon and Doswell 1979), with a strong updraft in the western and northern parts
210 of the circulation and a strong downdraft in the eastern sector of the circulation (Fig. 3a).
211 Unlike a supercell, however, there is not a persistent mid-level mesocyclone associated with the

⁴ The idealized simulations in Trapp and Weisman (2003) found that the cyclonic circulation in a mesocyclone couplet is favored due to Coriolis forcing. However, the Coriolis force is not important on spatial scales of a few kilometers and temporal scales of a few minutes. As such, in the case under consideration, the pre-existing mesoscale cyclonic vorticity associated with the LEV can also act to enhance the cyclonic circulation, especially through low-level convergence and vertical stretching associated with the cyclonic mesovortex. A similar process will act to weaken the anticyclonic vorticity.

212 low-level circulation (not shown). A TLV forms in association with the intensifying mesovortex
213 around 0327 UTC. This TLV will be discussed in detail in the next sub-section.

214 After 0330 UTC, the Minco mesovortex begins to broaden and weaken. As this occurs,
215 the updraft in the western and northern sectors of the mesovortex rapidly weakens, and much of
216 the circulation becomes embedded in downdraft by 0340 UTC (Fig. 3b). By 0355 UTC, the
217 Minco mesovortex broadens substantially with a disorganized vertical velocity field (not shown).
218 The Minco mesovortex gradually decays throughout the remainder of the simulation.

219 *b. Genesis of a tornado-like vortex*

220 Closer examination of the simulated Minco mesovortex reveals the presence of several
221 submesovortex-scale vortices. Most of these vortices are short-lived and do not produce tornado
222 strength winds. However, one of the vortices is longer-lived and produces EF-0 (40 m s^{-1})
223 strength winds. The remainder of this sub-section discusses this tornado-like vortex (TLV). In
224 this study, we define a TLV as a clearly-discernible area of rotation that persists for at least 2
225 min with maximum vertical vorticity $> 0.2 \text{ s}^{-1}$ and winds speeds of EF-0 intensity or greater. For
226 convenience in our discussion of the TLV, the following naming convention is used: the bulging
227 portion of the gust front that extends from the Minco mesovortex to the east is hereafter referred
228 to as the rear-flank gust front (RFGF); the gust front that is located to the west of the Minco
229 mesovortex is referred to as the forward flank gust front (FFGF). This naming convention was
230 chosen because the features closely resemble RFGF and FFGF appearance in supercell storms
231 (e.g., see the schematic in Lemon and Doswell 1979). This naming convention is meant to
232 simplify the description of the TLV-relative location and appearance of these features and *not* to
233 suggest that we are simulating a classic supercell. The FFGF and RFGF are denoted in Fig. 4a.

234 With the above definitions in mind, the evolution of the TLV is now discussed. The TLV
235 forms very rapidly around 0327 UTC as low-level vorticity associated with the Minco
236 mesovortex⁵ along the occluding RFGF moves to the northwest and merges with a small vertical
237 vorticity maximum (while this feature is fairly weak, it is persistent and can be tracked back for
238 several minutes prior to TLV genesis. The role of this feature is discussed at the end of this
239 subsection.) that is associated with a surge of westerly momentum at low levels (Fig. 4a-c). The
240 developing TLV rapidly contracts with maximum vertical vorticity values increasing from 0.1 s^{-1}
241 to 0.4 s^{-1} in about 60 s (Fig. 4d-e). The TLV broadens slightly over the next few minutes while
242 maintaining its intensity (Fig. 5a,b). Around 0333 UTC, the TLV broadens and weakens rapidly
243 (Fig. 5c) as a strong downdraft forms in its eastern half. This downdraft is only present at low-
244 levels and is dynamically induced by the ~ 12 hPa low-level pressure drop associated with the
245 TLV (Fig. 5a). At the same time, a strong vorticity maximum (marked by ‘Y’ in Fig. 5c) forms
246 to the west of the TLV center. This vorticity maximum is very short lived and has dissipated by
247 0335 UTC (Fig. 5d).

248 While the dynamics behind the mature and decaying stages of the TLV are easily
249 explained by the associated low-level pressure perturbation, the rapid genesis and intensification
250 of the TLV warrant closer inspection. Time-height plots of maximum vertical velocity and

⁵ Due to insufficient model resolution and complicated flow evolution, it is very difficult to determine whether the Minco mesovortex simply contracts and becomes the TLV or if some of the vorticity associated with the Minco mesovortex is concentrated with the Minco mesovortex remaining a separate feature. It may also be unlikely that such a distinction is clear in the actual atmosphere.

251 vorticity indicate that the TLV was associated with a strong updraft, with $w > 20 \text{ m s}^{-1}$ at 500 m
252 AGL (Fig. 6). This low-level updraft formed before, and dissipated after, the TLV. Backward
253 trajectory calculations terminating in the TLV confirm that this updraft played a key role in TLV
254 intensification as low-level stretching, due to the rapidly increasing updraft above the ground, is
255 the dominant vorticity generation term (Fig. 7). Thus, it is important to determine the
256 mechanism by which this intense low-level updraft was generated and maintained, as it plays a
257 critical role in the TLV genesis and maintenance.

258 Examination of low-level flow fields in the five minutes leading up to the development of
259 the TLV reveals that the intense low-level updraft forms along the FFGF. The intense low-level
260 updraft is forced by strong near-surface convergence between easterly flow associated with the
261 occluding RFGF and a narrow band of enhanced westerly flow just to the west of the FFGF (Fig.
262 8a). Vertical cross sections reveal that this westerly flow comprises the bottom part of a rotor
263 that has formed immediately to the west of the FFGF (Fig. 8b). This rotor is about 1 km deep, 2
264 km wide and 4 km long and is oriented along the FFGF (Fig. 8c). A 600-m diameter ring of 18
265 backward trajectories that is initialized around the TLV is also plotted in Fig. 8c. The majority
266 of these trajectories pass through the rotor.

267 The rotor forms around 0320 UTC in association with a surge of westerly momentum at
268 low-levels, which is the result of a low-level downdraft that is associated with the dissipation of
269 the first mesovortex (cf. Fig. 2c). As this surge of momentum impinges on the FFGF from the
270 rear, the rotor circulation rapidly intensifies (this rapid intensification will be discussed and
271 shown further in section 4d.). This rapid intensification is coincident with a ~ 8 hPa pressure
272 drop [likely due to the increase in horizontal vorticity as reflected in the ‘spin’ term of the
273 diagnostic pressure perturbation equation (e.g., eq. (2.131) in Markowski and Richardson 2010)],

274 along the central axis of the rotor by 0325 UTC. It is at this point that the strong low-level
275 updraft forms in the ascending branch of the rotor. TLV genesis occurs rapidly as low-level
276 vertical vorticity associated with the Minco mesovortex moves into the strong convergence
277 associated with the low-level updraft/rotor. This can be seen in Fig. 4 as the broad area of
278 vorticity associated with the Minco mesovortex on the left side of the RFGF moves towards
279 FFGF during occlusion.

280 Another source of vorticity for the TLV is the horizontal vorticity of the rotor itself. Fig.
281 9 indicates that this vorticity is tilted into the vertical and is responsible for the generation of the
282 small vorticity maximum introduced above and highlighted in Fig. 4a-d. However, a circulation
283 analysis, in which a 200-m radius ring made up of 3600 parcels surrounding the TLV is
284 initialized 100-m AGL and the parcel trajectories are integrated backward in time, indicates that
285 this is likely a secondary effect. More specifically, the circulation around the circuit remains
286 nearly constant while the area it encloses decreases dramatically (Fig. 10). Thus, according to
287 Stoke's theorem, the vorticity component normal to the area enclosed by the circuit must
288 increase. Moreover, most of the circuit during this time is nearly horizontal; suggesting much of
289 the normal vorticity component is vertical vorticity. This suggests that convergence into the
290 low-level updraft amplifies pre-existing vorticity within the circuit, leading to TLV development
291 through conservation of angular momentum. Thus, the most important role of the rotor is to
292 cause the concentration and intense stretching (in its upward branch) of pre-existing vertical
293 vorticity associated with the Minco mesovortex (whose vorticity was generated mostly from the
294 tilting of horizontal vorticity along the RFGF).

295 Circulation analyses for longer time periods were also attempted to determine the origin
296 of the circulation (e.g., Rotunno and Klemp 1985). However, circuits become extremely

297 distorted with many overlapping portions and sharp discontinuities after about 90 s of backward
298 integration (not shown), precluding any meaningful analysis.

299 *c. The generation of the horizontal rotor and low-level updraft*

300 While the important role the rotor plays in TLV genesis in this case has been established,
301 the mechanism responsible for generating the rotor has not yet been examined. To help
302 determine the mechanism, a detailed backward trajectory analysis is performed. This analysis
303 shows that nearly all parcels within the rotor originate at very low-levels (< 125 m AGL; Fig.
304 11). Furthermore, our trajectory analysis suggests that almost all of the parcels that pass through
305 the rotor came from the inflow air to the northeast of the convective cell. These parcels ascend
306 several hundred meters over the FFGF, descend in the downward branch of the rotor while
307 turning to the south and east, and then ascend sharply in the rotor's upward branch (Fig. 12).
308 When plotted in three dimensional space, the typical parcel's path is helical around the rotor's
309 central axis (Fig. 12). Inflow parcels have large values of negative y-component vorticity (the
310 same as in the rotor) suggesting this inflow vorticity is the source of the horizontal vorticity in
311 the rotor (see Fig. 8b). Given the proximity of these parcels to the ground, the starting location
312 in the fairly thermodynamically-homogenous inflow area (hence, little baroclinic vorticity
313 generation), and large values of vorticity of the opposite sign to the vorticity associated with the
314 environmental shear, it appears likely that these parcels obtained their vorticity from surface
315 drag. Vorticity calculations along backward trajectories that enter the rotor confirm this
316 hypothesis as inflow parcels acquire large negative y-component vorticity from surface drag
317 prior to entering the rotor circulation (Fig. 13).

318 In order to confirm that surface drag is the cause of the rotor circulation, the 100-m
319 simulation was re-ran without the surface drag parameterization⁶. On the mesoscale, the
320 simulation evolves in a similar manner to the experiment with surface drag, with an initial
321 mesovortex developing and decaying, followed by the development of the Minco mesovortex
322 (Fig. 14). However, closer examination shows that a rotor does not form, and time-height plots
323 of maximum updraft and vertical vorticity reveal that there is no strong low-level updraft. As a
324 result, there is no TLV in the no-drag experiment (Fig. 15). Instead, there is a long period of
325 weaker vorticity associated with the broad rotation of the Minco mesovortex. This result strongly
326 suggests that surface drag is the cause of the rotor and associated enhanced low-level updraft,
327 implying that surface drag is critical to the TLV genesis in this case.

328 *d. Analogy with rotors in the lee of mountains*

329 Now that the importance of the rotor (and thus surface drag) in TLV genesis in this case
330 has been established, an attempt is made to explain the mechanism by which surface drag is
331 acting to create the rotor circulation. To do so, another atmospheric flow in which surface drag
332 has been shown to result in the generation of rotors is examined. Namely, rotors that form on the

⁶ A caveat here is, due to computational cost, the outer 400-m and 2-km domains were not re-run without surface drag. Thus, it must be assumed that impact of friction communicated to 100-m grid through the initial and boundary conditions is small. This assumption is likely valid because the features of interest are generally far from the lateral boundaries and most of the vorticity generated by friction in the 100-m experiment that included drag did not come from the initial condition, but rather was generated as the flow accelerated into the intensifying convective cell.

333 lee slope of mountains associated with mountain wave flows. Using idealized 2-D numerical
334 simulations of stably stratified flow with and without surface drag, Doyle and Durran (2002)
335 have shown that rotor formation in the lee of a mountain in a simulation with surface drag is the
336 result of boundary layer separation that occurs as the flow turns upward into the updraft at the
337 leading edge of the first lee-wave. Specifically, boundary layer separation occurs as the flow
338 decelerates and is forced to rise by the adverse PGF associated with the pressure maxima beneath
339 the lee-wave crest. As the boundary layer separates, the thin sheet of frictionally-generated
340 vorticity near the surface is advected into the lee-wave and a rotor forms. Mountain wave
341 simulations that do not include surface friction do not produce rotors; instead, they produce a
342 stationary wave train that has substantially higher amplitude than the wave train in corresponding
343 experiments that include surface friction. These results led Doyle and Durran (2002) to conclude
344 that the rotors in their simulations formed via a synergistic interaction between boundary layer
345 drag and trapped mountain lee-waves.

346 In order to compare the findings of the mountain rotor studies to our study, the following
347 equivalencies between our study and the idealized mountain rotor scenario are noted:

348 (1) In both studies, there is a strong low-level wind maximum, beneath which boundary layer
349 drag generates large values of horizontal vorticity (cf. Fig. 8b). In the mountain wave
350 case, this vorticity maximum is caused by friction acting on the stably-stratified flow
351 accelerating down the lee slope of the mountain. In our study, friction acting on the
352 accelerating inflow east of the intensifying convective storm creates a similar vorticity
353 maximum.

354 (2) In both studies, the atmosphere is stably stratified at low-levels. In the mountain wave
355 case, this is specified in the initial conditions. In our case, the nocturnal nature of the

356 event and earlier rainfall associated with the leading convective line of the MCS lead to
357 stable stratification of the low-level inflow (Fig. 16a). The role of stable stratification in
358 our case is to prevent parcels from continuing to accelerate buoyantly upward after being
359 forced to rise upon encountering the FFGF. Instead, because of stable stratification,
360 parcels descend and become concentrated to the rear of the FFGF.

361 (3) Both the mountain rotor and the rotor in our simulation form just downstream of an
362 adverse PGF that leads to boundary layer separation. As mentioned above, in the
363 mountain wave case, this adverse PGF is just upstream of and is caused by the pressure
364 maxima present beneath each lee-wave crest. In our case, the inflow is forced to rise by
365 an adverse PGF associated with the pressure maximum due to the gust front. This gust
366 front is reinforced by the westerly momentum surge (Fig. 16a) produced as the earlier
367 mesovortex dissipates. This reinforcing surge of westerly flow increases low-level
368 convergence which, through the diagnostic perturbation pressure equation referred to
369 above, implies an increase in the strength of the adverse PGF and is accompanied by the
370 rapid development and intensification of the rotor circulation (Fig. 16b). Doyle and
371 Durran (2002) noted that rotor intensity (which they measured by the strength of the
372 reversed flow associated with the rotor) was proportional to the strength of the adverse
373 PGF in corresponding experiments that did not include surface drag.

374 While the idealized 2D mountain rotor scenario and the rotor in our simulation share
375 many similarities, there are also important differences. Most significantly, our simulation is
376 three-dimensional and includes flow perturbations associated with a convective storm, rather

377 than two-dimensional and homogenous as in Doyle and Durran (2002)⁷. More specifically,
378 pressure gradient forces associated with the convective storm and the Minco mesovortex
379 accelerate the flow along the rotor axis and into the TLV and Minco mesovortex, leading to the
380 formation of only one rotor instead of the series of rotors that formed in the lee of the mountain
381 in Doyle and Durran (2002). Nonetheless, the striking similarities in the formation of the rotor,
382 environmental conditions, and geometry of the problem (compare Fig. 17a to Fig. 17b) strongly
383 suggests that the basic rotor formation mechanism in our simulation is largely analogous to that
384 of the two-dimensional mountain simulations.

385 *e. The role of surface friction in TLV genesis*

386 It is important to make a distinction between the role of friction in TLV genesis presented
387 herein and the role of surface drag in tornado maximum wind speed discussed in Fiedler and
388 Rotunno (1986), Fiedler (1994), Trapp and Fiedler (1995), Grasso and Cotton (1995), and
389 Lewellen et al. (1997). In those studies, surface drag was found to be responsible for producing
390 a maximum wind speed in tornadoes that exceeded the so-called “thermodynamic speed limit”.
391 This occurred because surface drag led to the creation of an axial jet and supercritical end-wall
392 vortex that made it more difficult for vortex breakdown to penetrate to the surface. Thus, these
393 studies primarily investigated the impact of surface drag on the tornado and sub-tornado scale.
394 This differs greatly from our study, in which surface drag has a substantial impact at the

⁷ The impact of three-dimensionality was investigated in Doyle and Durran (2007), however, comparison with these results is even more difficult as three-dimensionality tends to accentuate the inherent differences between the ‘flow over a mountain’ and convective storm scenarios.

395 mesovortex scale (dramatically enhancing the mesovortex-scale updraft at low-levels). It is
396 possible that surface drag is also acting on the tornado and sub-tornado scale in our simulation;
397 however, this is not the focus of the present paper.

398 In addition to clarifying the difference between the role of surface drag on the tornado
399 scale and the role of surface drag on the storm and mesovortex scale, we also want to expand on
400 the role surface drag is playing in this case. In particular, it is emphasized that the primary role
401 of the rotor in TLV genesis is the concentration and stretching of vorticity by the intense low-
402 level updraft, not the generation of vertical vorticity from the tilting of horizontal vorticity within
403 the rotor. Thus, a small area of intense vertical vorticity that forms within the rotor a few minutes
404 before the TLV is examined (see the vorticity maximum near $x=28.3$ km, $y=30.4$ km in Fig. 4a).
405 This vorticity center amplified dramatically as it moved into the intense low-level updraft (not
406 shown). However, the small vorticity center then rapidly moves away from the ascending branch
407 of the rotor and weakens (Fig. 4b,c). A TLV does not form until the larger area of vertical
408 vorticity associated with the Minco mesovortex becomes coincident with the rotor. After the
409 dissipation of the TLV, there are several brief, but intense vorticity centers that develop near, and
410 move through the rotor (e.g., the vorticity maximum marked by a ‘Y’ in Fig. 5c). However, the
411 strong downdraft in the eastern portion of the Minco mesovortex (see Fig. 3a) combined with
412 the axial downdraft forced by the TLV has substantially broadened the mesovortex circulation.
413 As such, even though the low-level updraft associated with the rotor remains intense, it is unable
414 to re-concentrate the broad mesovortex, and no additional TLVs form in association with the
415 small vorticity centers. Thus, the rotor and associated updraft appear to be necessary, but not
416 sufficient, conditions for TLV genesis in the present case.

417

418 *f. Summary and conceptual model*

419 Analysis of the numerical simulations presented herein suggests a multi-step process in
420 the development and intensification of the TLV associated with the Minco mesovortex. Fig. 18
421 presents a schematic of this multi-step process (for the case under consideration) and can be
422 summarized as follows:

423 I) An updraft that forms at the leading of the gust front bulge tilts baroclinically generated
424 southward pointing vortex lines upward, forming a vortex arch. Areas of cyclonic and
425 anti-cyclonic vorticity straddle the updraft, with cyclonic (anticyclonic) rotation on the
426 north (south) side.

427 II) The cyclonic vorticity intensifies along with the overall convective storm, given
428 preference for intensification over the anti-cyclonic circulation by the presence and
429 concentration of the background cyclonic vorticity. This intensification leads to
430 increased low-level inflow ahead of the gust front and the generation of strong horizontal
431 vorticity near the surface caused by surface drag.

432 III) The FFGF is reinforced from the rear by a surge of westerly momentum due to
433 downdrafts from an earlier dissipating mesovortex. A horizontal rotor circulation
434 develops and rapidly intensifies as low-level inflow and associated strong near-surface
435 horizontal vorticity is forced to rise upon encountering the FFGF. Concurrently, the
436 upward branch of the rotor intensifies dramatically leading to the development of an
437 intense low-level updraft.

438 IV) Tornado-like vortex genesis occurs as vorticity associated with the mesovortex is
439 concentrated and stretched by the intense low-level updraft. The vortex dissipates when a

440 downward-directed pressure gradient force develops, inducing a downdraft at the vortex
441 center and broadening the vortex.

442 **5. Summary and conclusions**

443 Although little is known about the development of quasi-linear convective system
444 (QLCS) tornadoes, observations indicate that they tend to form in close association with strong,
445 long-lived mesovortices. In this study, results were presented from a numerical study of one such
446 strong, long-lived mesovortex that occurred in association with an MCS and line-end vortex on
447 8-9 May 2007 in central Oklahoma. The simulation was run using the ARPS model with a high-
448 resolution (100-m grid spacing) domain nested within two larger, lower-resolution (2 km and
449 400 m grid spacing) domains. The two lower-resolution simulations were initialized by
450 assimilating data from both operational WSR-88D radars and from the high-density experimental
451 CASA radar network, as well as data from conventional sources.

452 The simulated mesovortex was generated in a manner consistent with the development
453 mechanism for mesovortex couplets proposed by Atkins and St. Laurent (2009b). Namely,
454 cyclonic and anticyclonic vortex couplets formed on either side of an enhanced updraft
455 associated with a bulging gust front. The cyclonic member of the vortex couplets strengthened
456 and persisted for ~ 1 hr. The simulated mesovortex produced a strong low-level sub-mesovortex
457 scale tornado-like vortex (TLV). Closer inspection of the genesis of this TLV showed that a
458 strong low-level updraft was critical for the convergence and amplification of the vertical
459 vorticity associated with this mesovortex to tornado strength. This low-level updraft was found
460 to be the upward branch of a strong horizontal rotor located just to the northwest of the TLV.
461 The cause of the rotor was shown to be the interaction between the convective outflow and

462 frictionally-generated near-ground horizontal vorticity underneath enhanced low-level storm
463 inflow.

464 The results presented herein come with a common caveat to studies focusing on high-
465 resolution numerical simulation; that is, they are only explicitly valid for this one case and may
466 be limited by the experiment design (resolution, etc.). However, an important aspect of this
467 study is that, as far as we know, it is the first to highlight the existence and importance of the
468 rotor circulation and show a possible substantial impact of surface drag on the storm and sub-
469 storm scale [rather than on the sub-tornado scale (e.g., Fiedler 1994)]. It is also one of few
470 studies of this type whose simulated storms are initialized using real data and in which the model
471 simulations verify reasonably with observations. Our findings are also consistent with earlier
472 studies that showed tornadoes within QLCSs are typically associated with strong, long-lived
473 mesovortices. In our study, a critical ingredient for rotor development is the frictional generation
474 of near-surface horizontal vorticity associated with the intensification of the inflow into the
475 Minco mesovortex. This flow profile takes about 10 min to develop after the genesis of the
476 Minco mesovortex. We speculate that weaker, shorter lived mesovortices may dissipate before a
477 rotor-circulation develops, which could preclude tornadogenesis.

478 The important role of surface drag and the rotor circulation raises a number of questions
479 that will be the focus of future work. Most importantly, how common is a rotor feature in
480 tornadic mesovortices associated with QLCSs? It seems probable that the environment of our
481 simulation is at least somewhat typical of environments associated with many QLCSs. Is a
482 similar rotor type feature common and/or important in supercell tornadogenesis? Dowell and
483 Bluestein (1997) found very strong shear in wind observations from a 440-m tall instrumented
484 tower in near-updraft supercell inflow (see their Fig. 18). They speculated that this shear may

485 have been caused by stretching of baroclinic vorticity associated with anvil shading. However,
486 numerical simulations investigating the impact of anvil shading (Frame and Markowski 2010)
487 showed that a similar shear profile was the result of surface drag slowing the near-ground flow.
488 Additionally, an examination of dual-Doppler and mobile mesonet data from the Goshen County,
489 Wyoming, 5 June 2009 supercell intercepted during the VORTEX2 project suggests that surface
490 drag cannot be ruled out as a contributor to positive circulation (Markowski 2012a, b). It seems
491 probable that the only way to answer these questions will be through additional high-resolution
492 simulations of different cases as, even in targeted field campaigns, near ground (~200 m AGL or
493 below) high-resolution observations are generally not available. Such simulations will be the
494 subject for future research.

495

496 *Acknowledgements:* This work was primarily supported by NSF grants EEC-0313747 and AGS-
497 0802888. The second author was also supported by NSF grants OCI-0905040, AGS-0750790.,
498 AGS-0941491, AGS-1046171, and AGS-1046081. Numerical simulations were performed at the
499 University of Oklahoma Supercomputing Center for Education and Research (OSCER). Matt
500 Kumjian is thanked for a thorough review of this manuscript. The first author also wishes to
501 thank Brett Roberts, Daniel Betten, Dan Dawson, and Jeff Trapp for help and insightful
502 conversations about a variety of topics related to this study. Thorough and thoughtful reviews
503 from three anonymous reviewers helped to strengthen the content of this manuscript.

504

505 **References**

- 506 Atkins, N. T., J. M. Arnott, R. W. Przybylinski, R. A. Wolf, and B. D. Ketcham, 2004: Vortex
507 structure and evolution within bow echoes. Part I: Single-Doppler and damage analysis of
508 the 29 June 1998 derecho. . *Mon. Wea. Rev.*, **132**, 2224-2242.
- 509 Atkins, N. T., C. S. Bouchard, R. W. Przybylinski, R. J. Trapp, and G. Schmocker, 2005:
510 Damaging surface wind mechanisms within the 10 June 2003 Saint Louis bow echo
511 during BAMEX. . *Mon. Wea. Rev.*, **133**, 2275-2296.
- 512 Atkins, N. T. and M. St. Laurent, 2009a: Bow echo mesovortices. Part I: Processes that influence
513 their damaging potential. . *Mon. Wea. Rev.*, **137**, 1497-1513.
- 514 Atkins, N. T. and M. S. Laurent, 2009b: Bow echo mesovortices. Part II: Their genesis. *Mon.*
515 *Wea. Rev.*, **137**, 1514-1532.
- 516 Chen, F. and J. Dudhia, 2001: Coupling an advanced land surface-hydrology model with the
517 Penn State-NCAR MM5 modeling system. Part I: Model implementation and sensitivity.
518 *Mon. Wea. Rev.*, **129**, 569.
- 519 Davis, C., N. Atkins, D. Bartels, L. Bosart, M. Coniglio, G. Bryan, W. Cotton, D. Dowell, B.
520 Jewett, R. Johns, D. Jorgensen, J. Knievel, K. Knupp, W.-C. Lee, G. McFarquhar, J.
521 Moore, R. Przybylinski, R. Rauber, B. Smull, R. Trapp, S. Trier, R. Wakimoto, M.
522 Weisman, and C. Ziegler, 2004: The bow echo and MCV experiment: Observations and
523 opportunities. *Bulletin of the American Meteorological Society*, **85**, 1075-1093.
- 524 Dowell, D. C. and H. B. Bluestein, 1997: The Arcadia, Oklahoma, storm of 17 May 1981:
525 Analysis of a supercell during tornadogenesis. *Mon. Wea. Rev.*, **125**, 2562-2582.

526 Doyle, J. and D. R. Durran, 2002: The dynamics of mountain-wave induced rotors. *J. Atmos.*
527 *Sci.*, **59**, 186-201.

528 Doyle, J. and D. R. Durran, 2007: Rotor and subrotor dynamics in the lee of three-dimensional
529 terrain. *J. Atmos. Sci.*, **64**, 4202–4221.

530 Fiedler, B., 1994: The thermodynamic speed limit and its violation in axisymmetric numerical
531 simulations of tornado-like vortices. *Atmos. Ocean*, **32**, 335-359.

532 Fiedler, B. H. and R. Rotunno, 1986: A theory for the maximum windspeeds in tornado-like
533 vortices. *J. Atmos. Sci.*, **43**, 2328-2340.

534 Forbes, G. S. and R. M. Wakimoto, 1983: A concentrated outbreak of tornadoes, downbursts and
535 microbursts, and implications regarding vortex classification. *Mon. Wea. Rev.*, **111**, 220-
536 235.

537 Frame, J. and P. M. Markowski, 2010: Numerical simulations of radiative cooling beneath the
538 anvils of supercell thunderstorms. *Mon. Wea. Rev.*, **138**, 3024-3047.

539 Fujita, T., 1978: Manual of downburst identification for project NIMROD, 104 pp pp.

540 Grasso, L. D. and W. R. Cotton, 1995: Numerical simulation of a tornado vortex. *J. Atmos. Sci.*,
541 **52**, 1192-1203.

542 Lemon, L. R. and C. A. Doswell, 1979: Severe thunderstorm evolution and mesocyclone
543 structure as related to tornadogenesis. *Mon. Wea. Rev.*, **107**, 1184-1197.

544 Lewellen, W. S., D. C. Lewellen, and R. I. Sykes, 1997: Large-eddy simulation of a tornado's
545 interaction with the surface. *J. Atmos. Sci.*, **54**, 581-605.

546 Lin, Y.-L., R. D. Farley, and H. D. Orville, 1983: Bulk parameterization of the snow field in a
547 cloud model. *J. Climat. Appl. Meteor.*, **22**, 1065-1092.

548 Markowski, P., Y. Richardson, J. Marquis, J. Wurman, K. Kosiba, P. Robinson, D. Dowell, E.
549 Rasmussen, and R. Davies-Jones, 2012a: The pretornadic phase of the Goshen County,
550 Wyoming, supercell of 5 June 2009 intercepted by VORTEX2. Part I: Evolution of
551 kinematic and surface thermodynamic fields. *Mon. Wea. Rev.*, in press.

552 Markowski, P., Y. Richardson, J. Marquis, J. Wurman, K. Kosiba, P. Robinson, D. Dowell, E.
553 Rasmussen, and R. Davies-Jones, 2012b: The pretornadic phase of the Goshen County,
554 Wyoming, supercell of 5 June 2009 intercepted by VORTEX2. Part II: Intensification of
555 low-level rotation. . *Mon. Wea. Rev.*, in press.

556 Markowski, P. M., M. Majcen, Y. Richardson, J. Marquis, and J. Wurman, 2011: Characteristics
557 of the wind field in a trio of nontornadic low-level mesocyclones observed by the doppler
558 on wheels radars. *Electronic J. Severe Storms Meteor.*, **6 (3)**, 1-48.

559 Markowski, P. M., E. Rasmussen, J. Straka, R. Davies-Jones, Y. Richardson, and R. J. Trapp,
560 2008: Vortex lines within low-level mesocyclones obtained from pseudo-dual-Doppler
561 radar observations. *Mon. Wea. Rev.*, **136**, 3513-3535.

562 Markowski, P. M. and Y. Richardson, 2010: *Mesoscale meteorology in midlatitudes*. Wiley, 430
563 pp.

564 McLaughlin, D., D. Pepyne, V. Chandrasekar, B. Philips, J. Kurose, M. Zink, K. Droegemeier,
565 S. Cruz-Pol, F. Junyent, J. Brotzge, D. Westbrook, N. Bharadwaj, Y. Wang, E. Lyons, K.
566 Hondl, Y. Liu, E. Knapp, M. Xue, A. Hopf, K. Kloesel, A. DeFonzo, P. Kollias, K.
567 Brewster, R. Contreras, B. Dolan, T. Djaferis, E. Insanic, S. Frasier, and F. Carr, 2009:
568 Short-wavelength technology and the potential for distributed networks of small radar
569 systems. *Bulletin of the American Meteorological Society*, **90**, 1797-1817.

570 Orlanski, I., 1975: A rational subdivision of scales for atmospheric processes. *Bulletin of the*
571 *American Meteorological Society*, **56**, 527-530.

572 Przybylinski, R. W., 1995: The bow echo: Observations, numerical simulations, and severe
573 weather detection methods. *Wea. and Forecasting*, **10**, 203-218.

574 Rotunno, R. and J. B. Klemp, 1985: On the rotation and propagation of simulated supercell
575 thunderstorms. *J. Atmos. Sci.*, **42**, 271-292.

576 Schenkman, A., M. Xue, A. Shapiro, K. Brewster, and J. Gao, 2011a: Impact of CASA radar and
577 Oklahoma mesonet data assimilation on the analysis and prediction of tornadic
578 mesovortices in a MCS. *Mon. Wea. Rev.*, **139**, 3422-3445.

579 Schenkman, A., M. Xue, A. Shapiro, K. Brewster, and J. Gao, 2011b: The analysis and
580 prediction of the 8-9 May 2007 Oklahoma tornadic mesoscale convective system by
581 assimilating WSR-88D and CASA radar data using 3DVAR. *Mon. Wea. Rev.*, **139**, 224-
582 246.

583 Snook, N. and M. Xue, 2008: Effects of microphysical drop size distribution on tornadogenesis
584 in supercell thunderstorms. *Geophys. Res. Letters*, **35**, L24803,
585 doi:10.1029/2008GL035866.

586 Straka, J. M., E. N. Rasmussen, R. P. Davies-Jones, and P. M. Markowski, 2007: An
587 observational and idealized numerical examination of low-level counter-rotating vortices
588 in the rear flank of supercells. *Electronic J. Severe Storms Meteor.*, **2 (8)**, 1-22.

589 Trapp, R. J. and B. Fiedler, 1995: Tornado-like vortexgenesis in a simplified numerical model. *J.*
590 *Atmos. Sci.*, **52**, 3757-3778.

591 Trapp, R. J., S. A. Tessendorf, E. S. Godfrey, and H. E. Brooks, 2005: Tornadoes from squall
592 lines and bow echoes. Part I: Climatological distribution. *Wea. Forecasting*, **20**, 23-34.

593 Trapp, R. J. and M. L. Weisman, 2003: Low-level mesovortices within squall lines and bow
594 echoes. Part II: Their genesis and implications. *Mon. Wea. Rev.*, **131**, 2804-2823.

595 Wakimoto, R. M., H. V. Murphey, C. A. Davis, and N. T. Atkins, 2006a: High winds generated
596 by bow echoes. Part II: The relationship between the mesovortices and damaging
597 straight-line winds. *Mon. Wea. Rev.*, **134**, 2813-2829.

598 Wakimoto, R. M., H. V. Murphey, A. Nester, D. P. Jorgensen, and N. T. Atkins, 2006b: High
599 winds generated by bow echoes. Part I: Overview of the Omaha bow echo 5 July 2003
600 storm during BAMEX. *Mon. Wea. Rev.*, **134**, 2793-2812.

601 Weisman, M. L. and C. A. Davis, 1998: Mechanisms for the generation of mesoscale vortices
602 within quasi-linear convective systems. *J. Atmos. Sci.*, **55**, 2603-2622.

603 Weisman, M. L. and R. J. Trapp, 2003: Low-level mesovortices within squall lines and bow
604 echoes. Part I: Overview and dependence on environmental shear. *Mon. Wea. Rev.*, **131**,
605 2779-2803.

606 Wheatley, D. M. and R. J. Trapp, 2008: The effect of mesoscale heterogeneity on the genesis and
607 structure of mesovortices within quasi-linear convective systems. *Mon. Wea. Rev.*, **136**,
608 4220-4241.

609 Wheatley, D. M., R. J. Trapp, and N. T. Atkins, 2006: Radar and damage analysis of severe bow
610 echoes observed during BAMEX. *Mon. Wea. Rev.*, **134**, 791-806.

611 Xue, M., K. K. Droegemeier, and V. Wong, 2000: The Advanced Regional Prediction System
612 (ARPS) - A multiscale nonhydrostatic atmospheric simulation and prediction tool. Part I:
613 Model dynamics and verification. *Meteor. Atmos. Physics*, **75**, 161-193.

614 Xue, M., K. K. Droegemeier, V. Wong, A. Shapiro, and K. Brewster, 1995: *ARPS Version 4.0*
615 *User's Guide*. [Available at <http://www.caps.ou.edu/ARPS>], 380 pp.

616 Xue, M., K. K. Droegemeier, V. Wong, A. Shapiro, K. Brewster, F. Carr, D. Weber, Y. Liu, and
617 D. Wang, 2001: The Advanced Regional Prediction System (ARPS) - A multi-scale
618 nonhydrostatic atmospheric simulation and prediction tool. Part II: Model physics and
619 applications. *Meteor. Atmos. Phys.*, **76**, 143-166.

620 Xue, M., D.-H. Wang, J.-D. Gao, K. Brewster, and K. K. Droegemeier, 2003: The Advanced
621 Regional Prediction System (ARPS), storm-scale numerical weather prediction and data
622 assimilation. *Meteor. Atmos. Physics*, **82**, 139-170.

623
624
625

626 **List of figures**

627 Fig. 1. Map of observed radar reflectivity factor at 1 km AGL at 0350 UTC 9 May 2007 within
628 the 2-km resolution computational domain used in Schenkman et al. (2011a). The
629 dashed-line rectangle marks the location of the 400-m resolution domain used in S11a.
630 The image at the upper-right zooms into the 400-m domain. The solid rectangle marks
631 the location of 100-m resolution computational domain. The oval contains the
632 convective cell associated with the Minco mesovortex. The location of the LEV and
633 selected town names are indicated.

634 Fig. 2 Equivalent potential temperature (shaded, K), horizontal wind (vectors, m s^{-1}), positive
635 vertical vorticity $>0.025 \text{ s}^{-1}$ (shaded in red), negative vertical vorticity $< -0.025 \text{ s}^{-1}$
636 (shaded in blue) at 100-m AGL and vertical velocity ($> 5 \text{ m s}^{-1}$, heavy green contours) at
637 750-m AGL at (a) 0300 UTC, (b) 0305 UTC, and (c) 0315 UTC 9 May 2007. The heavy
638 black line in (a) marks the gust front. For clarity, this line is neglected in (b) and (c). In
639 (b) “couplets” is put in quotation marks to imply that while there are not well defined
640 vorticity couplets, there is predominantly positive (negative) vorticity on the northern
641 (southern) side of the gust front bulge. A vortex line, calculated from the 3D vorticity
642 vector field and color coded by height AGL, is plotted in (b).

643 Fig. 3. Vertical velocity (m s^{-1} , shaded) and horizontal wind (m s^{-1} , vectors) at 1000 m AGL at
644 (a) 0330 UTC and (b) 0340 UTC 9 May 2007. ‘M’ marks the approximate center of the
645 Minco mesovortex.

646 Fig. 4. Horizontal wind vectors (m s^{-1}) and vertical vorticity (color shaded, s^{-1}) at 20 m AGL at
647 (a) 0325:30 UTC, (b) 0326:00 UTC, (c) 0326:30 UTC, (d) 0327:00 UTC, (e) 0327:30
648 UTC, and (f) 0328:00 UTC 9 May 2007. The ‘X’ in (a-c) marks the location of a small

649 area of cyclonic vorticity that merges with the TLV. The ‘T’ in (e-f) marks the location
650 of the TLV. The solid and dotted black lines mark the locations of the rear and forward
651 flank gust fronts, respectively. These gust fronts are hand-analyzed through the relative
652 maximum in convergence.

653 Fig. 5. As Fig. 4 but at (a) 0329 UTC, (b) 0331 UTC, (c) 0333 UTC, and (d) 0335 UTC.

654 Dashed contours are perturbation pressure (hPa, starting at -3 hPa). The minimum
655 perturbation pressure is ~ -12.6 hPa in the center of the TLV in (a). The ‘Y’ in (c) marks
656 a short-lived area of vorticity that forms after the demise of the TLV. Gust fronts are
657 neglected because they have moved out of the plotted area by 0331 UTC.

658 Fig. 6. Time-height profiles of (a) maximum vertical velocity (m s^{-1}) and (b) vertical vorticity (s^{-1})
659 from 0300 to 0342 UTC. Profiles are calculated over a 32 x 42 km subdomain that is
660 centered on the Minco mesovortex and excludes an additional storm in the southeast
661 portion of the domain. The subdomain is chosen to be fairly large in order to include
662 both the mid-level and low-level updrafts through the entire 42 min period. The dotted
663 oval marks the intense low-level updraft located on the west side of the Minco
664 mesovortex.

665 Fig. 7. Vertical vorticity budget along a representative backward trajectory that is initialized 100
666 m AGL near the TLV center at 0328 UTC. The blue line is the sum of the time-
667 integrated vertical vorticity generated through vertical stretching (red line) and tilting
668 (green line). The cyan line represents the vertical vorticity interpolated from the model
669 grid to the location of the parcel at each time. Trajectories are calculated using a 4th order
670 Runge-Kutta integration scheme with 3 s model output. The Lagrangian time integration
671 agrees very well with the Eulerian vorticity prediction by the model in this case.

672 Fig. 8. (a) Vertical velocity (shaded, m s^{-1}) at 0329 UTC at 500 m AGL overlaid with horizontal
673 wind (vectors, m s^{-1}) and convergence (s^{-1}) at 20 m AGL. The large black arrows
674 indicate the direction of flow behind the FFGF (dotted blue line) and RFGF (solid blue
675 line) (b) Cross-section along the heavy black line in (a) and (c). Y-component vorticity
676 (shaded, s^{-1}), perturbation pressure (dashed contours, hPa) and wind vectors are plotted in
677 the plane of the cross-section. The large black arrow indicates the location of the strong
678 low-level updraft. (c) Y-component vorticity (shaded, s^{-1}), perturbation pressure (dashed
679 contours, hPa) and horizontal wind (vectors, m s^{-1}) at 500 m AGL. A 600-m diameter
680 ring of backward trajectories (gray lines) that enter the TLV circulation at 500 m AGL
681 are overlaid in (c). The ‘T’ in (a) and (c) marks the approximate TLV center.

682 Fig. 9. Tilting of horizontal vorticity into the vertical (shaded, s^{-2}), vertical vorticity (contours, s^{-1}),
683 and horizontal wind vectors (m s^{-1}) at 300 m AGL at 03:25:30 UTC. The ‘X’ marks
684 the location of the small vertical vorticity maximum highlighted in Fig. 4.

685 Fig. 10. Circulation (black line) around the material circuit (shown in the inset) that was initially
686 (at 0328 UTC) a 200-m radius circle surrounding the TLV 100-m AGL. The circuit is
687 made up of 3600 parcels.

688 Fig. 11. Height AGL that a parcel in a present location at 0329 UTC was located at 0319 UTC
689 (shaded, m AGL), together with the negative Y-component vorticity with a contour
690 interval of 0.02 s^{-1} beginning at -0.04 s^{-1} (red contours), and the wind vectors in an east-
691 west cross-section plane (m s^{-1}) along the black line in Fig. 8a.

692 Fig. 12. Three dimensional plot (view from the south-southeast) of a typical parcel trajectory
693 traveling through the rotor beginning at 0312:30 UTC and terminating in the rotor’s
694 upward branch at 0327:30 UTC. The inset is a XY cross-section plot of the y-component

695 of horizontal vorticity (shaded, s^{-1}) at 0327:30 UTC overlaid with the two-dimensional
696 projection of the trajectory. Dots along the trajectory are color coded by height AGL (m).

697 Fig. 13. Y-component vorticity budget for the parcel plotted in Fig. 12, but integrated backward
698 in time until 0305:30 UTC. The parcel enters the rotor around 0320:00 UTC. The gray
699 solid line is the sum of the time-integrated stretching (short dashed gray line), tilting
700 (short dashed black line), frictional generation (alternating short-long black dashed line)
701 and baroclinic generation (long dashed gray line). The solid black line represents y-
702 component vorticity interpolated to the parcel location from the model grid at each time.

703 Fig. 14. As Fig. 2 but for the experiment with surface drag turned off and only at (a) 0305 UTC
704 and (b) 0315 UTC.

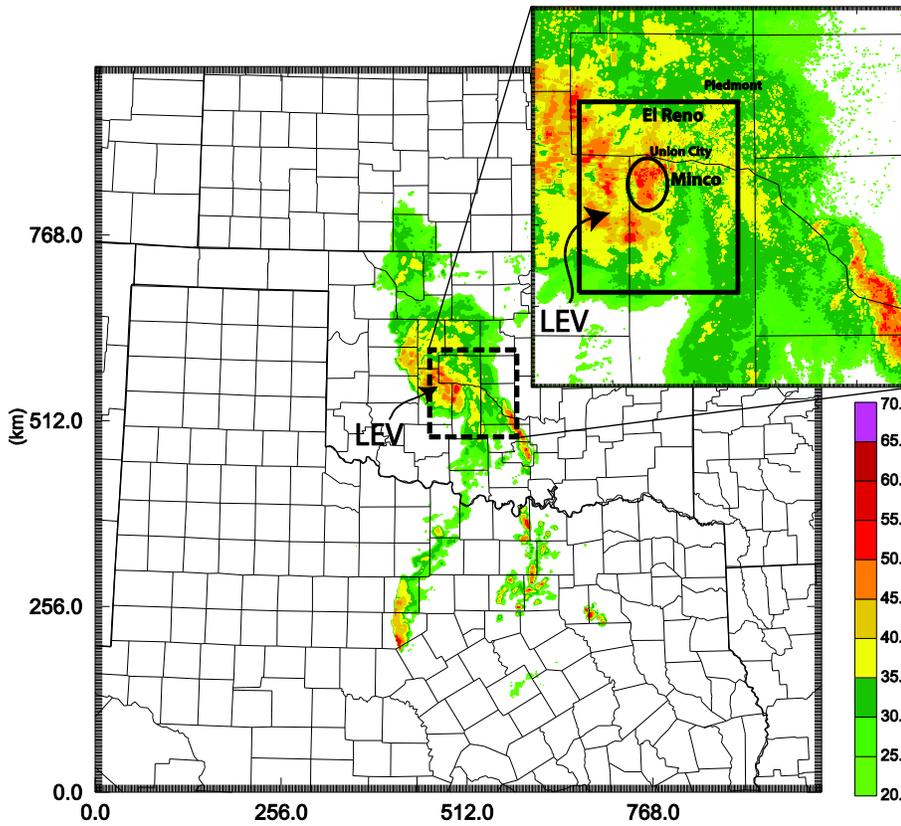
705 Fig. 15. As Fig. 6 but for the experiment with the surface drag parameterization turned off.

706 Fig. 16. Perturbation pressure (shaded, hPa), perturbation potential temperature (blue contours,
707 K), and velocity in the plane of the cross-section (vectors, $m s^{-1}$) at (a) 0320 UTC and (b)
708 0325 UTC 9 May 2007. The 'W' in (a) marks the leading edge of the westerly
709 momentum surge associated with the decaying initial mesovortex. The red-outlined
710 arrows in (b) give the sense of the PGF direction.

711 Fig. 17. Y-component vorticity (shaded, s^{-1}) and velocity vectors in the plane of the cross-
712 section (vectors, $m s^{-1}$) from (a) an XZ cross-section through the rotor at 0325 UTC and (b)
713 from a XZ cross-section through a simulated rotor in the lee of a mountain [adapted from
714 Doyle and Durran (2007)]. In (b), the original figure of Doyle and Durran (2007) has
715 been reflected about the x-axis in order to directly compare with the flow geometry of the
716 rotor in the 9 May 2007 case.

717 Fig. 18. Schematic of four-stage process leading up to TLV genesis. Vertical vorticity couplet
718 development is depicted in (I). (II) shows the development of the dominant cyclonic
719 Minco mesovortex and the associated development of frictionally-generated horizontal
720 vorticity. (III) illustrates the development of the rotor. TLV genesis is shown in (IV).
721 The cyan shading represents the cold pool. The dark blue shading represents the cold air
722 within the cold pool bulge. Black arrows represent the surface flow trajectories. The
723 orange arrows represent trajectories which enter the main updraft. The purple arrow in
724 (III) and (IV) marks the horizontal rotor axis. The magenta arrows represent parcel
725 trajectories that enter the rotor. Light gray vectors are idealized vortex lines. The 'M'
726 represents the location of the Minco mesovortex. The dotted curves in (II) and (III) mark
727 the location of the enhanced westerly momentum associated with the dissipation of the
728 initial mesovortex. The 'v' behind the outflow surge from the initial mesovortex in (III)
729 marks the location of the small area of vertical vorticity moving through the rotor. The
730 'T' in (IV) marks the location of the TLV.
731

Observed Reflectivity at 03:50 UTC 9 May 2007

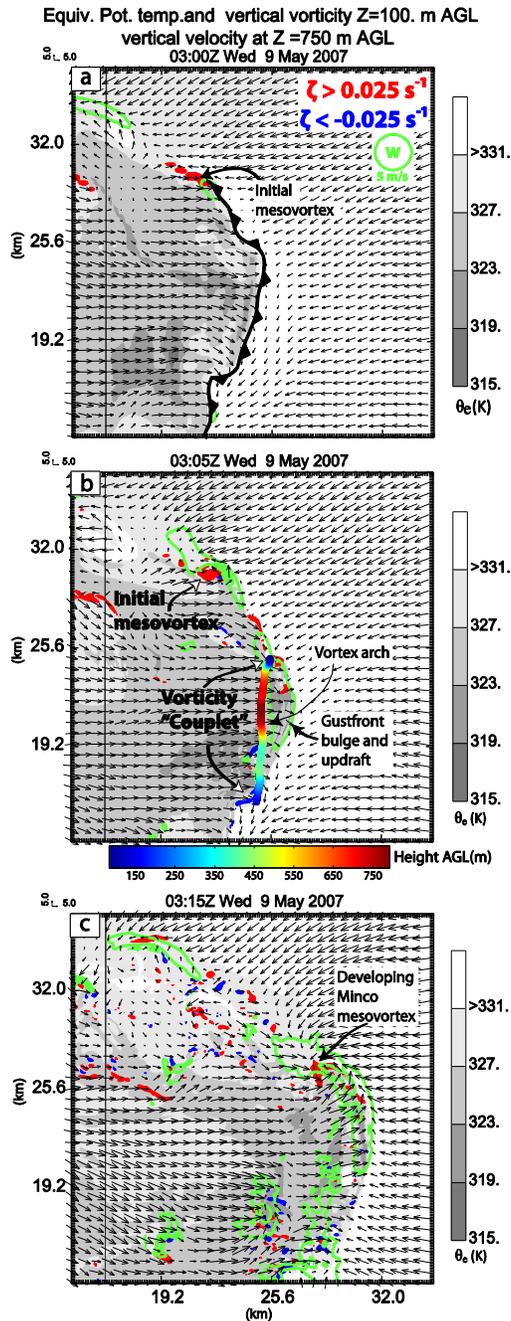


732

733 Fig. 1. Map of observed radar reflectivity factor at 1 km AGL at 0350 UTC 9 May 2007 within
734 the 2-km resolution computational domain used in Schenkman et al. (2011a). The dashed-line
735 rectangle marks the location of the 400-m resolution domain used in S11a. The image at the
736 upper-right zooms into the 400-m domain. The solid rectangle marks the location of 100-m
737 resolution computational domain. The oval contains the convective cell associated with the
738 Minco mesovortex. The location of the LEV and selected town names are indicated.

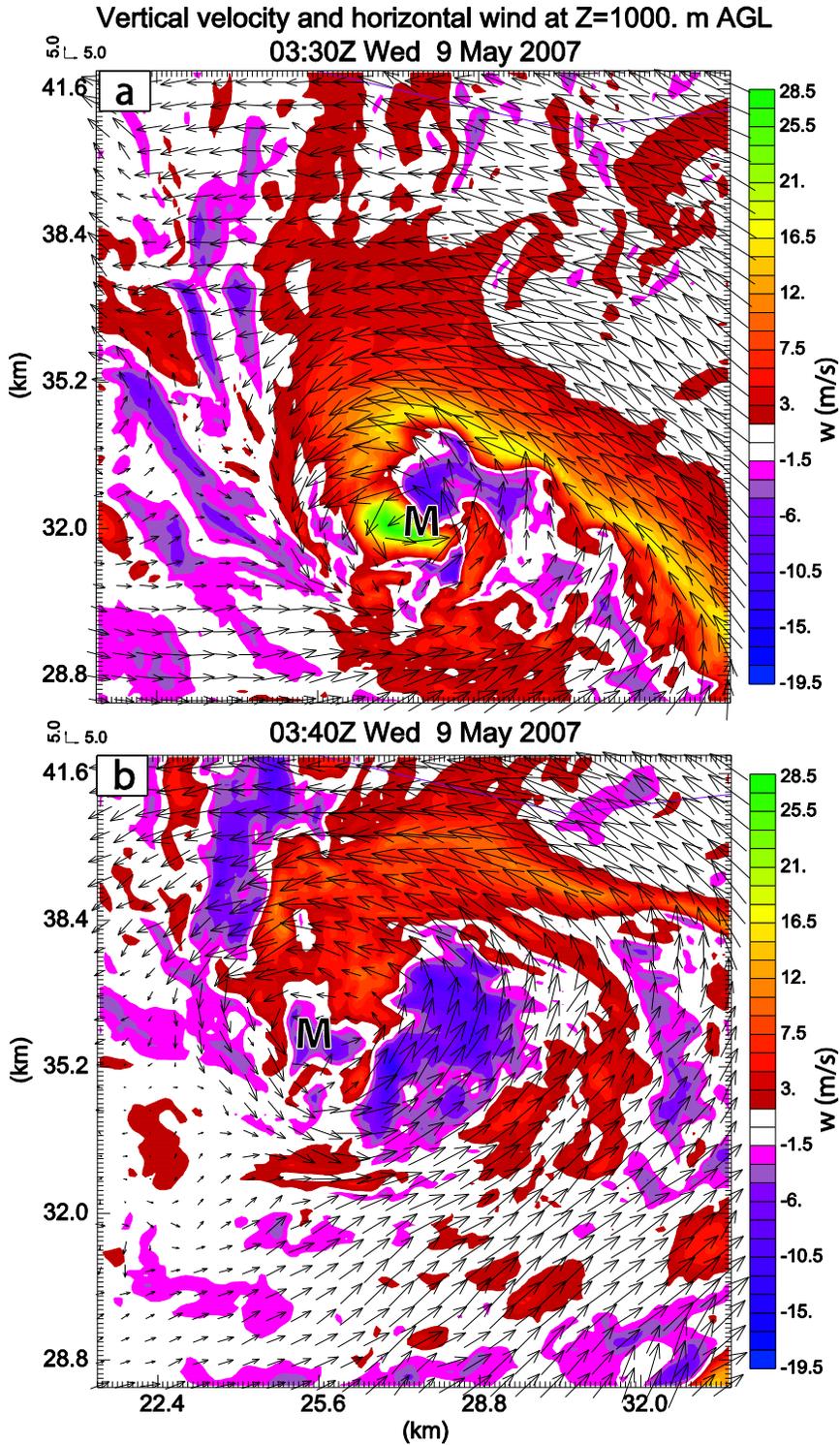
739

740



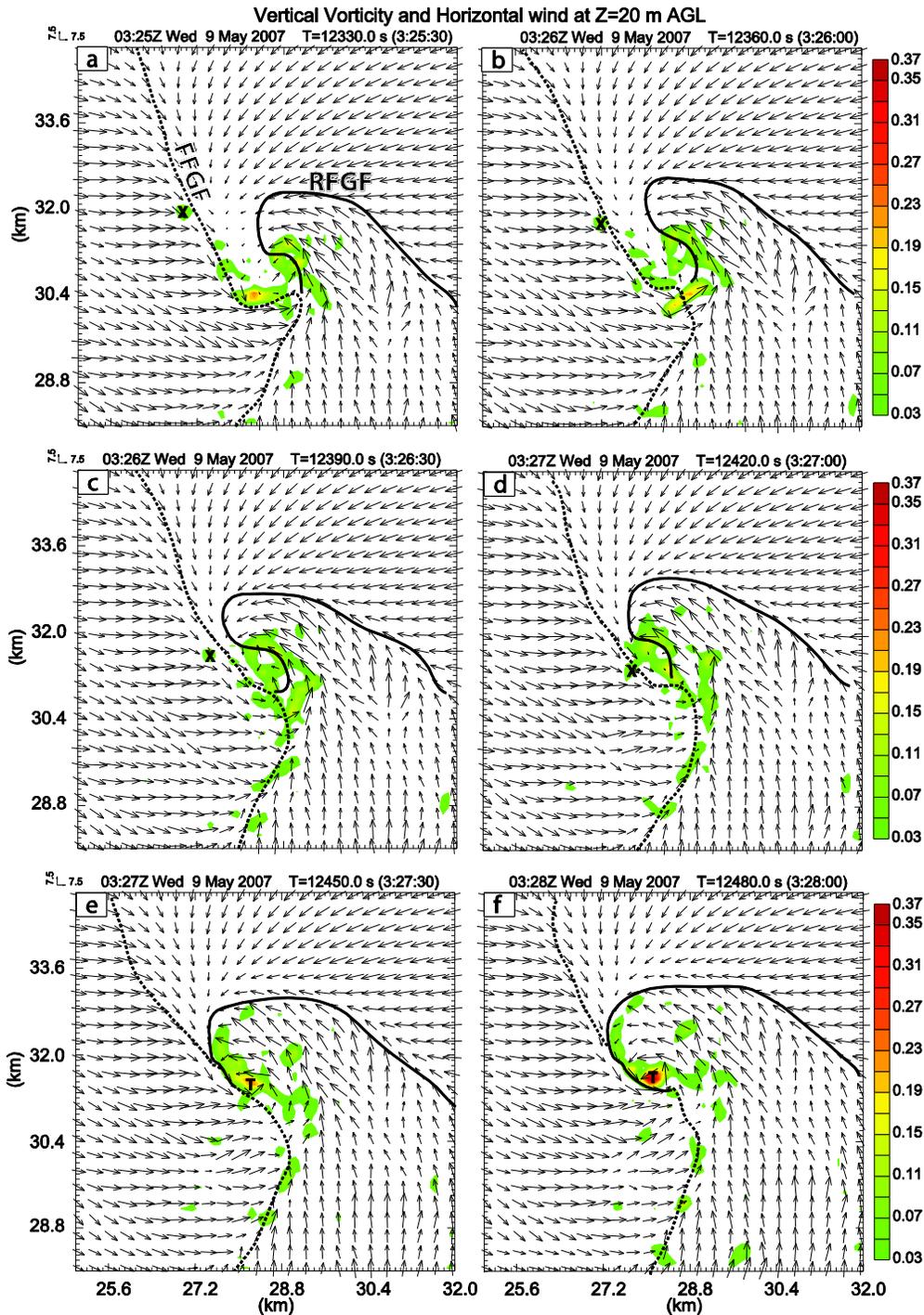
741

742 Fig. 2 Equivalent potential temperature (shaded, K), horizontal wind (vectors, m s^{-1}), positive
 743 vertical vorticity $>0.025 \text{ s}^{-1}$ (shaded in red), negative vertical vorticity $< -0.025 \text{ s}^{-1}$ (shaded in
 744 blue) at 100-m AGL and vertical velocity ($> 5 \text{ m s}^{-1}$, heavy green contours) at 750-m AGL at (a)
 745 0300 UTC, (b) 0305 UTC, and (c) 0315 UTC 9 May 2007. The heavy black line in (a) marks the
 746 gust front. For clarity, this line is neglected in (b) and (c). In (b) “couplets” is put in quotation
 747 marks to imply that while there are not well defined vorticity couplets, there is predominantly
 748 positive (negative) vorticity on the northern (southern) side of the gust front bulge. A vortex line,
 749 calculated from the 3D vorticity vector field and color coded by height AGL, is plotted in (b).
 750



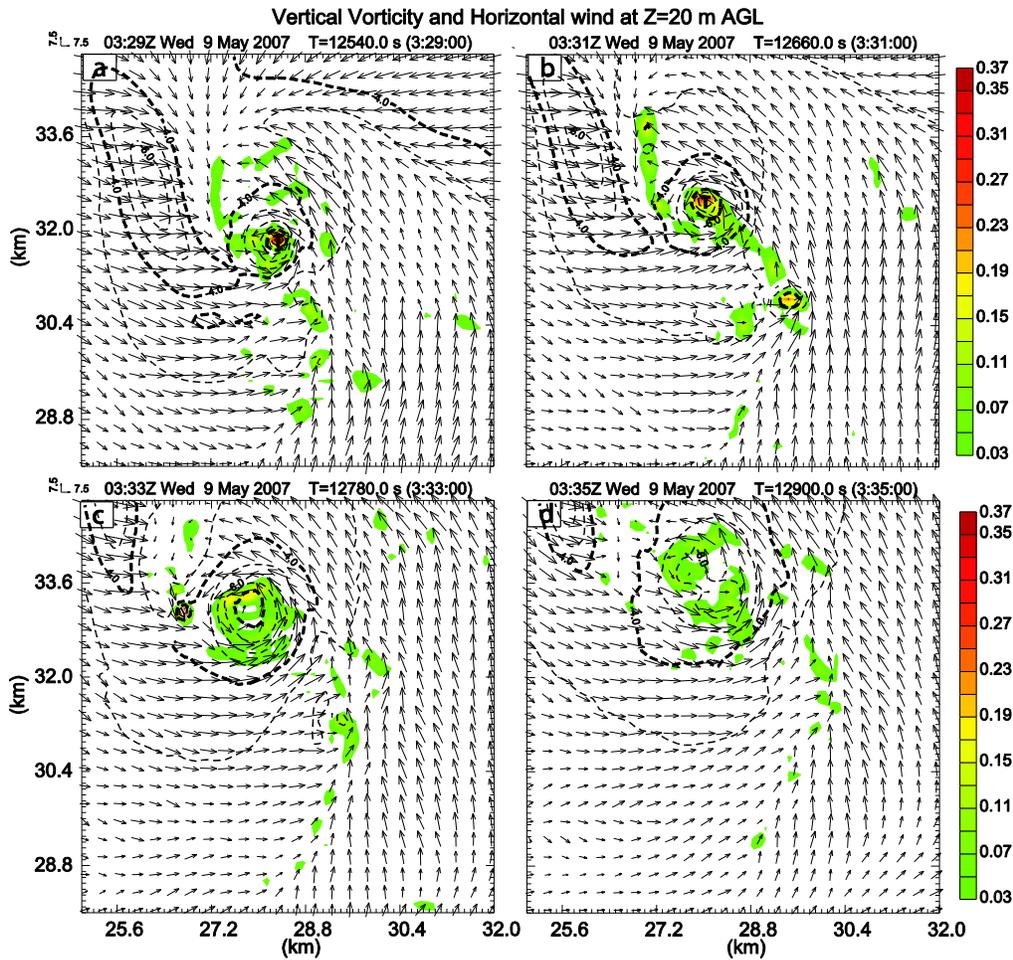
751

752 Fig. 3. Vertical velocity (m s^{-1} , shaded) and horizontal wind (m s^{-1} , vectors) at 1000 m AGL at
 753 (a) 0330 UTC and (b) 0340 UTC 9 May 2007. 'M' marks the approximate center of the Minco
 754 mesovortex.
 755



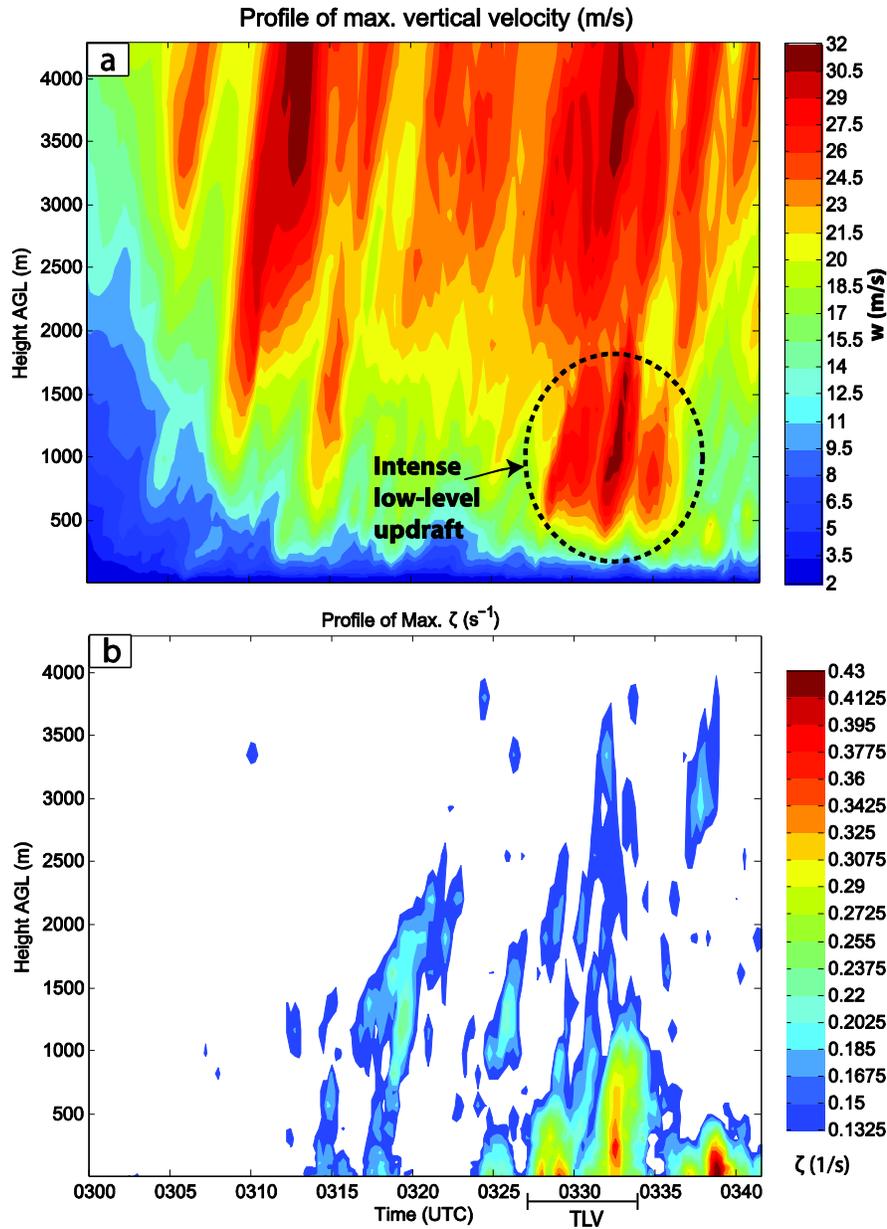
756

758 Fig. 4. Horizontal wind vectors ($m s^{-1}$) and vertical vorticity (color shaded, s^{-1}) at 20 m AGL at
 759 (a) 0325:30 UTC, (b) 0326:00 UTC, (c) 0326:30 UTC, (d) 0327:00 UTC, (e) 0327:30 UTC, and
 760 (f) 0328:00 UTC 9 May 2007. The 'X' in (a-c) marks the location of a small area of cyclonic
 761 vorticity that merges with the TLV. The 'T' in (e-f) marks the location of the TLV. The solid
 762 and dotted black lines mark the locations of the rear and forward flank gust fronts, respectively.
 763 These gust fronts are hand-analyzed through the relative maximum in convergence.
 764



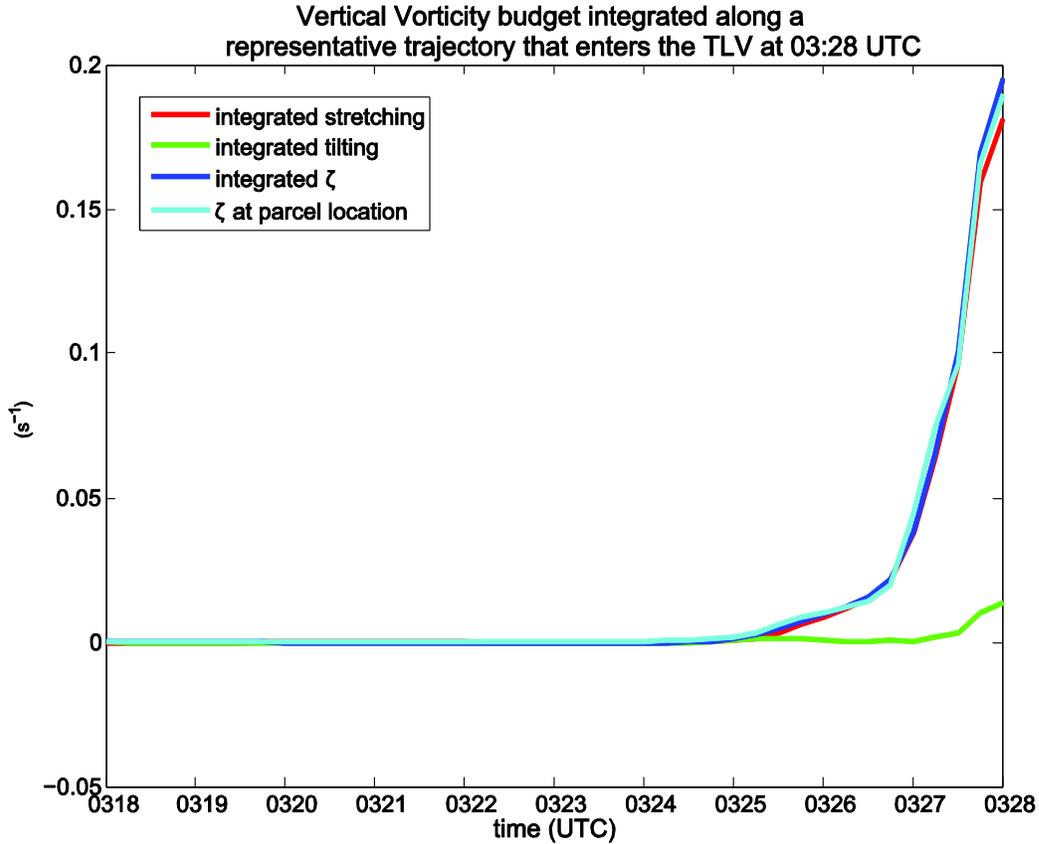
765

766 Fig. 5. As Fig. 4 but at (a) 0329 UTC, (b) 0331 UTC, (c) 0333 UTC, and (d) 0335 UTC.
 767 Dashed contours are perturbation pressure (hPa, starting at -3 hPa). The minimum perturbation
 768 pressure is ~ -12.6 hPa in the center of the TLV in (a). The 'Y' in (c) marks a short-lived area of
 769 vorticity that forms after the demise of the TLV. Gust fronts are neglected because they have
 770 moved out of the plotted area by 0331 UTC.
 771



772

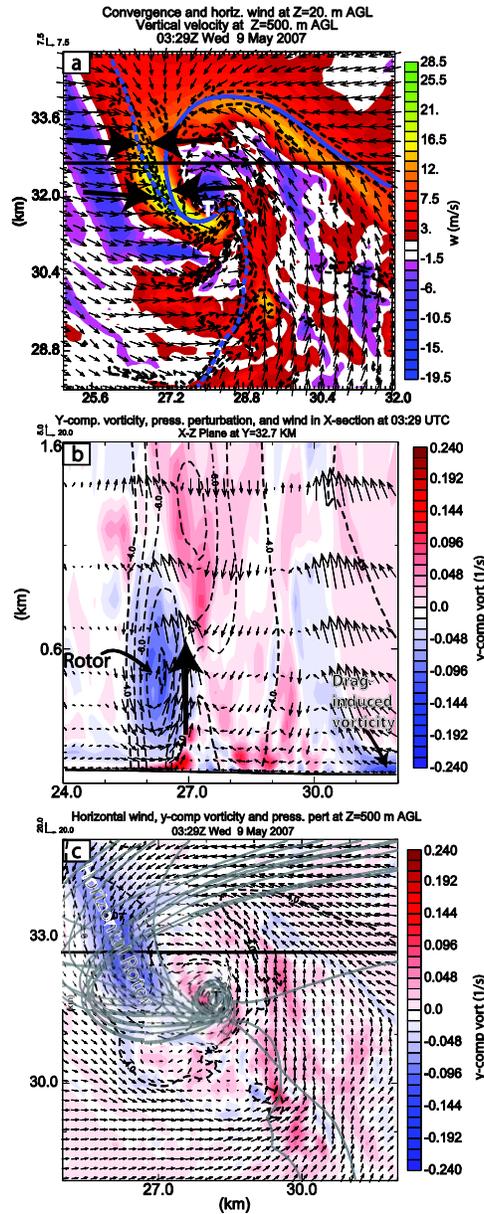
773 Fig. 6. Time-height profiles of (a) maximum vertical velocity (m s^{-1}) and (b)
 774 vertical vorticity (s^{-1}) from 0300 to 0342 UTC. Profiles are calculated over a 32 x
 775 42 km subdomain that is centered on the Minco mesovortex and excludes an
 776 additional storm in the southeast portion of the domain. The subdomain is chosen
 777 to be fairly large in order to include both the mid-level and low-level updrafts
 778 through the entire 42 min period. The dotted oval marks the intense low-level
 779 updraft located on the west side of the Minco mesovortex.
 780



781

782 Fig. 7. Vertical vorticity budget along a representative backward trajectory that is initialized 100
 783 m AGL near the TLV center at 0328 UTC. The blue line is the sum of the time-integrated
 784 vertical vorticity generated through vertical stretching (red line) and tilting (green line). The
 785 cyan line represents the vertical vorticity interpolated from the model grid to the location of the
 786 parcel at each time. Trajectories are calculated using a 4th order Runge-Kutta integration scheme
 787 with 3 s model output. The Lagrangian time integration agrees very well with the Eulerian
 788 vorticity prediction by the model in this case.

789

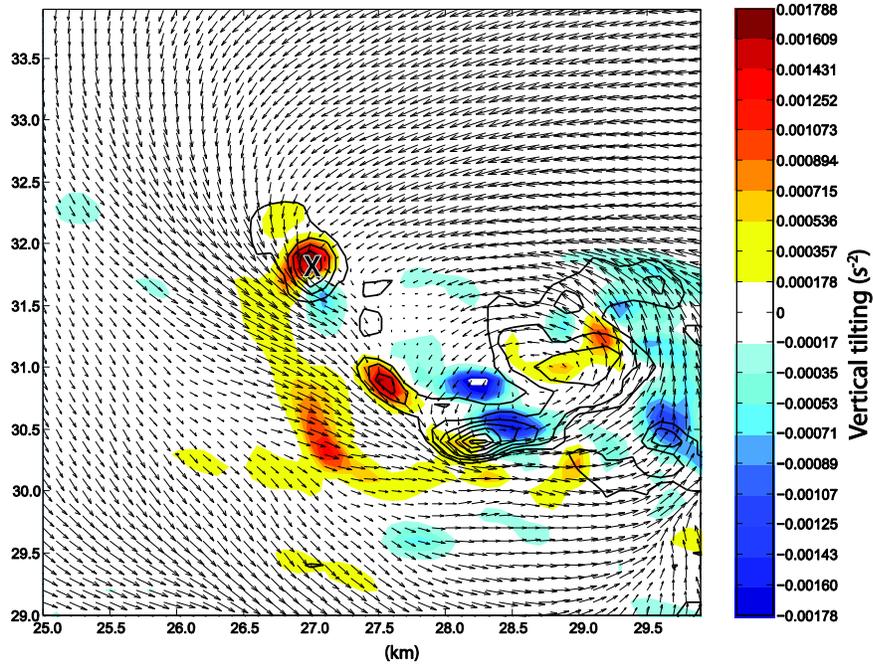


790

791 Fig. 8. (a) Vertical velocity (shaded, m s^{-1}) at 0329 UTC at 500 m AGL overlaid with horizontal
 792 wind (vectors, m s^{-1}) and convergence (s^{-1}) at 20 m AGL. The large black arrows indicate the
 793 direction of flow behind the FFGF (dotted blue line) and RFGF (solid blue line) (b) Cross-
 794 section along the heavy black line in (a) and (c). Y-component vorticity (shaded, s^{-1}),
 795 perturbation pressure (dashed contours, hPa) and wind vectors are plotted in the plane of the
 796 cross-section. The large black arrow indicates the location of the strong low-level updraft. (c) Y-
 797 component vorticity (shaded, s^{-1}), perturbation pressure (dashed contours, hPa) and horizontal
 798 wind (vectors, m s^{-1}) at 500 m AGL. A 600-m diameter ring of backward trajectories (gray
 799 lines) that enter the TLV circulation at 500 m AGL are overlaid in (c). The ‘T’ in (a) and (c)
 800 marks the approximate TLV center.
 801

802
803
804

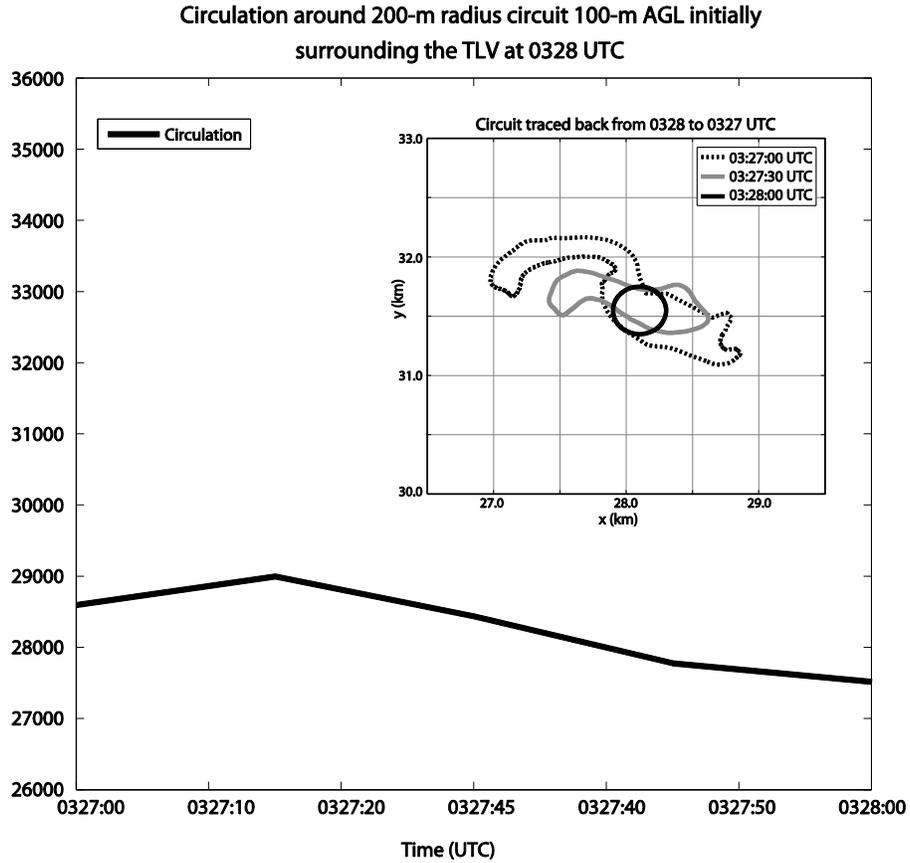
Instantaneous tilting of vorticity into the vertical, vertical
vorticity and horizontal wind 300 m AGL at 03:25:30 UTC



805
806
807
808
809

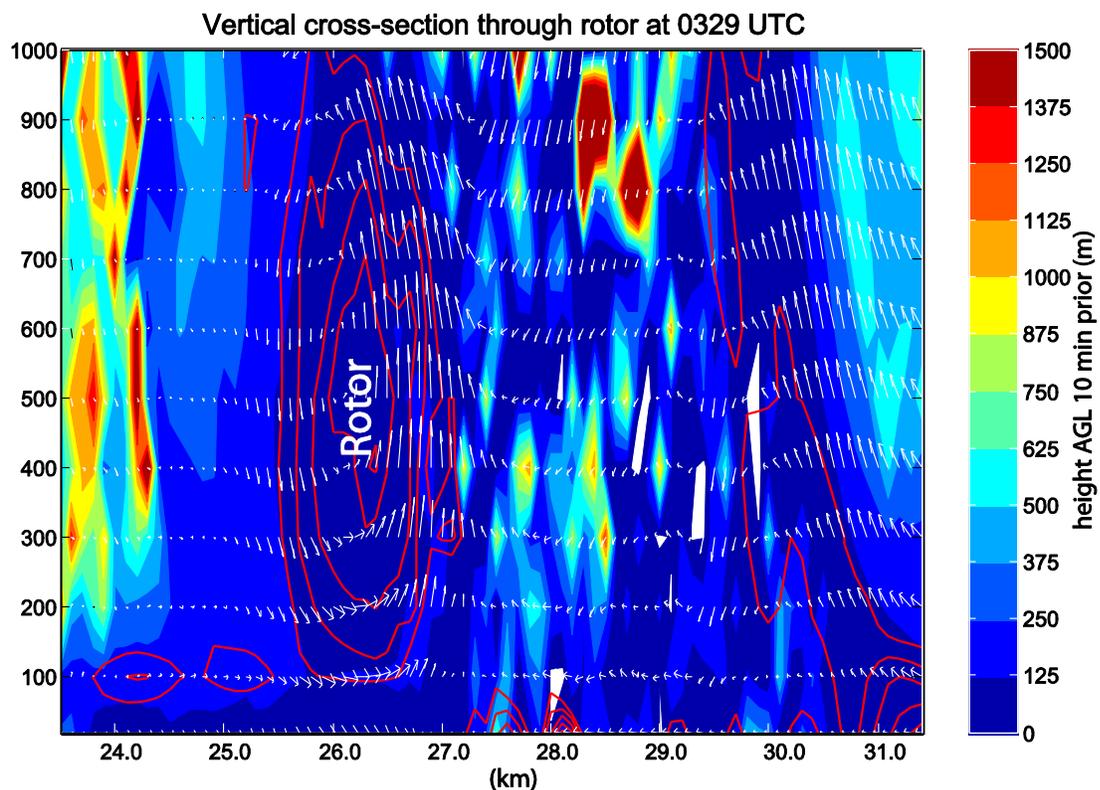
Fig. 9. Tilting of horizontal vorticity into the vertical (shaded, s⁻²), vertical vorticity (contours, s⁻¹), and horizontal wind vectors (m s⁻¹) at 300 m AGL at 03:25:30 UTC. The 'X' marks the location of the small vertical vorticity maximum highlighted in Fig. 4.

810



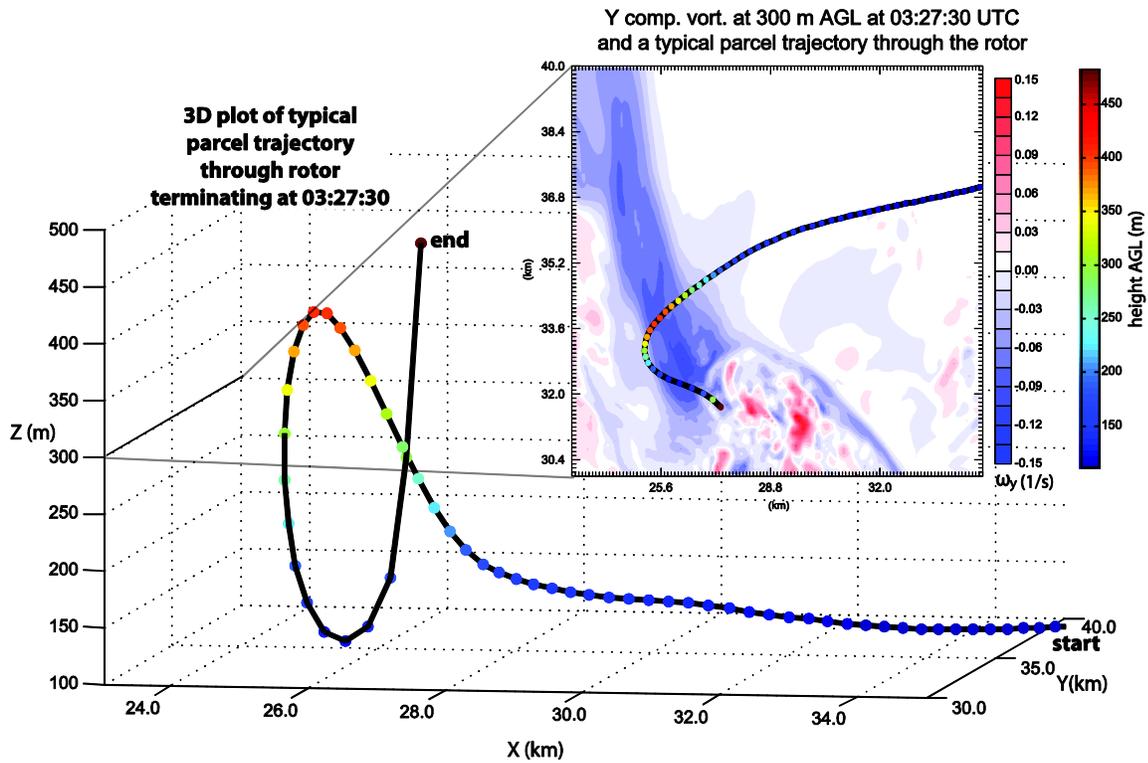
811

812 Fig. 10. Circulation (black line) around the material circuit (shown in the inset)
813 that was initially (at 0328 UTC) a 200-m radius circle surrounding the TLV 100-
814 m AGL. The circuit is made up of 3600 parcels.
815



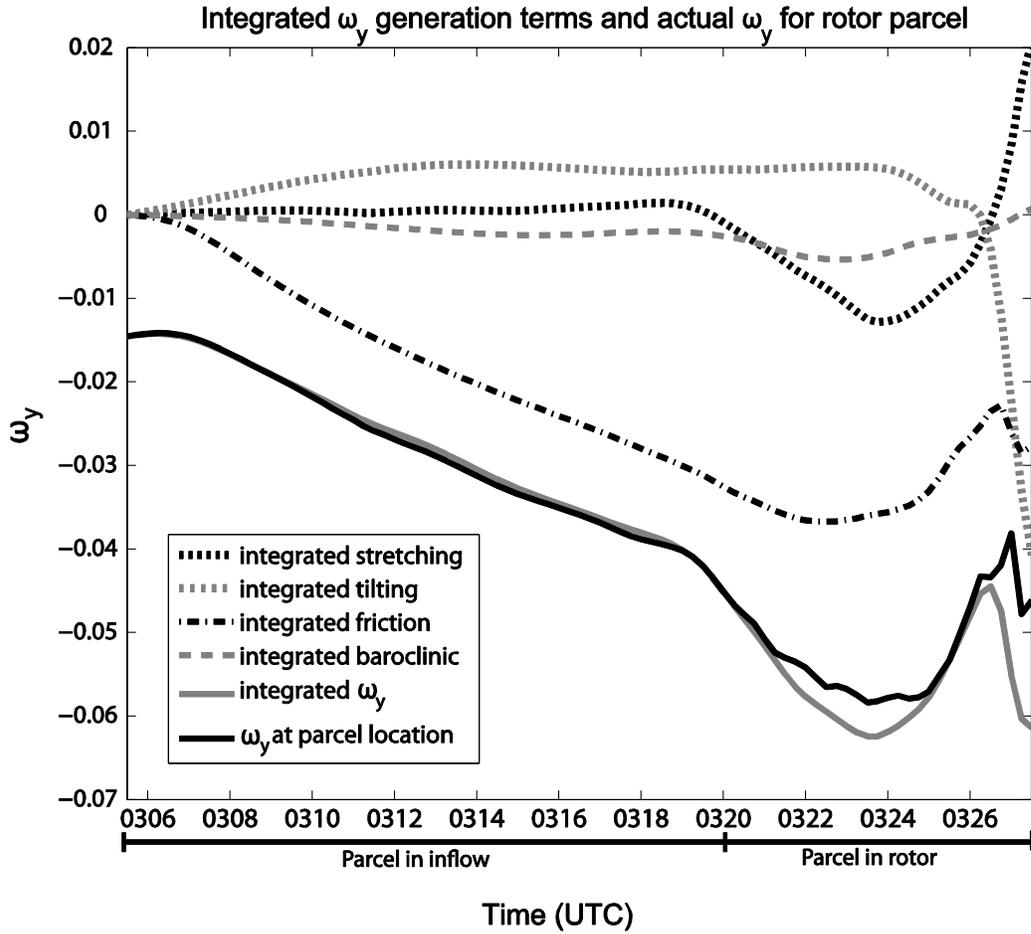
816

817 Fig. 11. Height AGL that a parcel in a present location at 0329 UTC was located at 0319 UTC
 818 (shaded, m AGL), together with the negative Y-component vorticity with a contour interval of
 819 0.02 s⁻¹ beginning at -0.04 s⁻¹ (red contours), and the wind vectors in an east-west cross-section
 820 plane (m s⁻¹) along the black line in Fig. 8a.
 821



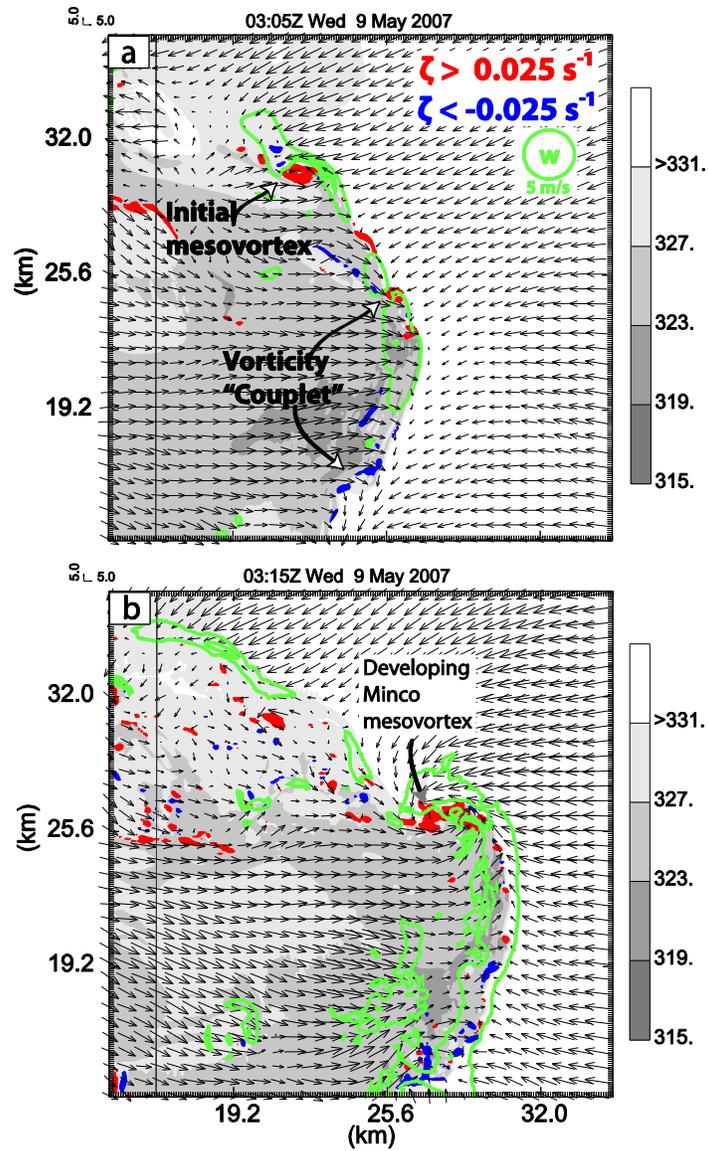
822

823 Fig. 12. Three dimensional plot (view from the south-southeast) of a typical parcel trajectory
 824 traveling through the rotor beginning at 0312:30 UTC and terminating in the rotor's upward
 825 branch at 0327:30 UTC. The inset is a XY cross-section plot of the y-component of horizontal
 826 vorticity (shaded, s^{-1}) at 0327:30 UTC overlaid with the two-dimensional projection of the
 827 trajectory. Dots along the trajectory are color coded by height AGL (m).
 828



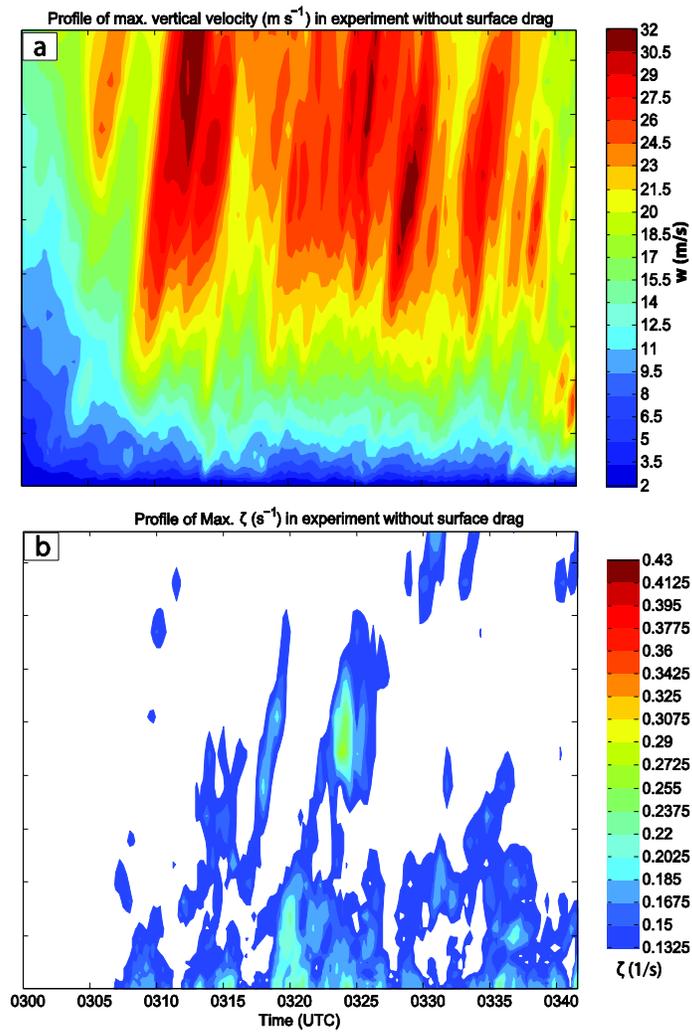
829

830 Fig. 13. Y-component vorticity budget for the parcel plotted in Fig. 12, but integrated backward
 831 in time until 0305:30 UTC. The parcel enters the rotor around 0320:00 UTC. The gray solid
 832 line is the sum of the time-integrated stretching (short dashed gray line), tilting (short dashed
 833 black line), frictional generation (alternating short-long black dashed line) and baroclinic
 834 generation (long dashed gray line). The solid black line represents y-component vorticity
 835 interpolated to the parcel location from the model grid at each time.
 836



837
838

839 Fig. 14. As Fig. 2 but for the experiment with surface drag turned
 840 off and only at (a) 0305 UTC and (b) 0315 UTC.
 841



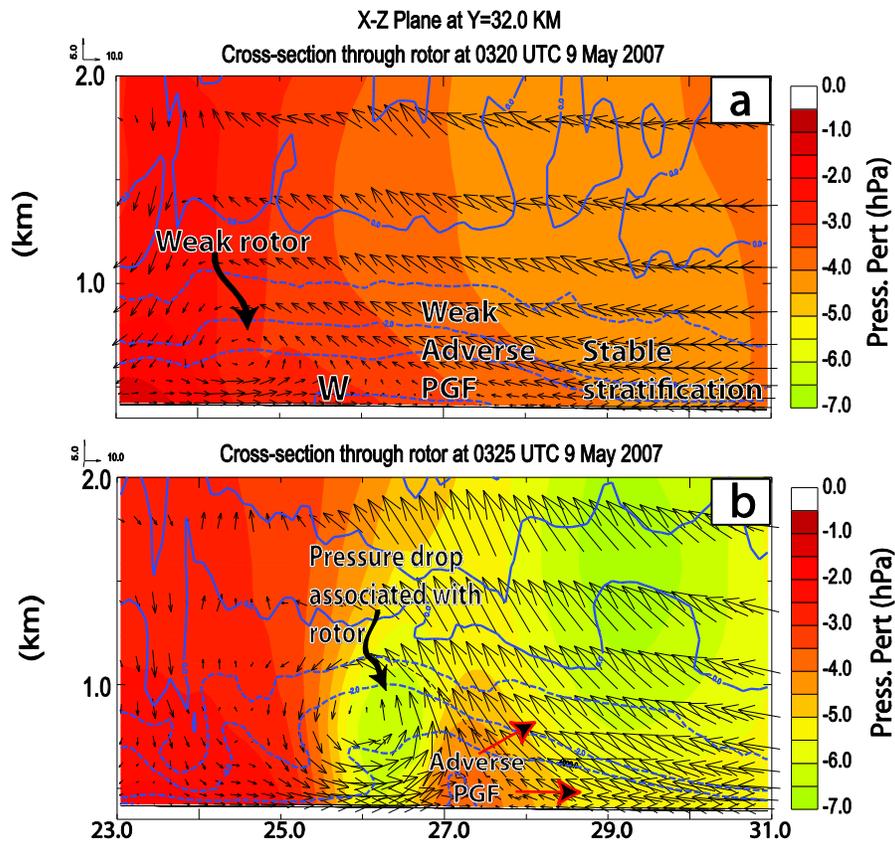
842

843

844

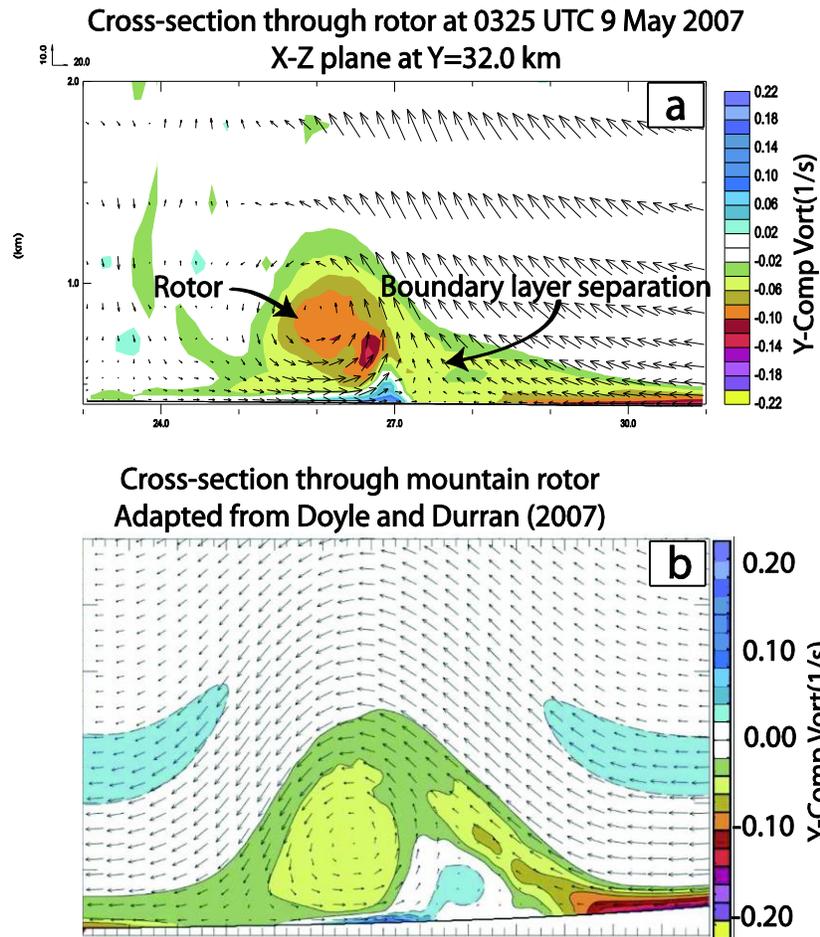
845

Fig. 15. As Fig. 6 but for the experiment with the surface drag parameterization turned off.



846

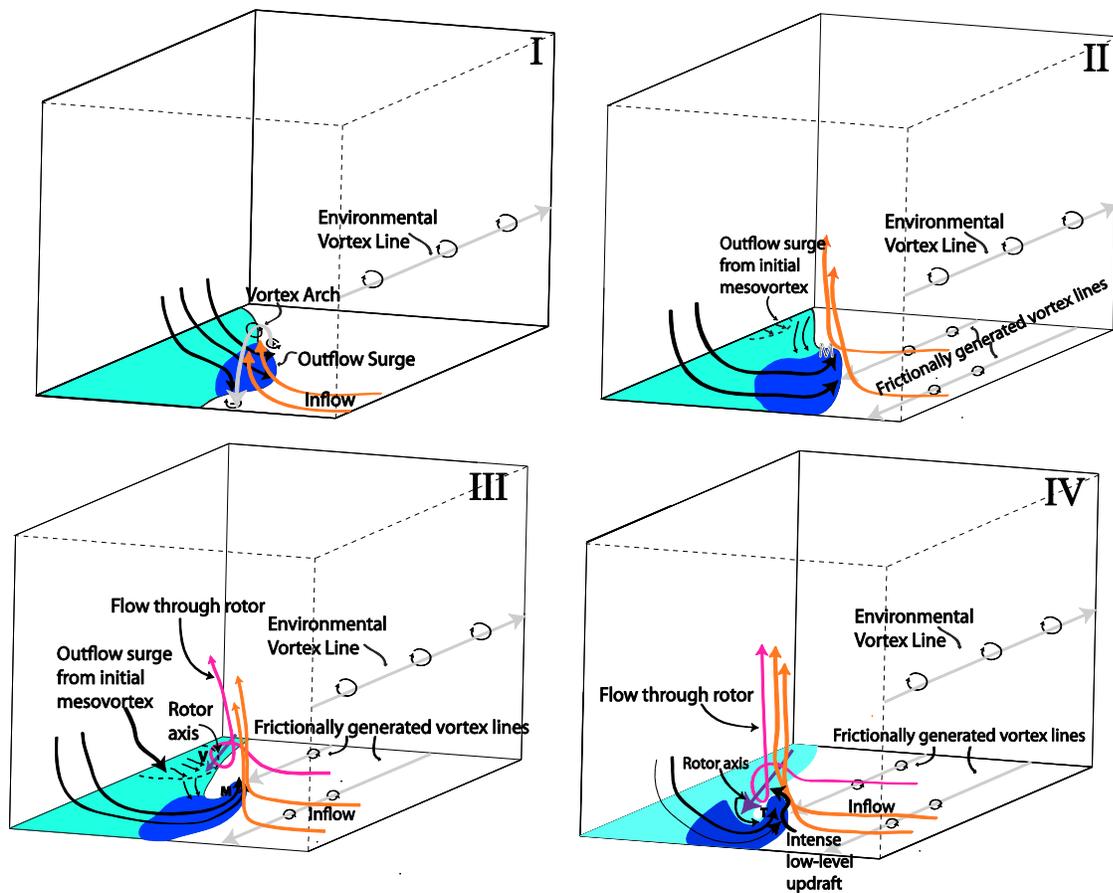
847 Fig. 16. Perturbation pressure (shaded, hPa), perturbation potential temperature (blue contours,
 848 K), and velocity in the plane of the cross-section (vectors, m s⁻¹) at (a) 0320 UTC and (b) 0325
 849 UTC 9 May 2007. The 'W' in (a) marks the leading edge of the westerly momentum surge
 850 associated with the decaying initial mesovortex. The red-outlined arrows in (b) give the sense of
 851 the PGF direction.
 852



853

854 Fig. 17. Y-component vorticity (shaded, s^{-1}) and velocity vectors in the plane of the cross-
 855 section (vectors, $m s^{-1}$) from (a) an XZ crosssection through the rotor at 0325 UTC and (b) from a
 856 XZ cross-section through a simulated rotor in the lee of a mountain [adapted from Doyle and
 857 Durran (2007)]. In (b), the original figure of Doyle and Durran (2007) has been reflected about
 858 the x-axis in order to directly compare with the flow geometry of the rotor in the 9 May 2007
 859 case.

860



861

862 Fig. 18. Schematic of four-stage process leading up to TLV genesis. Vertical vorticity couplet
 863 development is depicted in (I). (II) shows the development of the dominant cyclonic Minco
 864 mesovortex and the associated development of frictionally-generated horizontal vorticity. (III)
 865 illustrates the development of the rotor. TLV genesis is shown in (IV). The cyan shading
 866 represents the cold pool. The dark blue shading represents the cold air within the cold pool bulge.
 867 Black arrows represent the surface flow trajectories. The orange arrows represent trajectories
 868 which enter the main updraft. The purple arrow in (III) and (IV) marks the horizontal rotor axis.
 869 The magenta arrows represent parcel trajectories that enter the rotor. Light gray vectors are
 870 idealized vortex lines. The 'M' represents the location of the Minco mesovortex. The dotted
 871 curves in (II) and (III) mark the location of the enhanced westerly momentum associated with the
 872 dissipation of the initial mesovortex. The 'v' behind the outflow surge from the initial
 873 mesovortex in (III) marks the location of the small area of vertical vorticity moving through the
 874 rotor. The 'T' in (IV) marks the location of the TLV.